

Lazy evaluation illustrated

for Haskell divers

exploring some mental models and implementations

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Lazy,... zzz

..., It's fun!

NOTE

- Meaning of terms are different for each community.
- There are a lot of good documents. Please see also references.
- This is written for *GHC's Haskell*.

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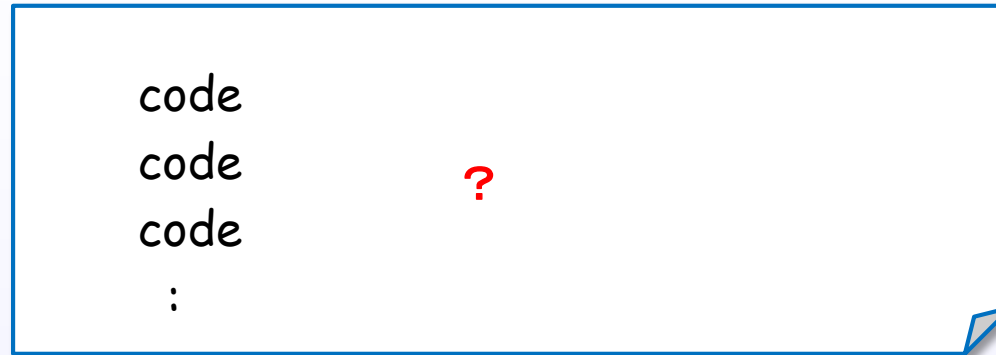
1. Introduction

1. Introduction

Basic mental models

How to evaluate a program in your brain ?

a program



How to evaluate (execute, reduce) the program in your brain?

What "mental model" do you have?

One of the mental models for C program

C program

A sequence of statements

```
main (...) {  
  code.. }  
  code.. }  
  code.. }  
  code.. }  
}
```

A nested structure

```
x = func1( func2(a) );  
      ?
```

A sequence of arguments

```
y = func1( a(x), b(x), c(x) );  
          ?
```

A function and arguments

```
z = func1(m + n);  
    ?
```

How to evaluate (execute, reduce) the program in your brain?
What step, what order, ... ?

One of the mental models for C program

C program

A program is a collection of statements.

A sequence of statements

```
main (...) {  
  code..  
  code..  
  code..  
  code..  
}
```



Statements are executed downward.

A nested structure

```
x = func1( func2( a ) );
```



from inner to outer

A sequence of arguments

```
y = func1( a(x), b(x), c(x) );
```



from left to right

A function and arguments

```
z = func1( m + n );
```



arguments first
apply second

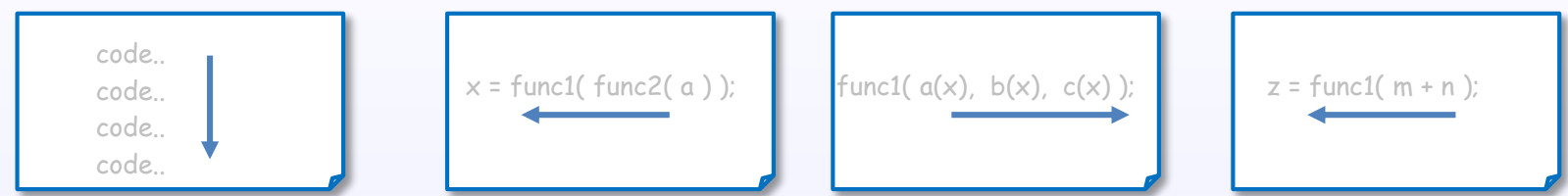
Each programmer has some mental models in their brain.

One of the mental models for C program

Maybe, You have some implicit mental model in your brain for C program.

(1) A program is **a collection of statements**.

(2) There is the **order** between evaluations of elements.



(3) There is the **order** between termination and start of evaluations.



This is a **syntactically straightforward** model for programming languages.
(an implicit sequential order model)

One of the mental models for Haskell program

Haskell program

```
main = expaa (expab expac expad)  
  
expac = expaca expacb  
  
expad = expada expadb expadc  
:  
:
```



How to evaluate (execute, reduce) the program in your brain?
What step, what order, ... ?

One of the mental models for Haskell program

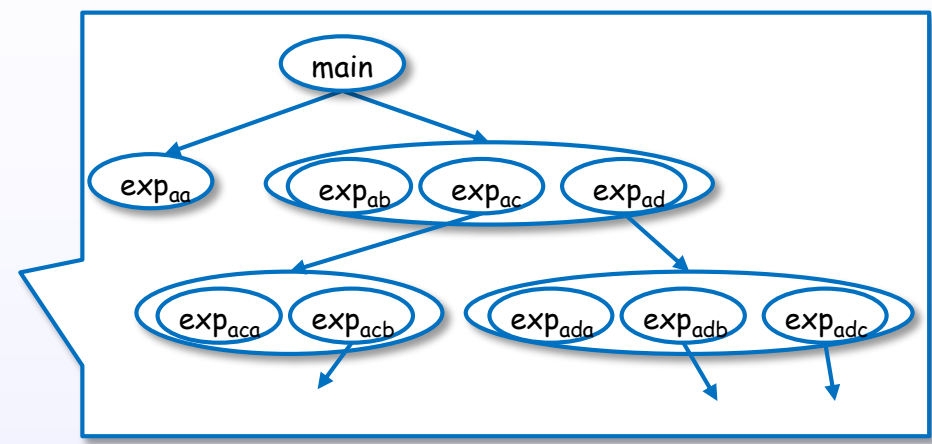
Haskell program

A program is a collection of expressions.

```

main = expaa (expab expac expad)
expac = expaca expacb
expad = expada expadb expadc
:

```



```

main = expaa (expab (expaca expacb) (expada expadb expadc) )

```

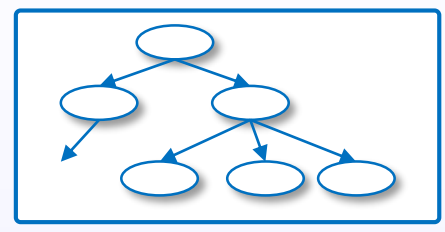
- ↖ A entire program is regarded as a single expression.
- ↖ The subexpression is evaluated (reduced) in some order.
- ↖ The evaluation is performed by replacement.

One of the mental models for Haskell program

(1) A program is a **collection of expressions**.

(2) A entire program is regarded as a **single expression**.

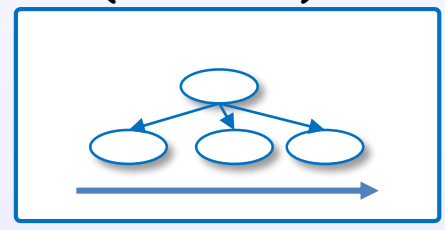
```
main = e (e (e (e e) e (e e e) ) )
```



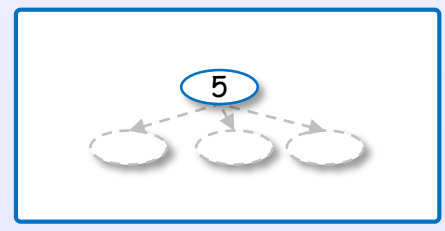
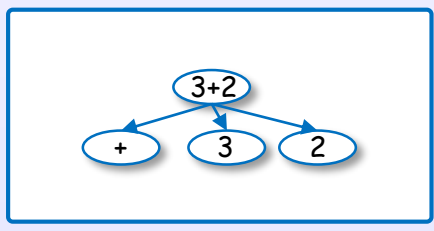
(3) The subexpressions are evaluated (reduced) in **some order**.

```
f = e (e (e (e e) e (e e e) ) )
```

→



(4) The evaluation is performed by **replacement**.



This is an example of an **expression reduction** model for Haskell.

1. Introduction

Lazy evaluation

Why lazy evaluation?

To avoid unnecessary computation

To manipulate infinite data structures

To manipulate streames

modularity

pure is order free

abstraction

To manipulate huge data structures

amortizing

potentially parallelism

2nd Church-Rosser theorem

out-of-order optimization

To implement non-strict semantics

asynchronization

fun

reactive

...

There are various reasons 😊

Haskell(GHC) 's lazy evaluation

Lazy evaluation

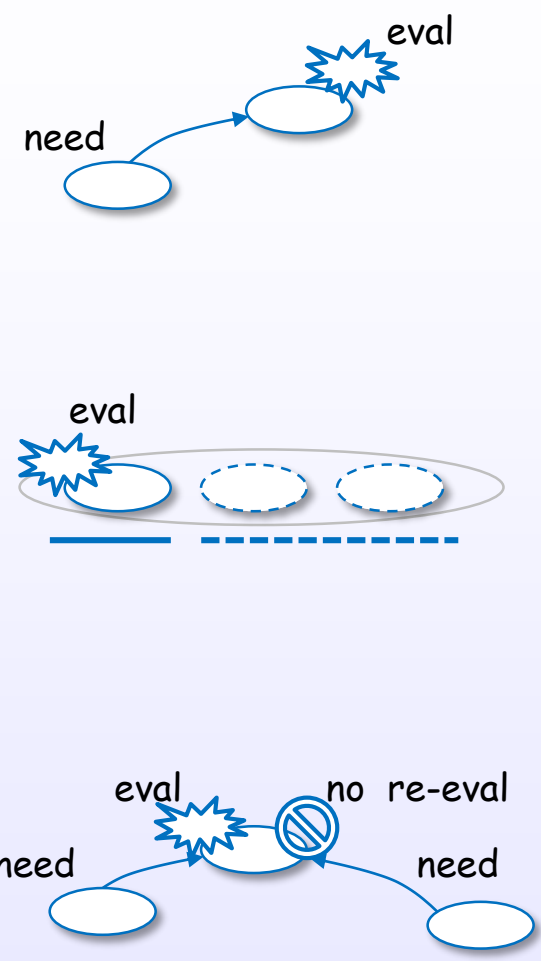
evaluate **only when needed**

+

evaluate **only enough**

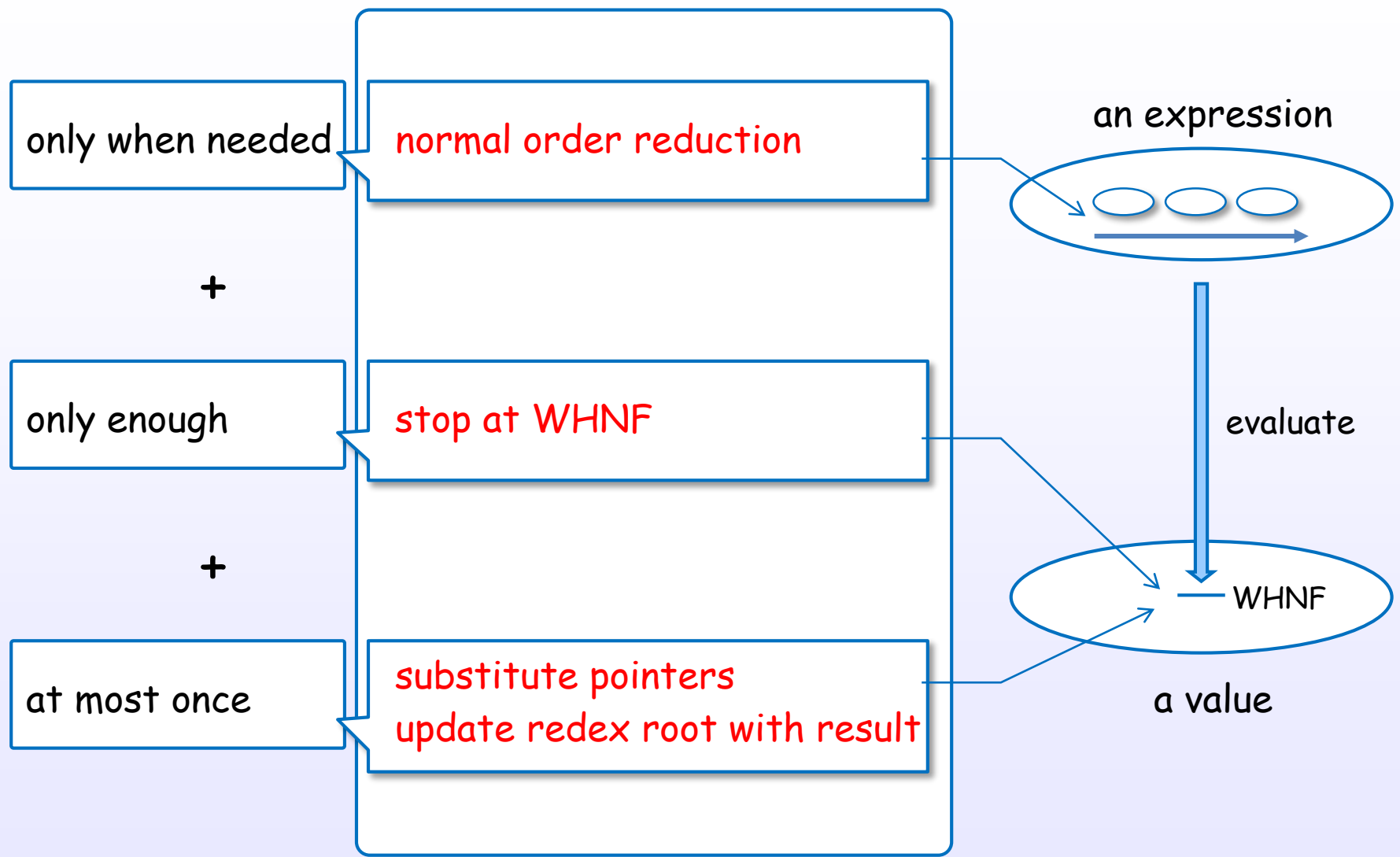
+

evaluate **at most once**



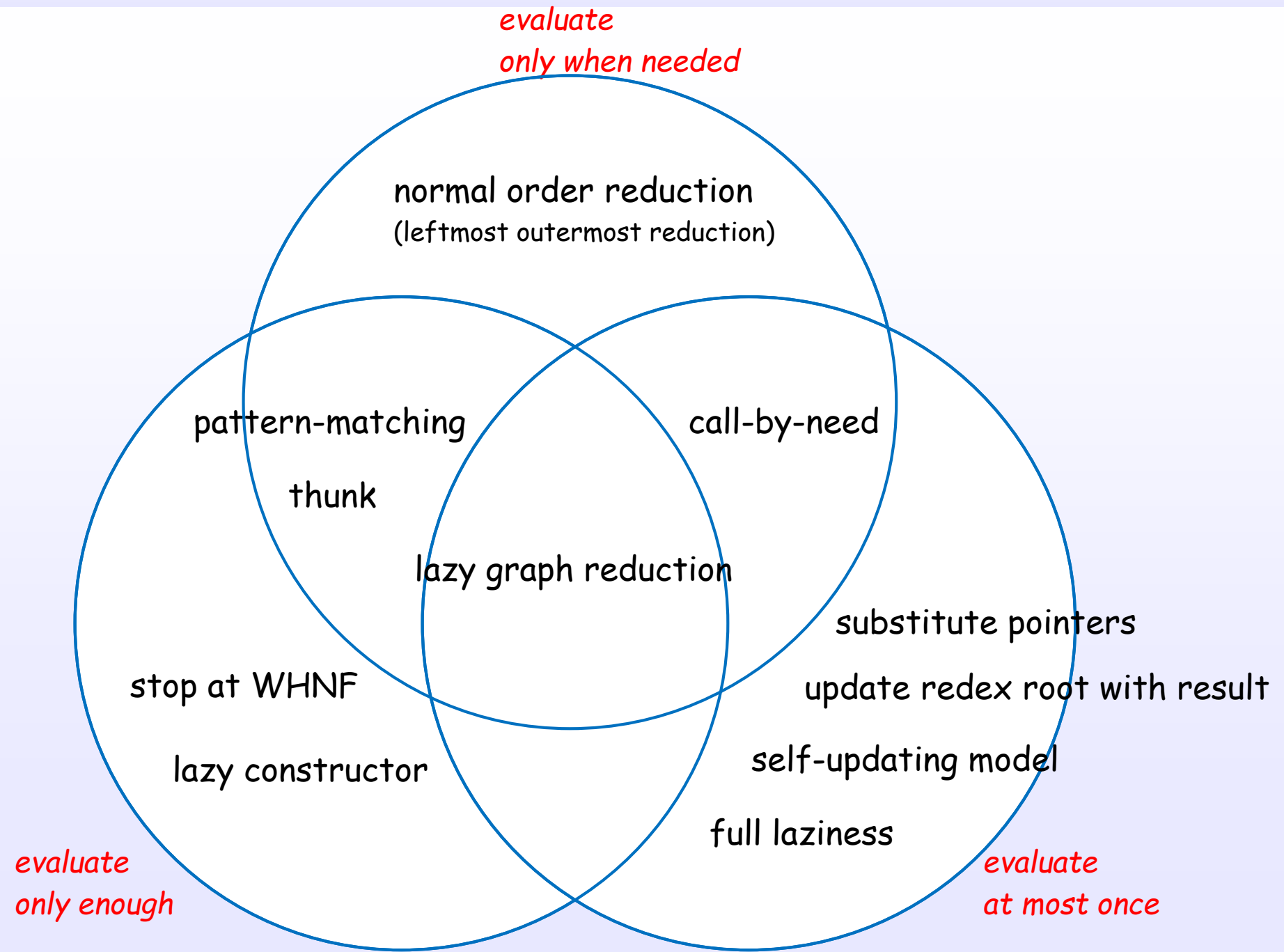
"Lazy" is "**delay** and **avoidance**" rather than "delay".

Ingredient of Haskell(GHC) 's lazy evaluation



This strategy is implemented by lazy graph reduction.

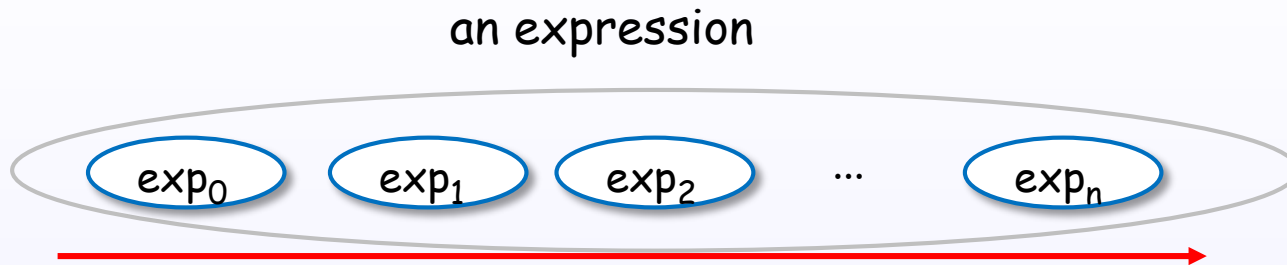
Techniques of Haskell(GHC) 's lazy evaluation



1. Introduction

Simple questions

What order?

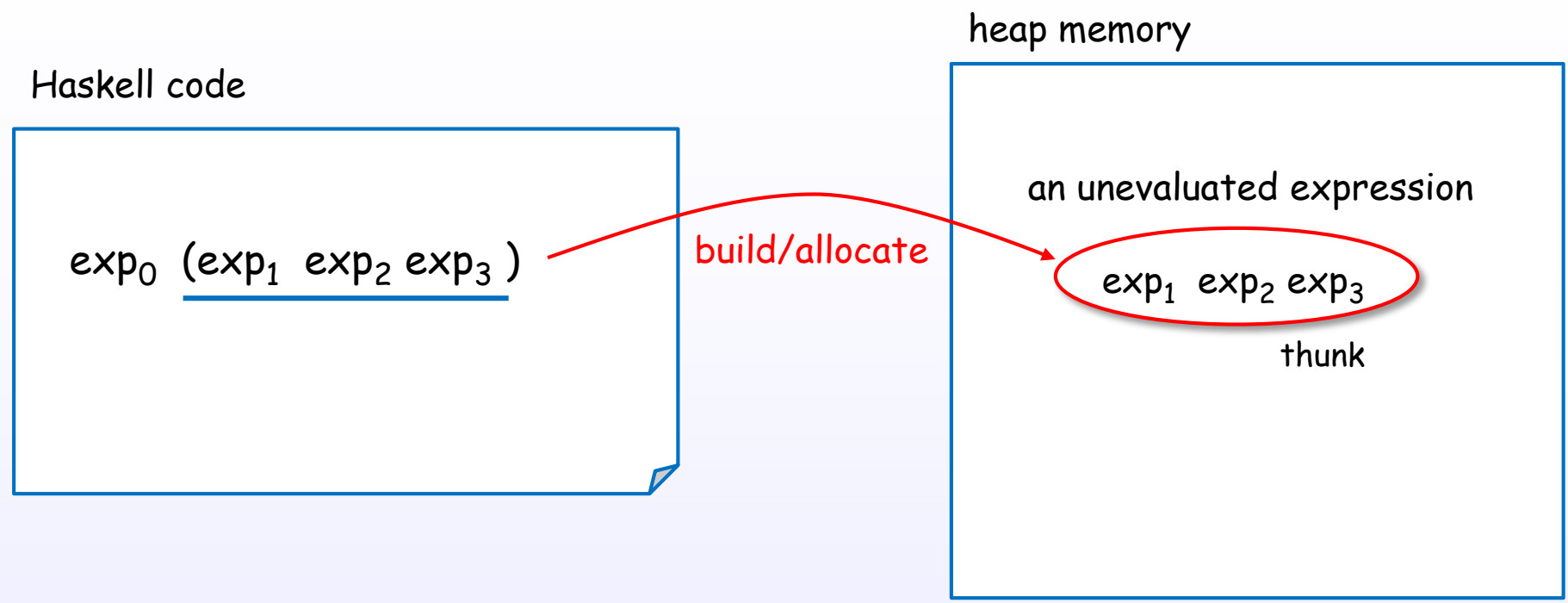


An expression is evaluated by normal order (leftmost outermost redex first).

Normal order reduction guarantees to find a normal form (if one exists).

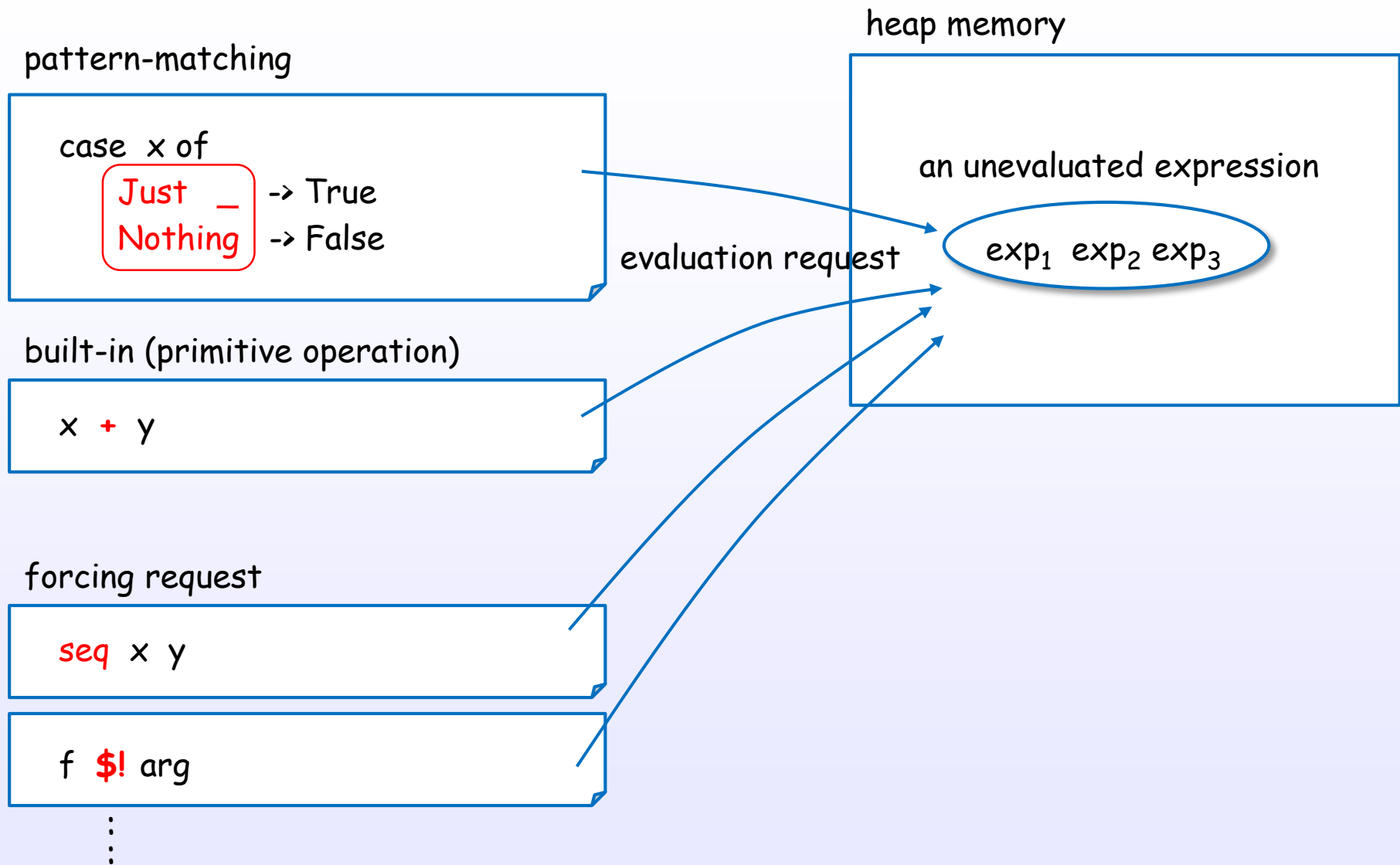
To avoid unnecessary computation, normal order reduction chooses to apply the function rather than first evaluating the argument.

How to postpone?



To postpone the evaluation, an unevaluated expression is built in the heap memory.

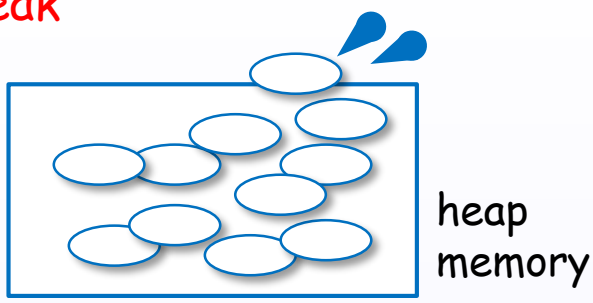
When needed?



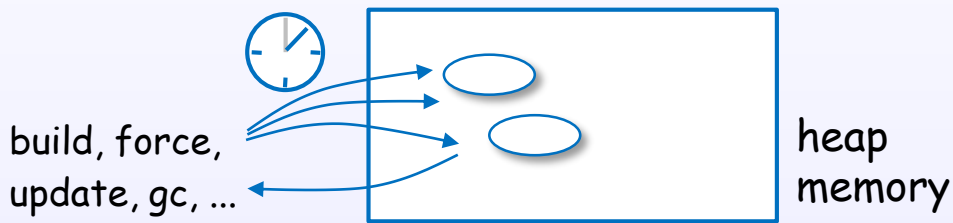
Pattern-matching or forcing request drive the evaluation.

What to be careful about?

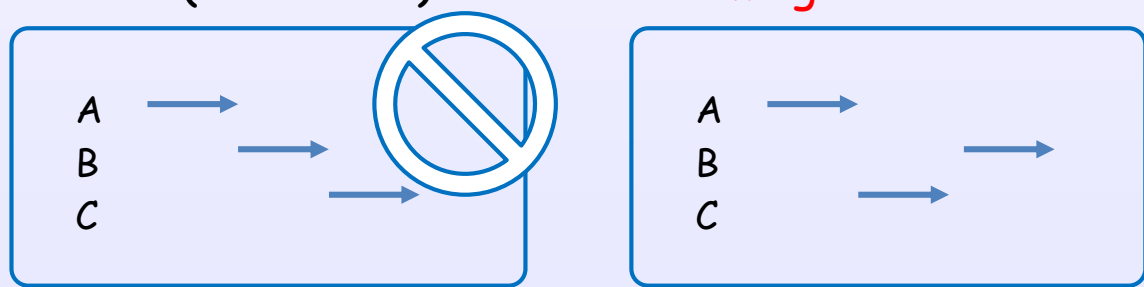
To consider hidden **space leak**



To consider **performance cost** to postpone unevaluated expressions



To consider evaluation (execution) **order** and **timing** in real world



You can avoid the pitfalls by controlling the evaluation.

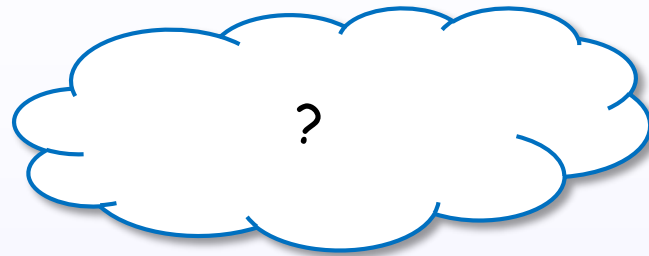
2. Expressions

2. Expressions

Expression and value

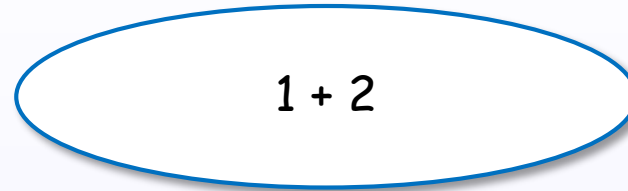
What is an expression?

An expression



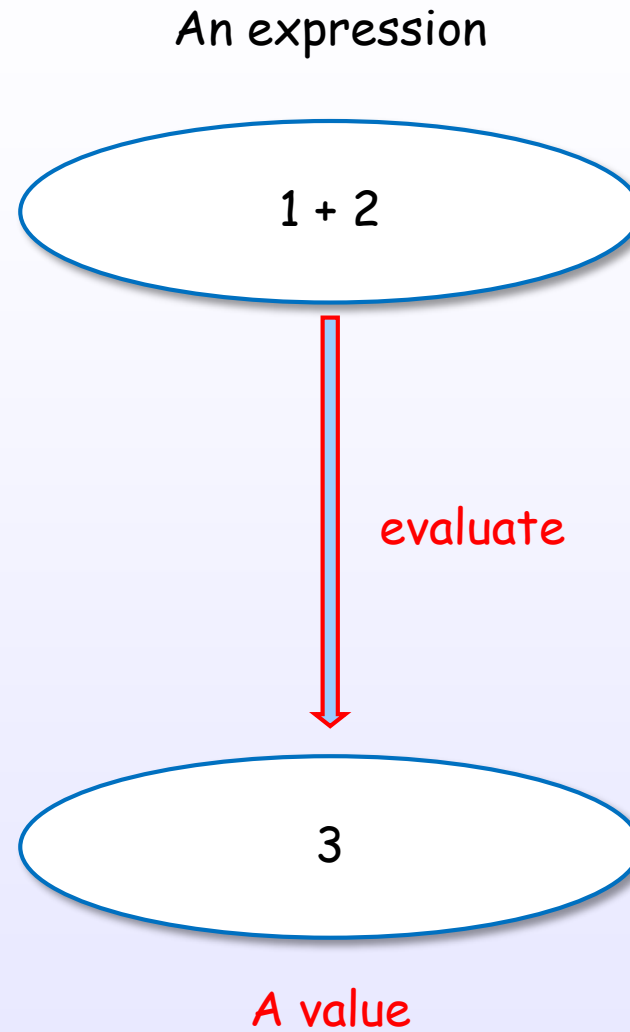
An expression denotes a value

An expression

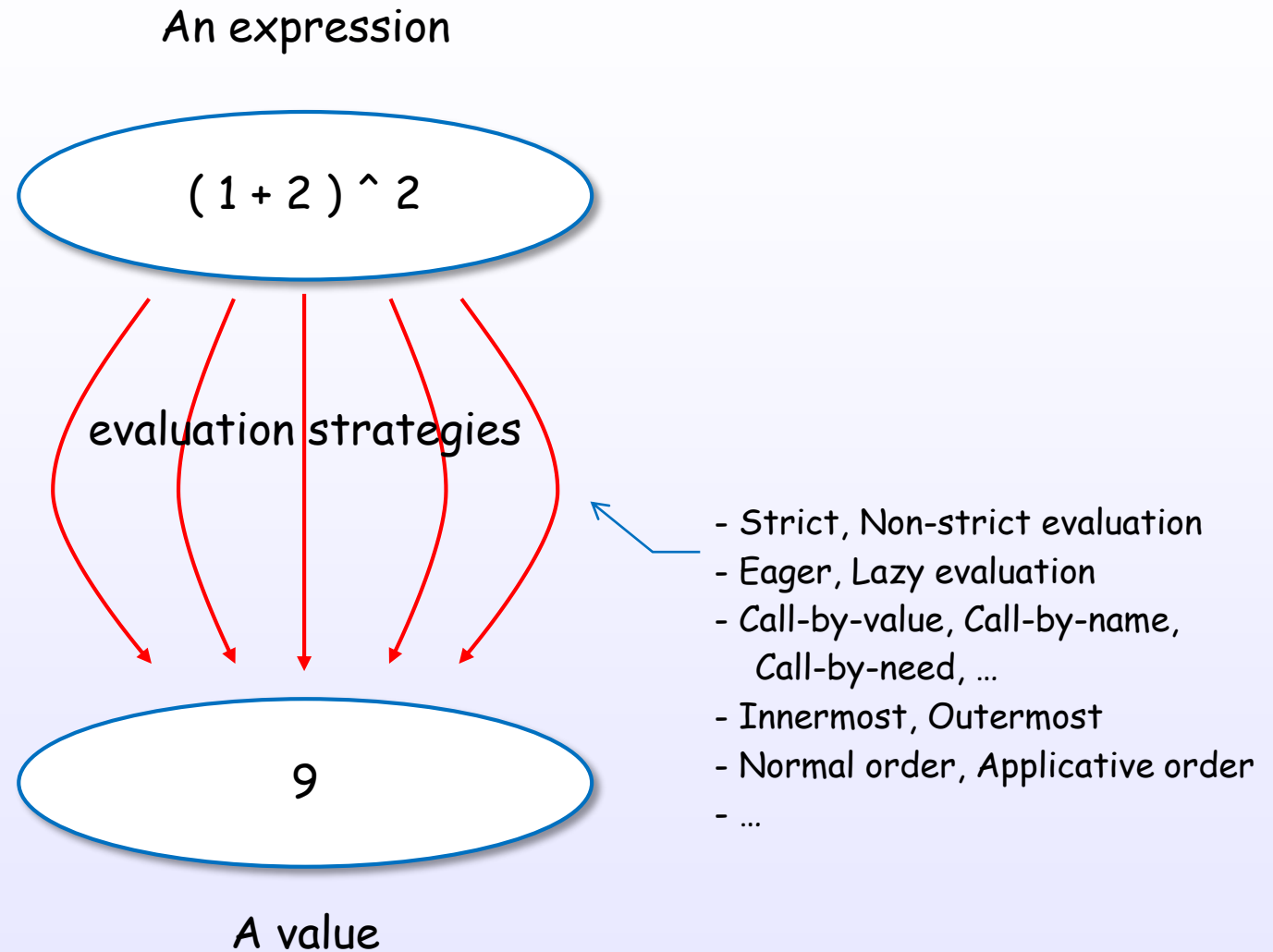


$1 + 2$

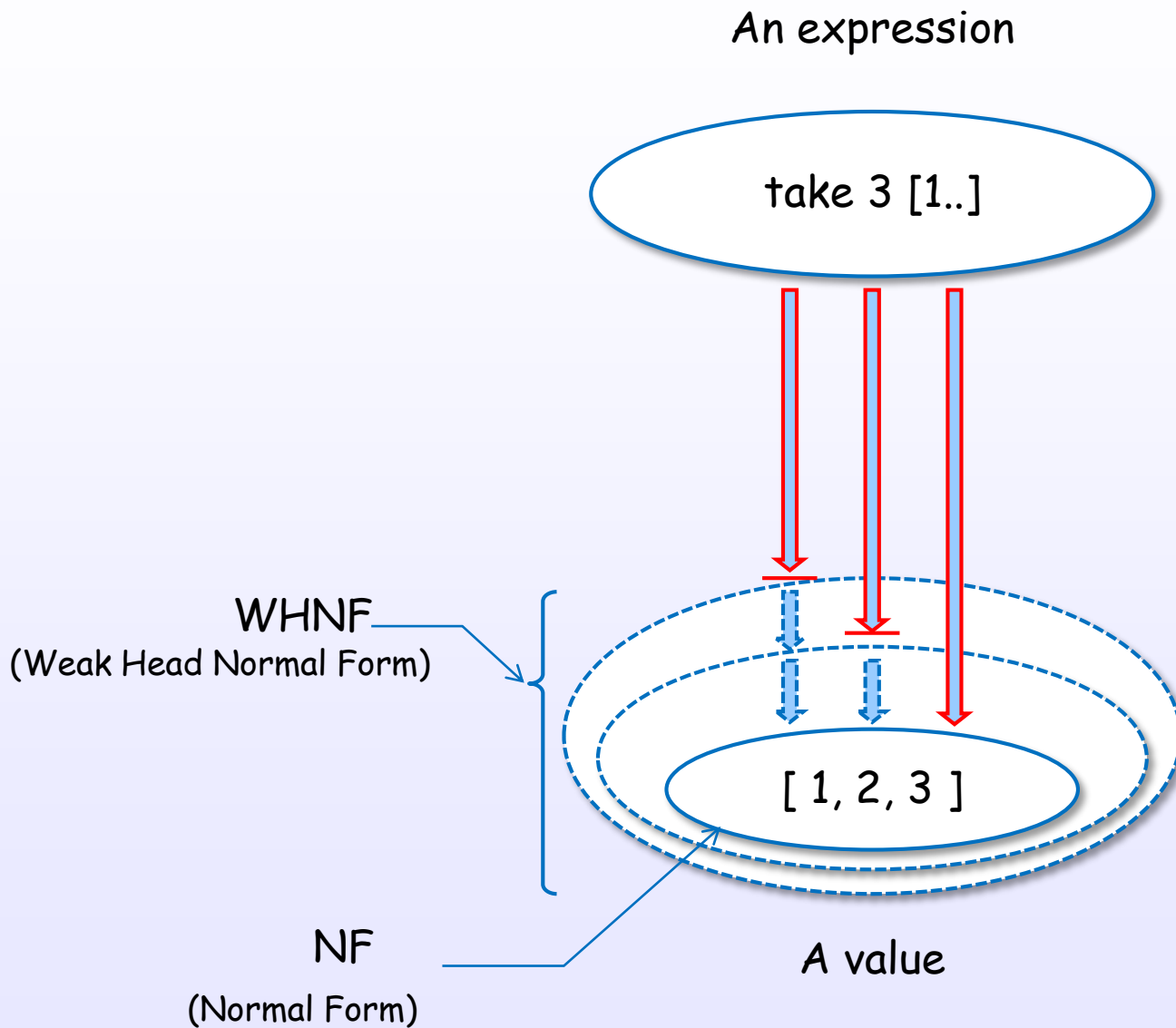
An expression is evaluated to a value



There are many evaluation approaches



There are some evaluation levels

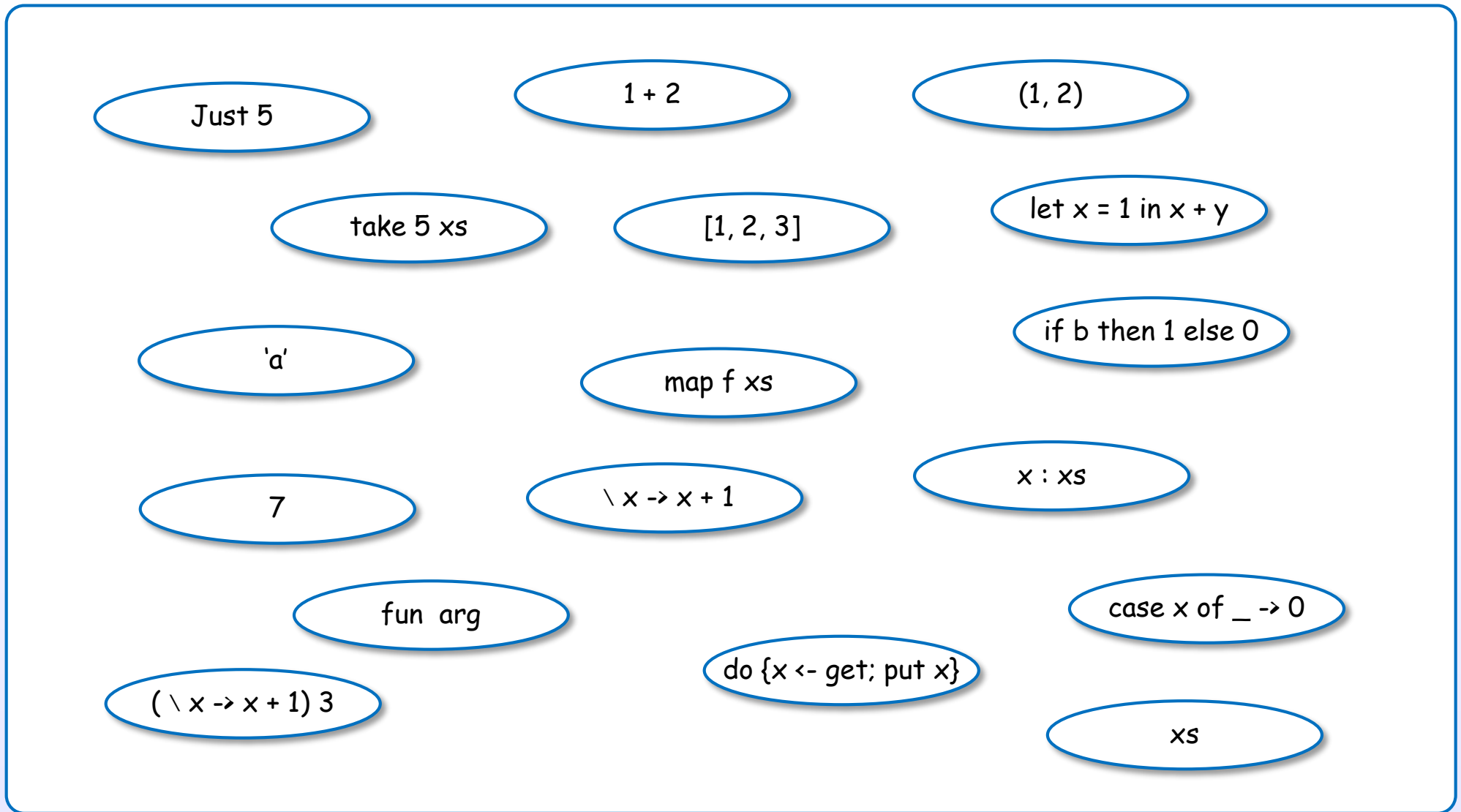


2. Expressions

Expressions in Haskell

There are many expressions in Haskell

Expressions



↓ categorizing

Expression categories in Haskell

lambda abstraction

`\ x -> x + 1`

let expression

`let x = 1 in x + y`

conditional

`if b then 1 else 0`

case expression

`case x of _ -> 0`

do expression

`do {x <- get; put x}`

function application

`take 5 xs`

`(\ x -> x + 1) 3`

`1 + 2`

`map f xs`

`fun arg`

general constructor, literal and some forms

`7`

`[1, 2, 3]`

`(1, 2)`

`'a'`

`x : xs`

`Just 5`

variable

`xs`

Specification is described in Haskell 2010 Language Report

"Haskell 2010 Language Report, Chapter 3 Expressions" [H1]

<i>exp</i>	→	<i>infixexp</i> :: [context =>] type <i>infixexp</i>	(expression type signature)
<i>infixexp</i>	→	<i>lexp</i> <i>qop</i> <i>infixexp</i> - <i>infixexp</i> <i>lexp</i>	(infix operator application) (prefix negation)
<i>lexp</i>	→	\ <i>apat</i> ₁ ... <i>apat</i> _{<i>n</i>} -> <i>exp</i> let <i>decls</i> in <i>exp</i> if <i>exp</i> [<i>i</i>] then <i>exp</i> [<i>i</i>] else <i>exp</i> case <i>exp</i> of { <i>alts</i> } do { <i>stmts</i> } <i>fexp</i>	(lambda abstraction, $n \geq 1$) (let expression) (conditional) (case expression) (do expression)
<i>fexp</i>	→	[<i>fexp</i>] <i>aexp</i>	(function application)
<i>aexp</i>	→	<i>qvar</i> <i>gcon</i> <i>literal</i> (<i>exp</i>) (<i>exp</i> ₁ , ... , <i>exp</i> _{<i>k</i>}) [<i>exp</i> ₁ , ... , <i>exp</i> _{<i>k</i>}] [<i>exp</i> ₁ [, <i>exp</i> ₂] .. [<i>exp</i> ₃]] [<i>exp</i> <i>qual</i> ₁ , ... , <i>qual</i> _{<i>n</i>}] (<i>infixexp</i> <i>qop</i>) (<i>qop</i> (-) <i>infixexp</i>) <i>qcon</i> { <i>fbind</i> ₁ , ... , <i>fbind</i> _{<i>n</i>} } <i>aexp</i> _{<i>qcon</i>} { <i>fbind</i> ₁ , ... , <i>fbind</i> _{<i>n</i>} }	(variable) (general constructor) (parenthesized expression) (tuple, $k \geq 2$) (list, $k \geq 1$) (arithmetic sequence) (list comprehension, $n \geq 1$) (left section) (right section) (labeled construction, $n \geq 0$) (labeled update, $n \geq 1$)

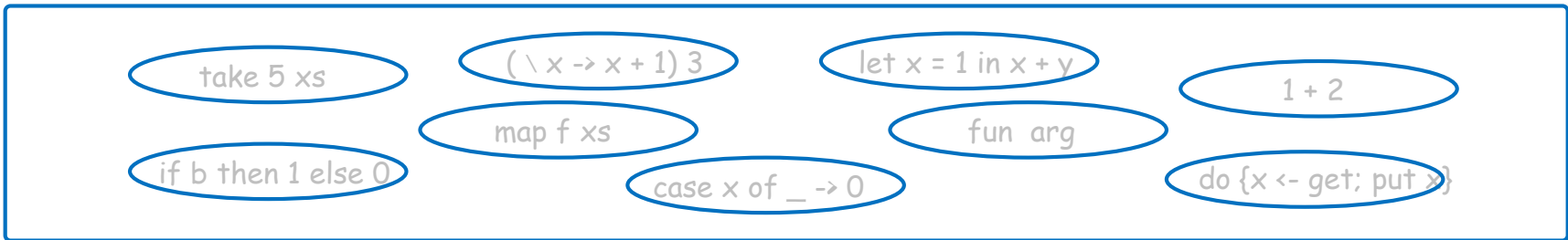
2. Expressions

Classification by values and forms

Classification by values

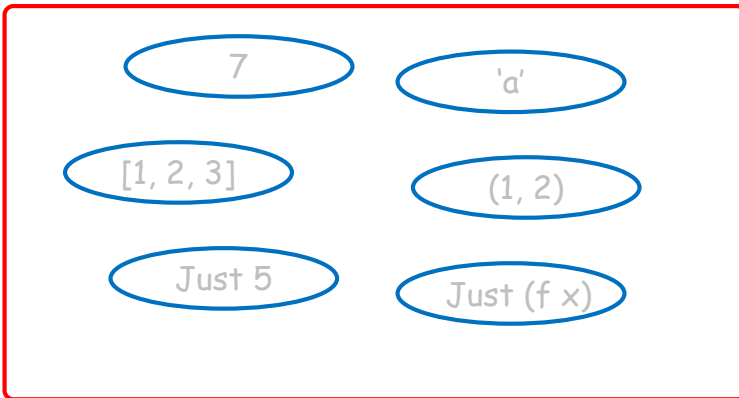
Expressions

unevaluated expressions

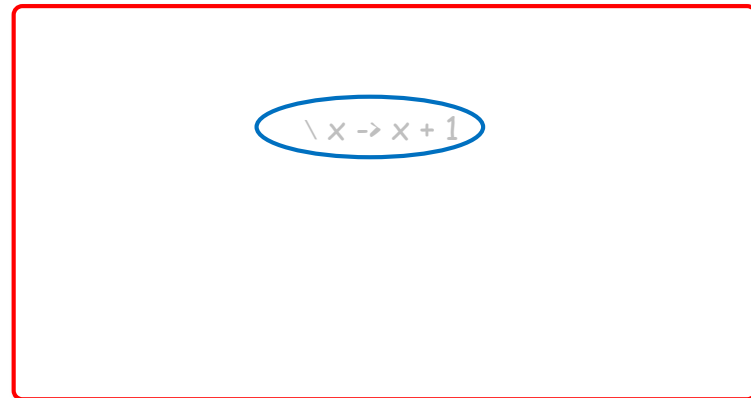


values

data values



function values



bottom

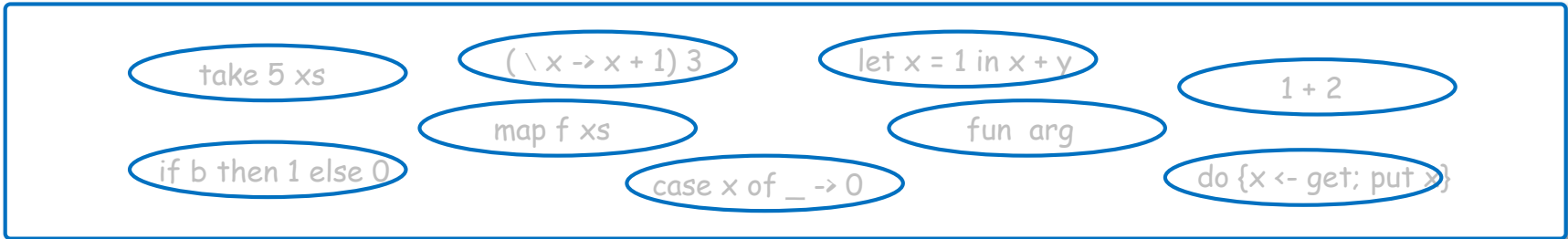


Values are data values or function values.

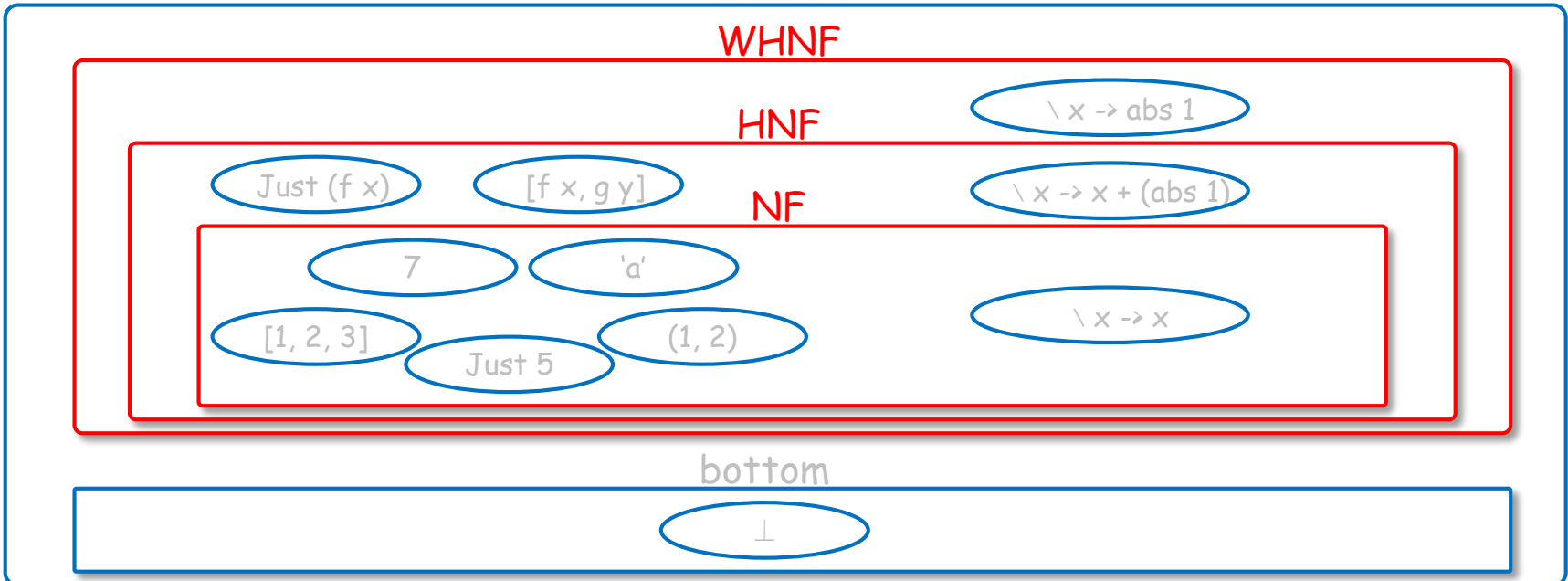
Classification by forms

Expressions

unevaluated expressions



values

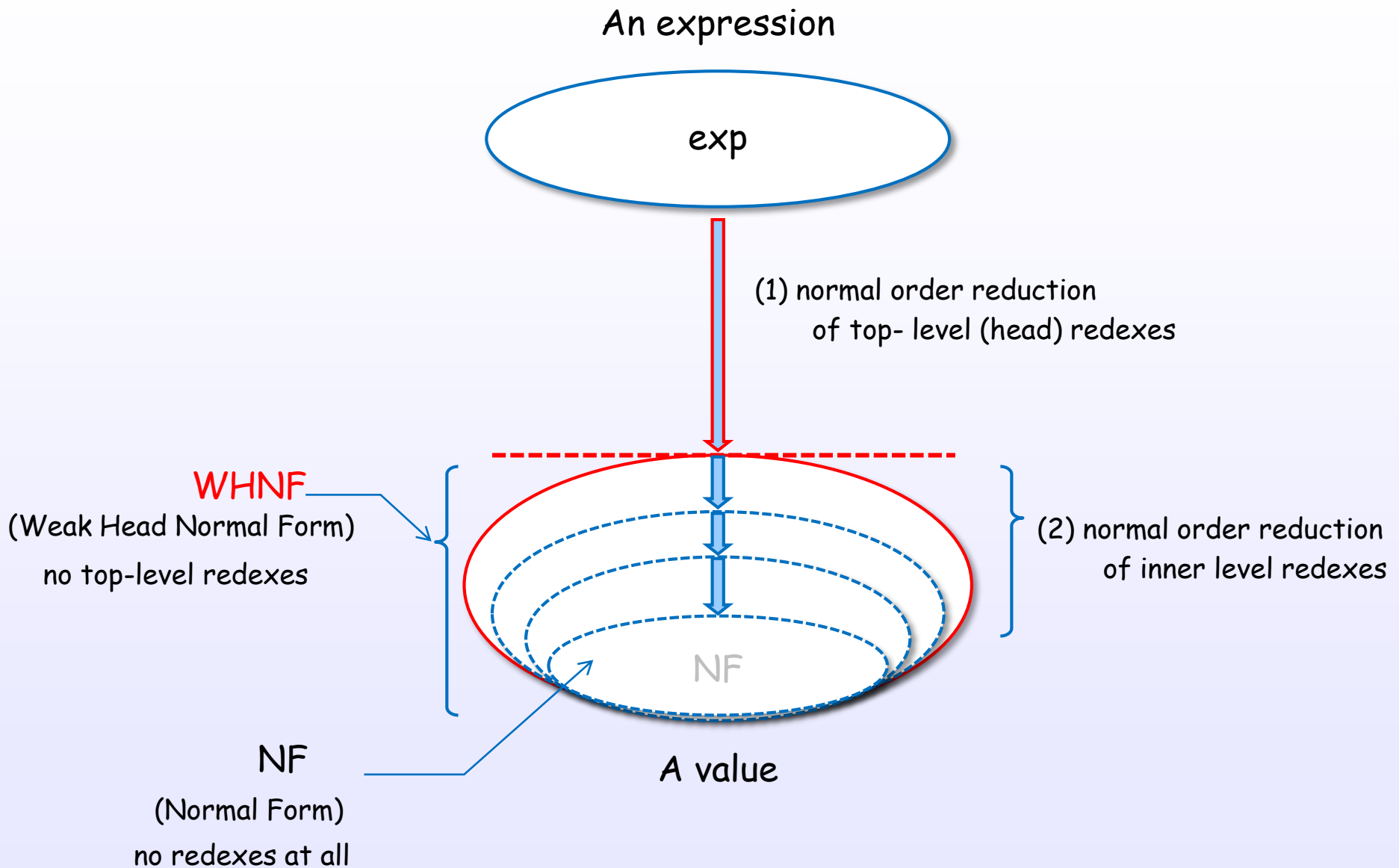


Values are WHNF, HNF or NF.

2. Expressions

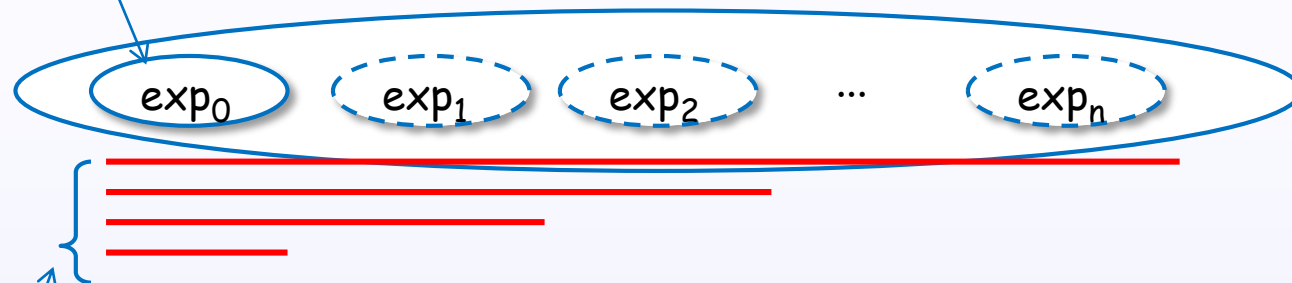
WHNF

WHNF is one of the form in the evaluated values



WHNF

top-level (head) is
a constructor or
a lambda abstraction

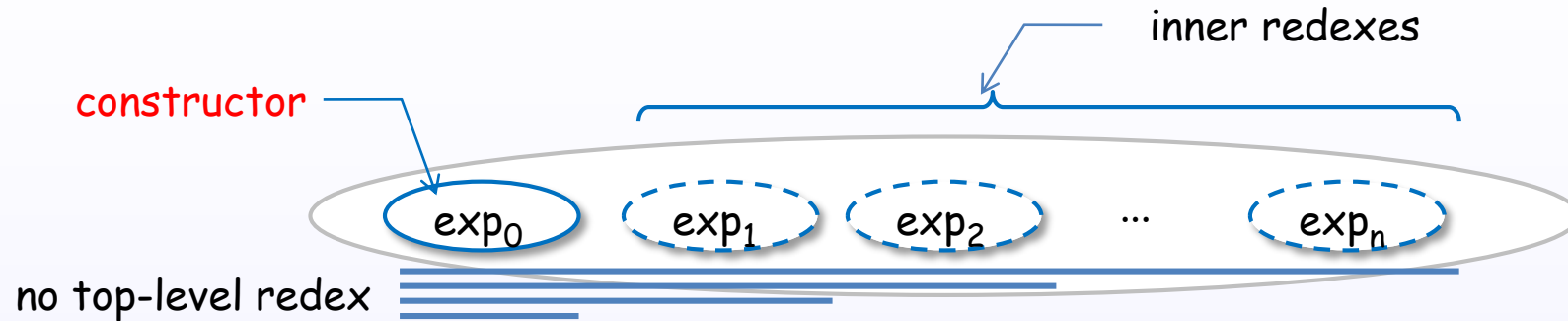


no top-level redex

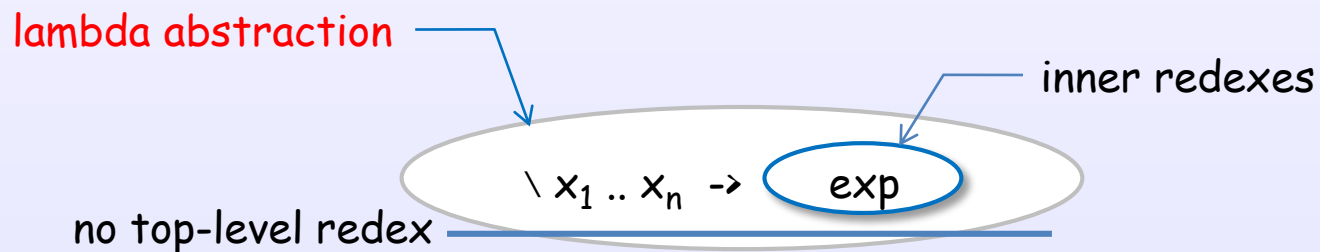
WHNF is a value which has evaluated top-level

WHNF for a data value and a function value

a data value in WHNF




a function value in WHNF

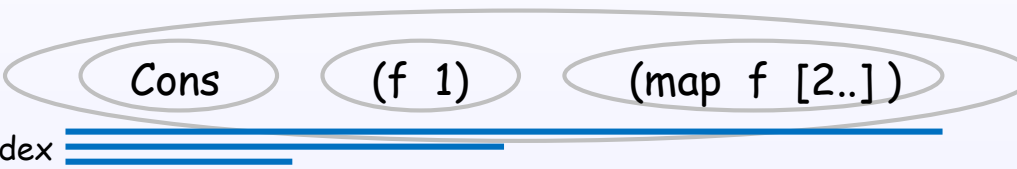


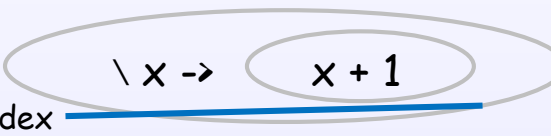
Examples of WHNF

WHNF

no top-level redex 

no top-level redex 

no top-level redex 

no top-level redex 

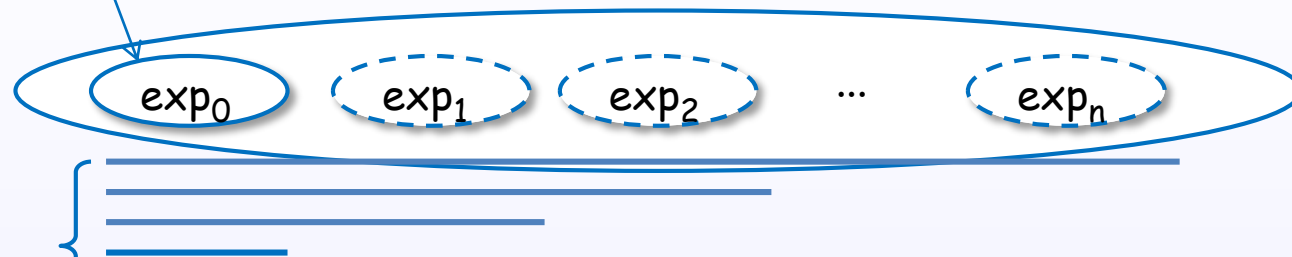
no WHNF

top level-redex 

top level-redex 

HNF

top-level (head) is
a constructor or
a lambda abstraction with no top-level redex



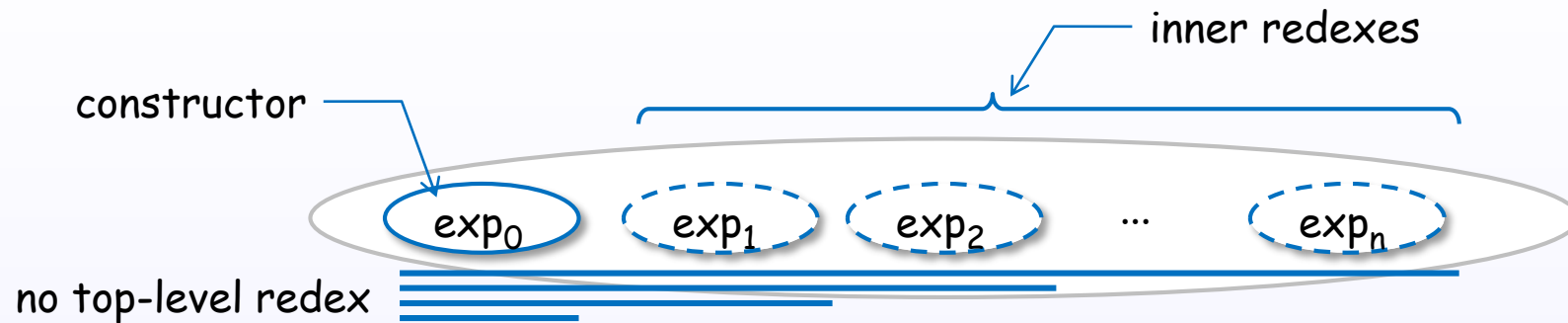
no top-level redex

HNF is a value which has evaluated top-level

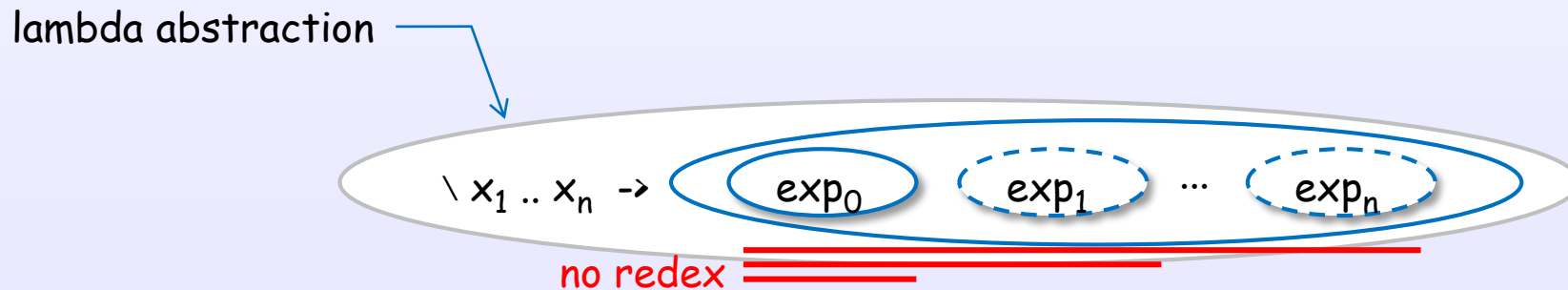
* GHC uses WHNF rather than HNF.

HNF for a data value and a function value

a data value in HNF (same as WHNF)



a function value in HNF

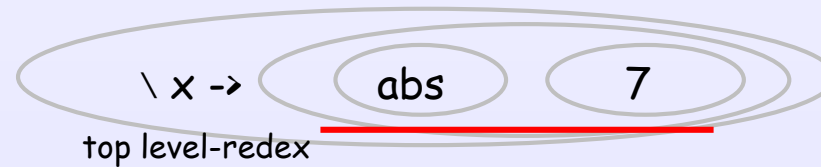
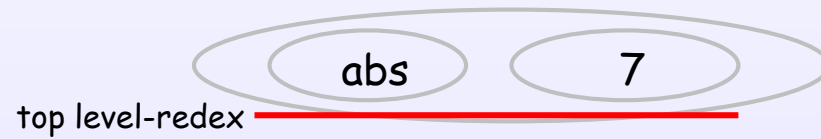


Examples of HNF

HNF

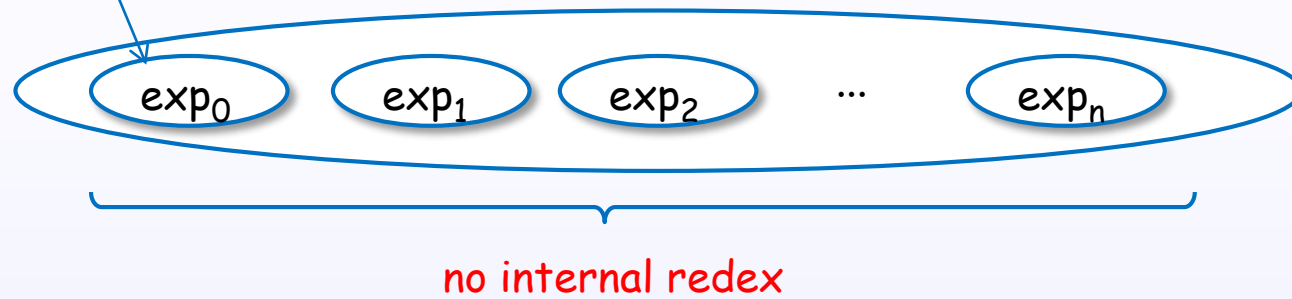


no HNF



NF

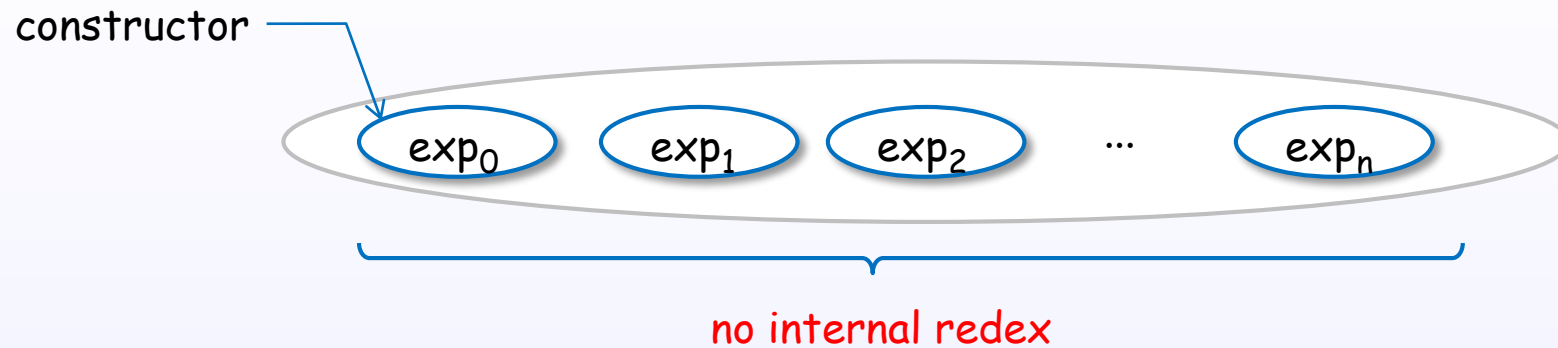
top-level (head) is
a constructor or
a lambda abstraction



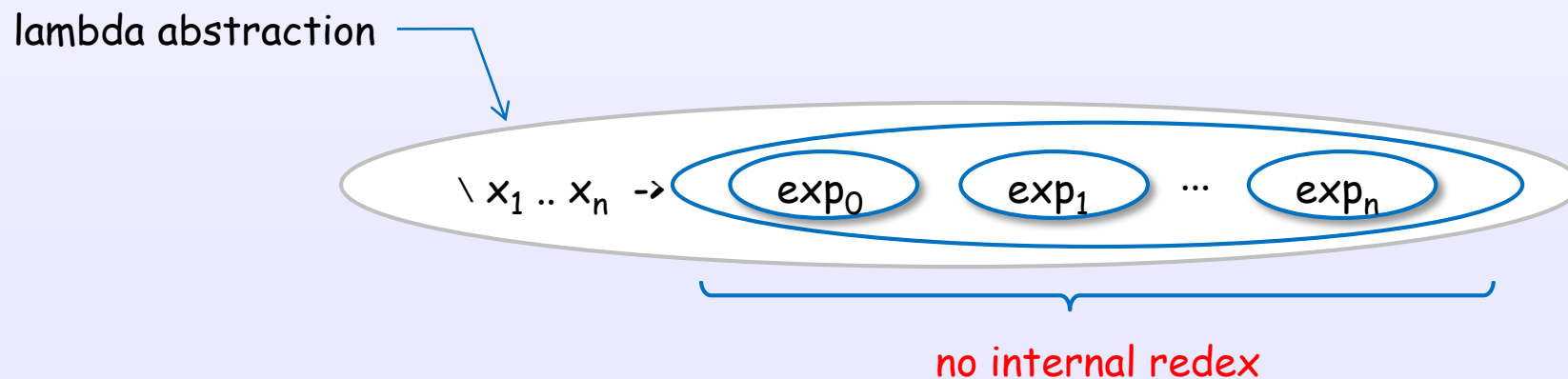
NF is a value which has no redex.

NF for a data value and a function value

a data value in NF

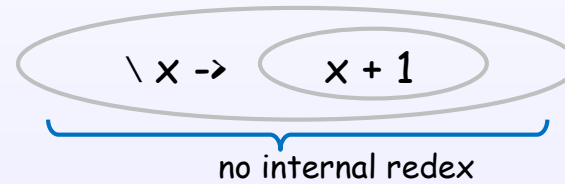
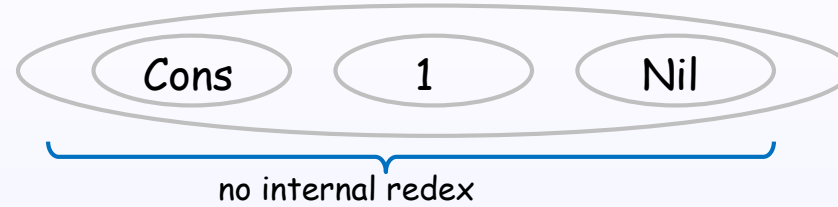
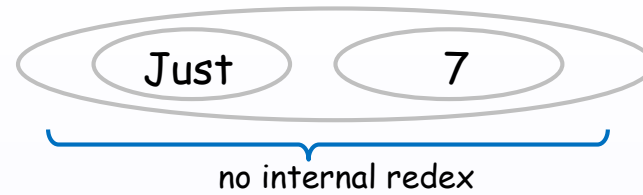


a function value in NF

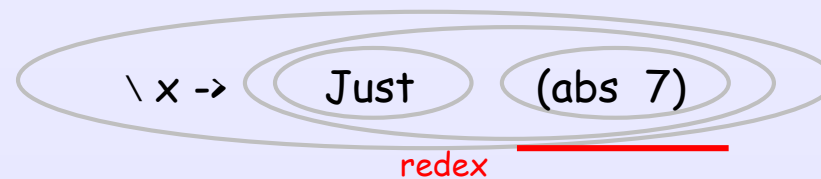
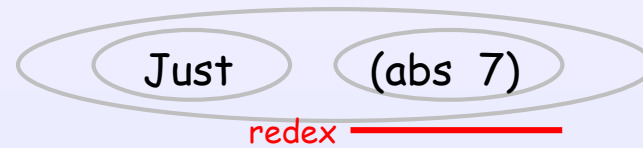


Examples of NF

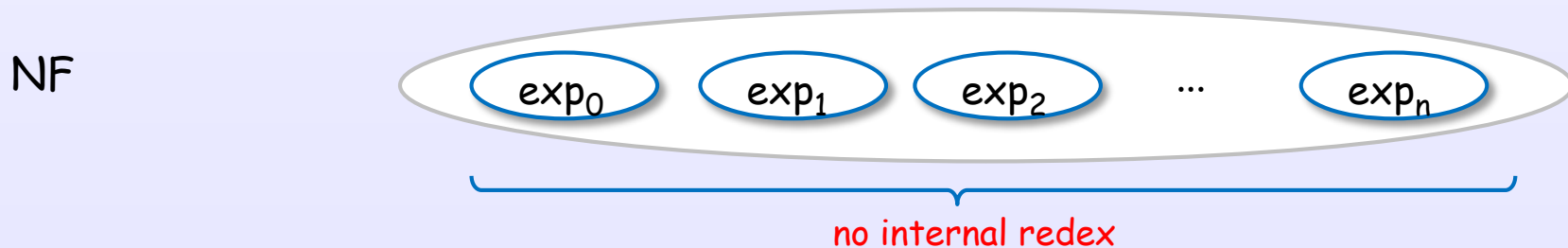
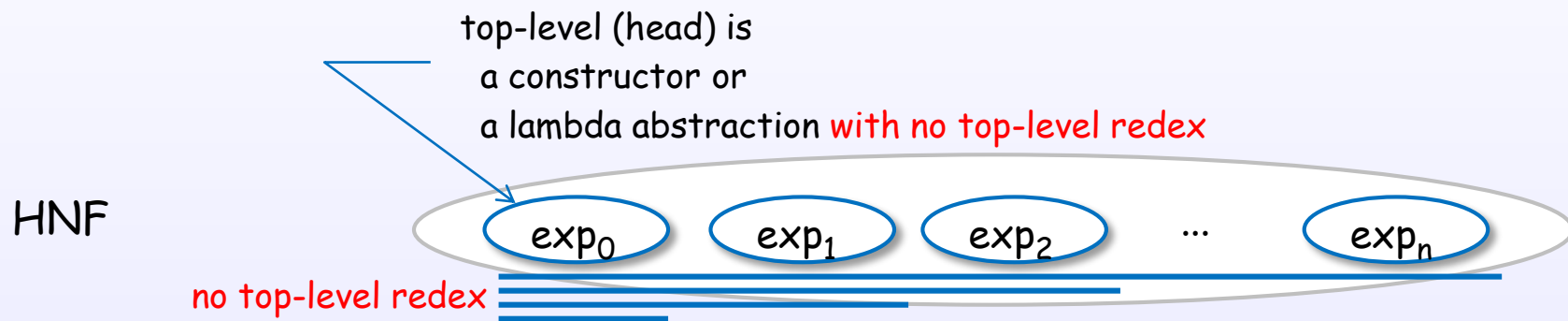
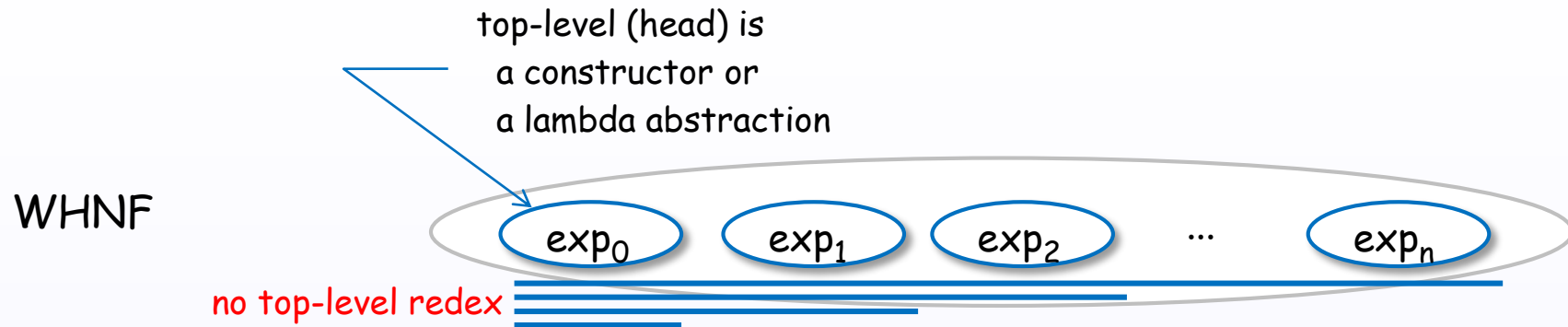
NF



no NF



WHNF, HNF, NF



Definition of WHNF and HNF

“The implementation of functional programming languages” [H4]

11.3.1 Weak Head Normal Form

To express this idea precisely we need to introduce a new definition:

DEFINITION

A lambda expression is in *weak head normal form* (WHNF) if and only if it is of the form

$$F E_1 E_2 \dots E_n$$

where $n \geq 0$;

and either F is a variable or data object

or F is a lambda abstraction or built-in function

and $(F E_1 E_2 \dots E_m)$ is not a redex for any $m \leq n$.

An expression has no *top-level redex* if and only if it is in weak head normal form.

11.3.3 Head Normal Form

Head normal form is often confused with normal form. Some discussion. The content of this section is somewhat controversial since for most purposes head normal form is sufficient. Nevertheless, we will stick to the definition of head normal form.

DEFINITION

A lambda expression is in *head normal form* (HNF) if and only if it is of the form

$$\lambda x_1. \lambda x_2. \dots \lambda x_n. (v M_1 M_2 \dots M_m)$$

where $n, m \geq 0$;

v is a variable (x_i), a data object, or a built-in function;

and $(v M_1 M_2 \dots M_p)$ is not a redex for any $p \leq m$.

3. Internal representation of expressions

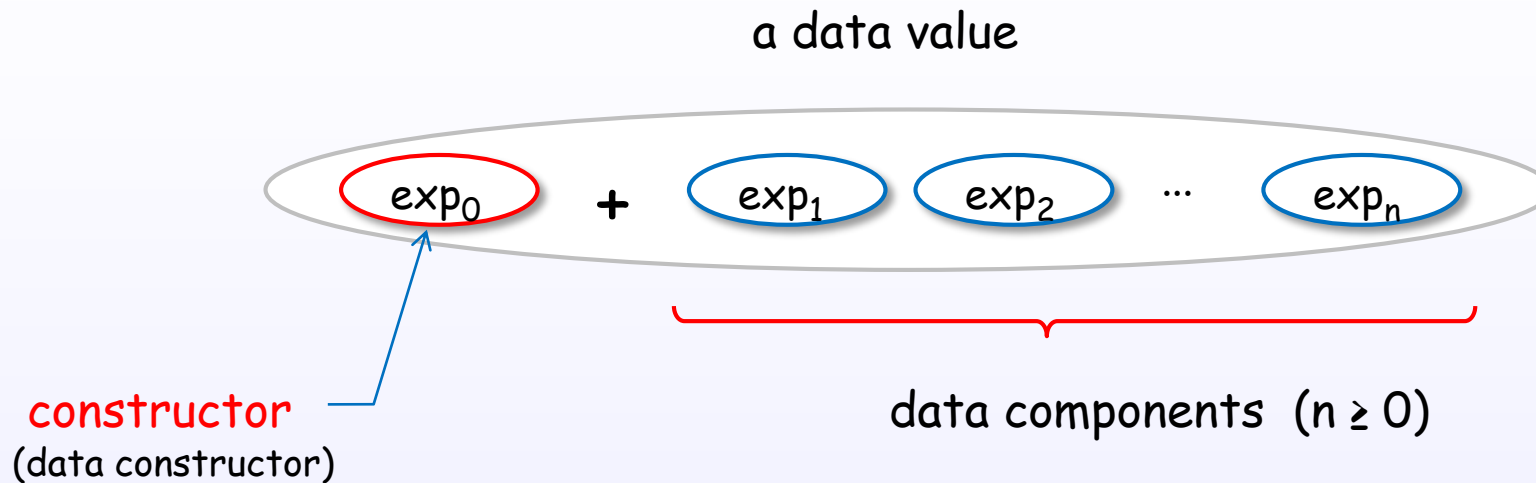
3. Internal representation of expressions

Constructor

Constructor

Constructor is one of the key elements to understand WHNF and lazy evaluation in Haskell.

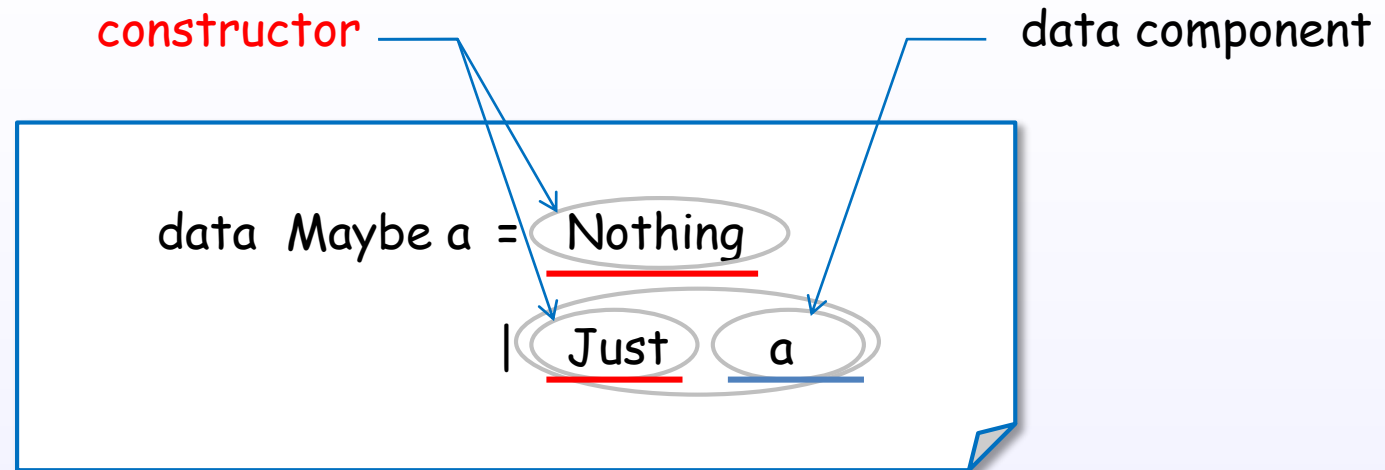
Constructor



A constructor builds a structured data value.

A constructor distinguishes the data value in expressions.

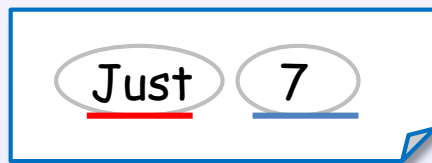
Constructors and data declaration



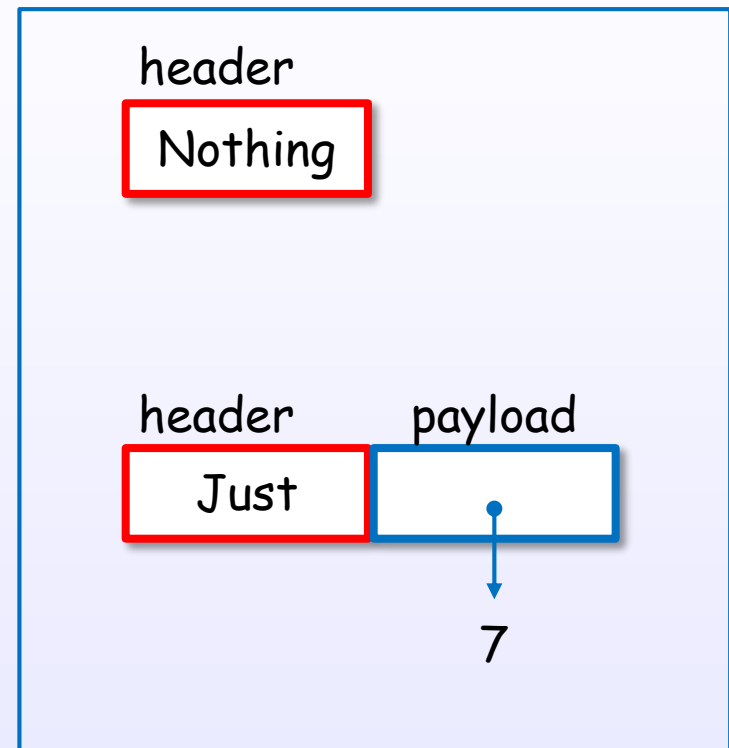
Constructors are defined by data declaration.

Internal representation of Constructors for data values

Haskell code



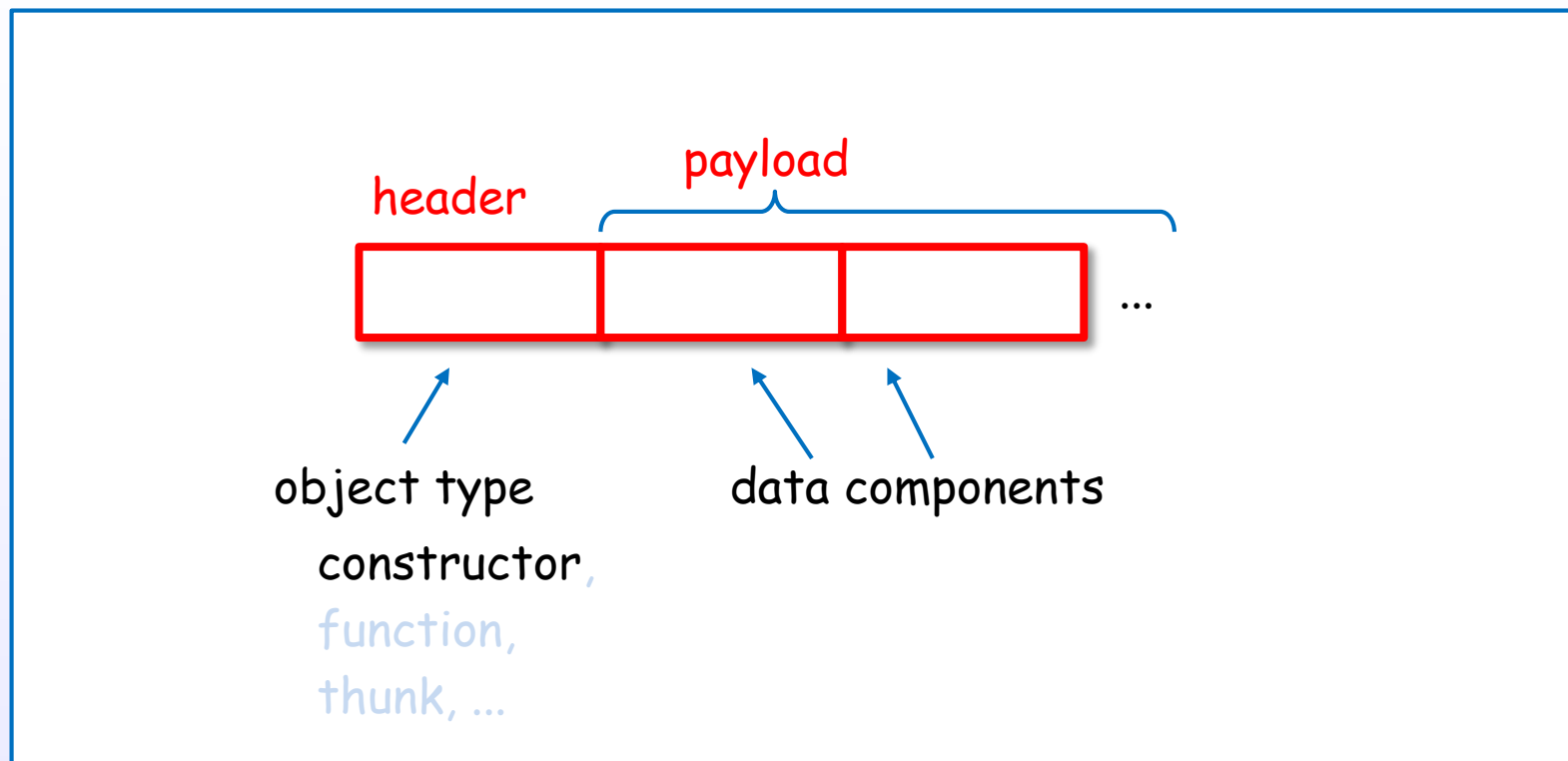
GHC's internal representation



heap memory

Constructors are represented uniformly

GHC's internal representation



in heap memory, stack or static memory

A data value is represented with header(constructor) + payload(components).

Representation of various constructors

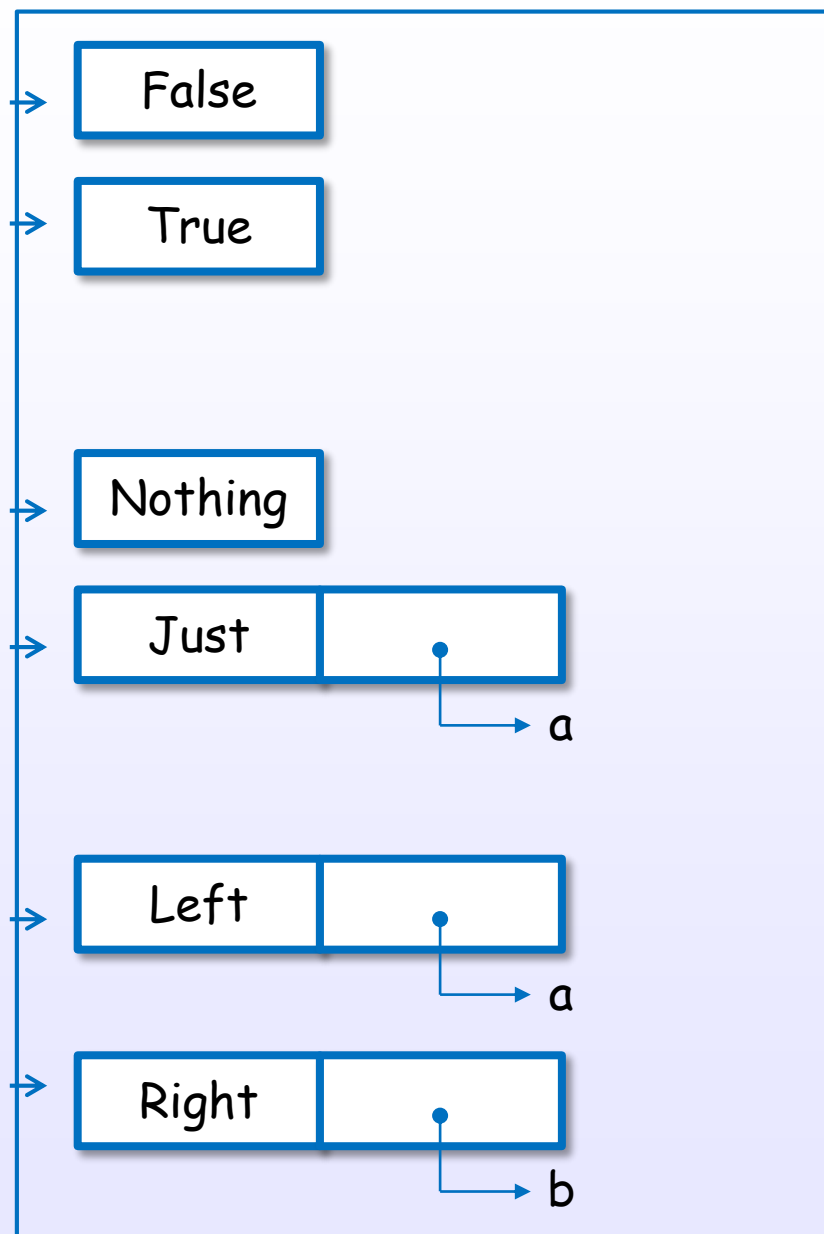
Haskell code

```
data Bool = False  
         | True
```

```
data Maybe a = Nothing  
            | Just a
```

```
data Either a b = Left a  
              | Right b
```

GHC's internal representation



Primitive data types are also represented with constructors

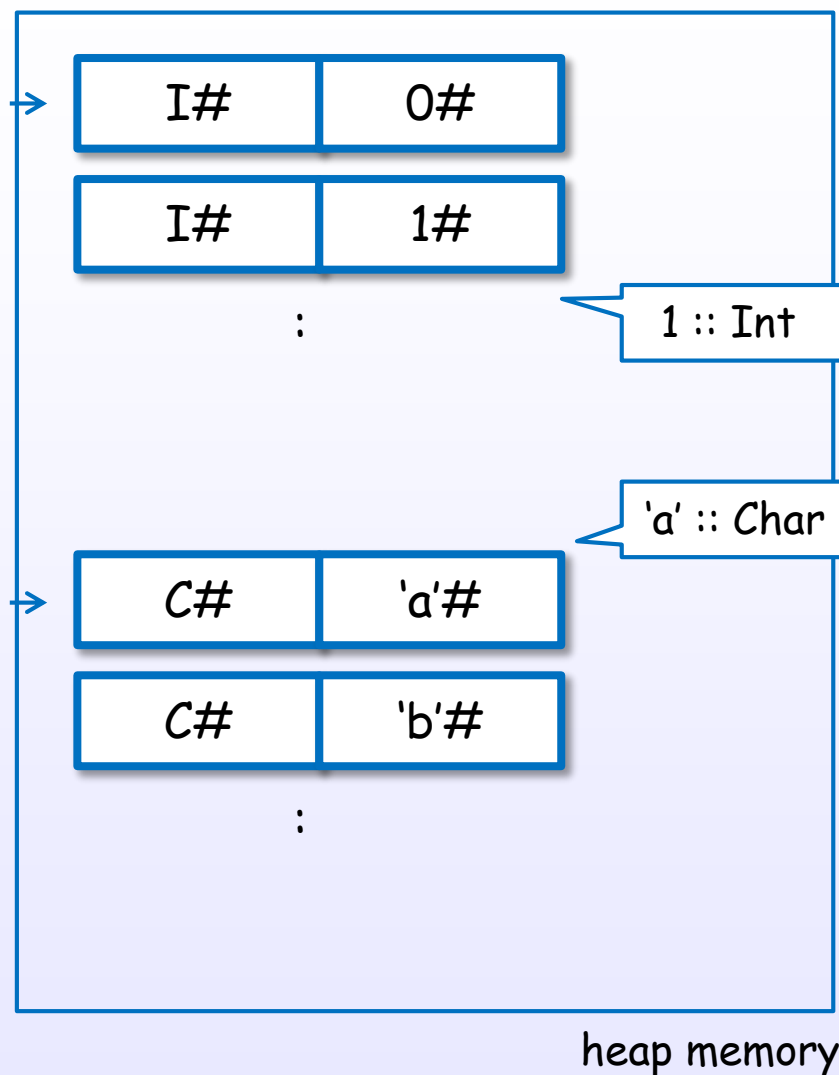
Haskell code

```
data Int = I# Int#
```

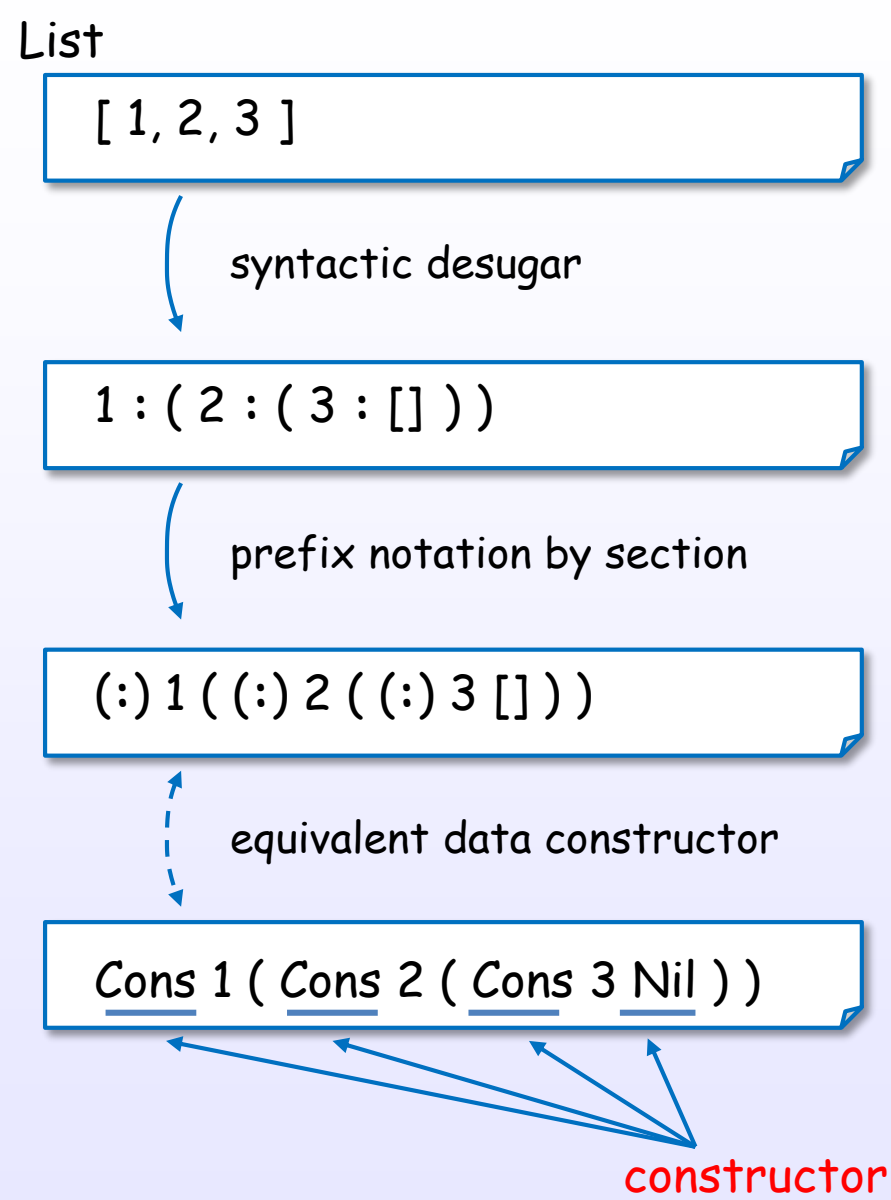
boxed integer unboxed integer

```
data Char = C# Char#
```

GHC's internal representation



List is also represented with constructors



List is also represented with constructors

List

```
[ 1, 2, 3 ]
```

syntactic desugar

```
1 : ( 2 : ( 3 : [] ) )
```

prefix notation by section

```
(:) 1 ( (:) 2 ( (:) 3 [] ) )
```

equivalent data constructor

```
Cons 1 ( Cons 2 ( Cons 3 Nil ) )
```

type declaration

** pseudo code*

```
data List a = []  
            | : a (List a)
```

```
data List a = Nil  
            | Cons a (List a)
```

List is also represented with constructors

Haskell code

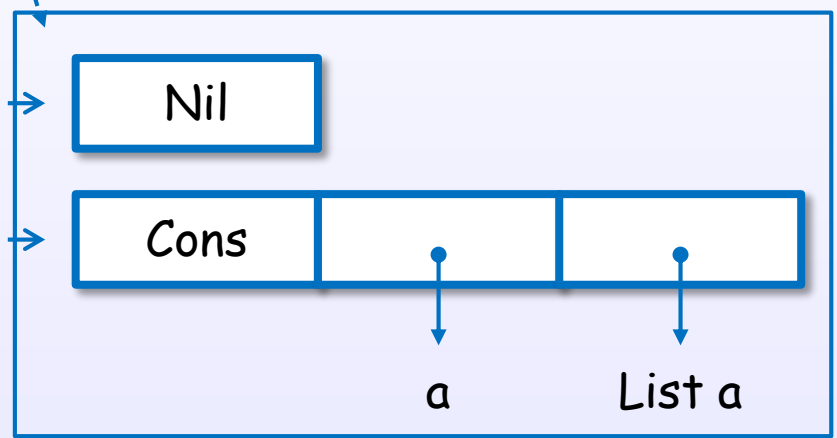
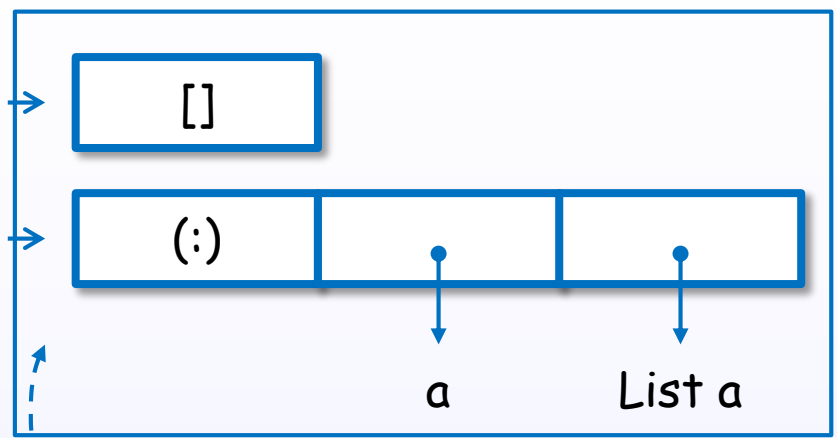
** pseudo code*

```
data List a = []  
           | : a (List a)
```

equivalent data constructor

```
data List a = Nil  
           | Cons a (List a)
```

GHC's internal representation



heap memory

List is also represented with constructors

Haskell code

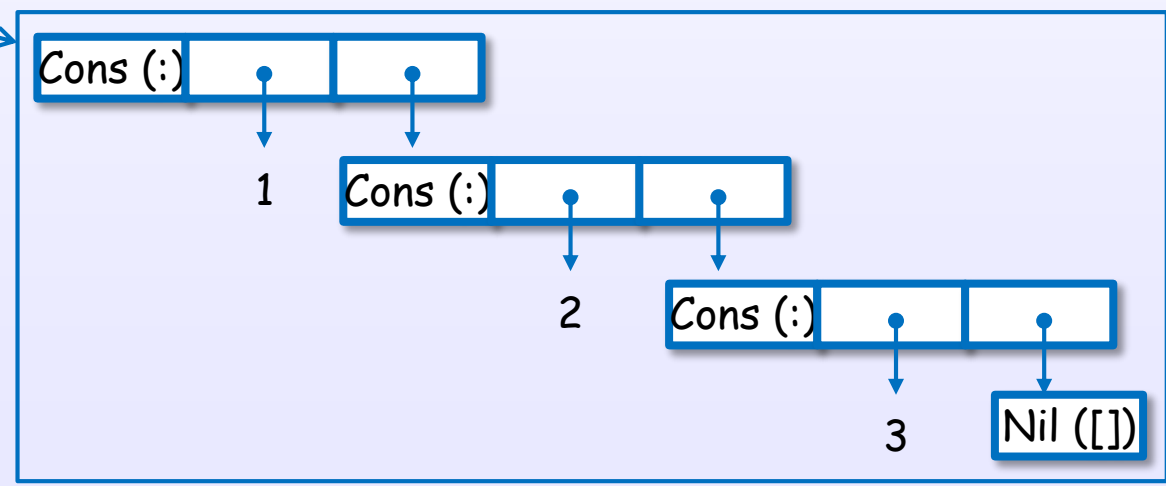
```
[ 1, 2, 3 ]
```

```
1 : ( 2 : ( 3 : [] ) )
```

```
(:) 1 ( (:) 2 ( (:) 3 [] ) )
```

```
Cons 1 ( Cons 2 ( Cons 3 Nil ) )
```

GHC's internal representation



Tuple is also represented with constructor

Tuple (Pair)

(7 , 8)

prefix notation by section

(,) 7 8

equivalent data constructor

Pair 7 8

constructor

type declaration

** pseudo code*

data Pair a = (,) a a

data Pair a = Pair a a

Tuple is also represented with constructor

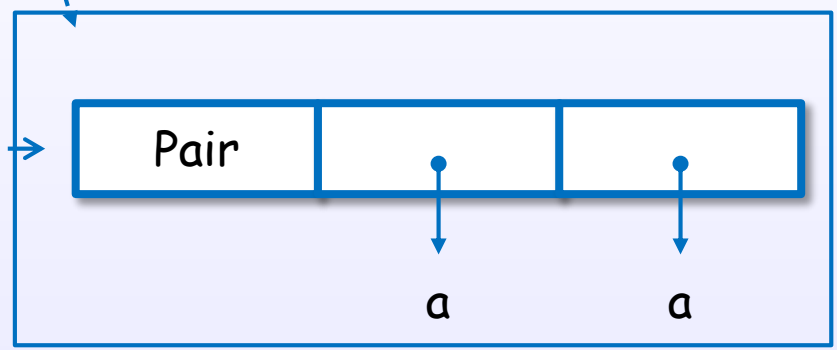
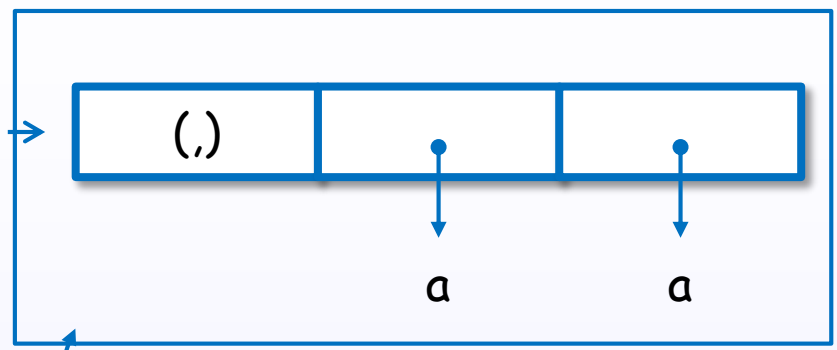
Haskell code

```
data Pair a = (,) a a
```

equivalent data constructor

```
data Pair a = Pair a a
```

GHC's internal representation



heap memory

Tuple is also represented with constructor

Haskell code

```
(7, 8)
```

```
(,) 7 8
```

```
Pair 7 8
```

GHC's internal representation

```
Pair (,)
```

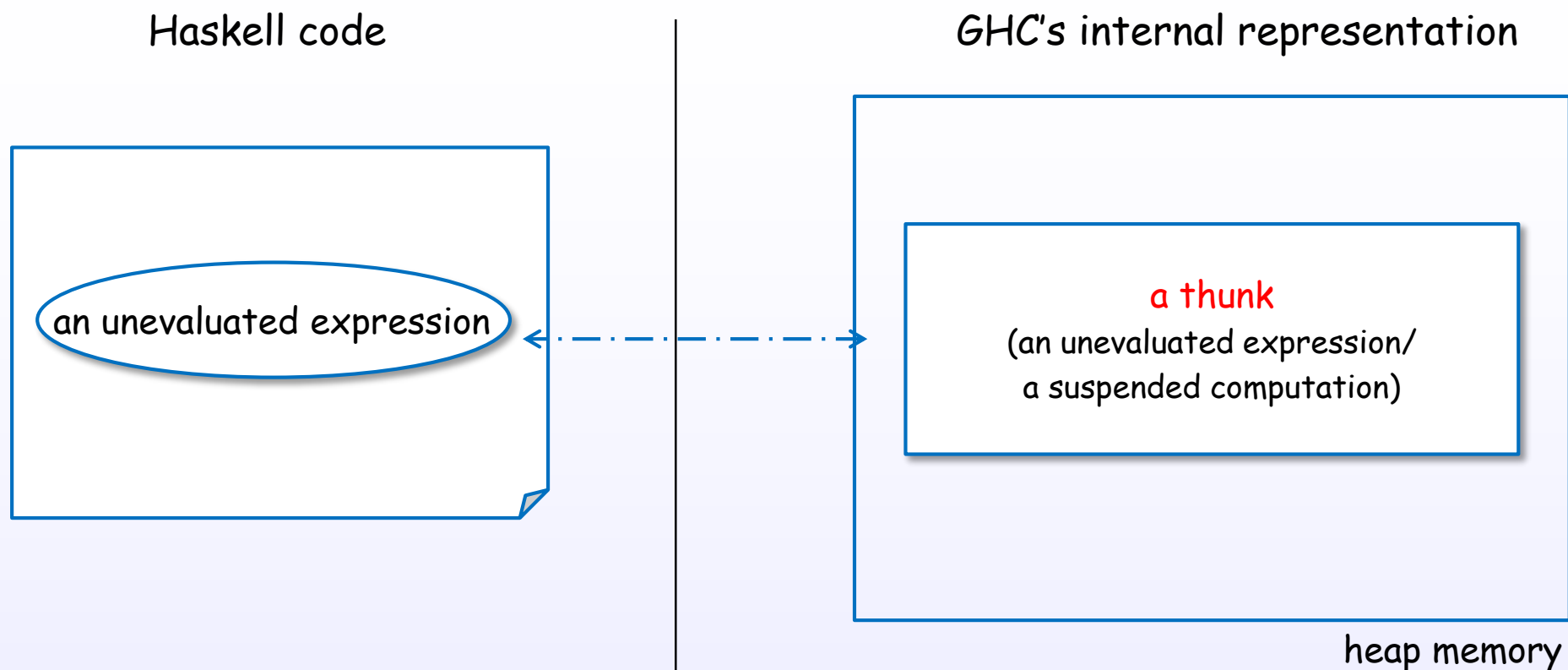
```
7
```

```
8
```

3. Internal representation of expressions

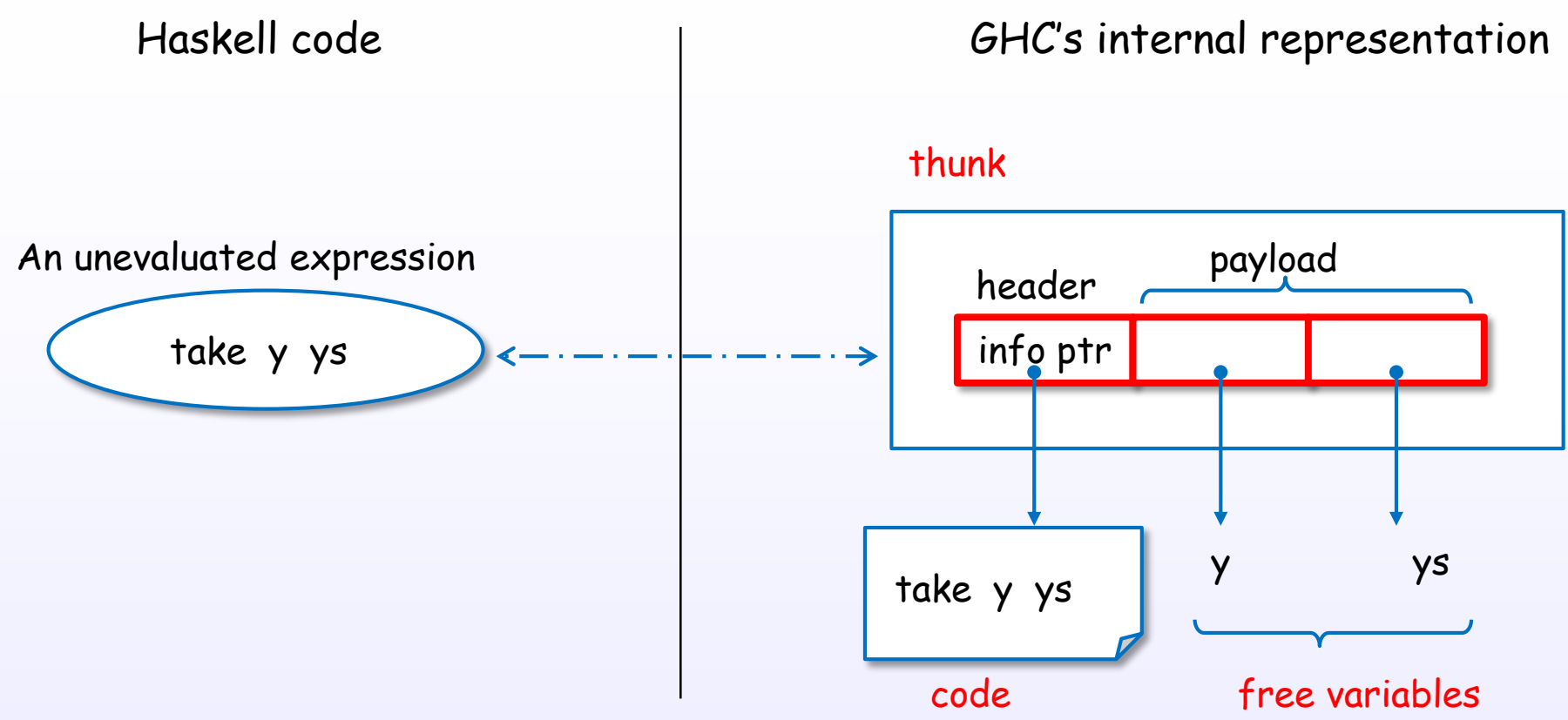
Thunk

Thunk



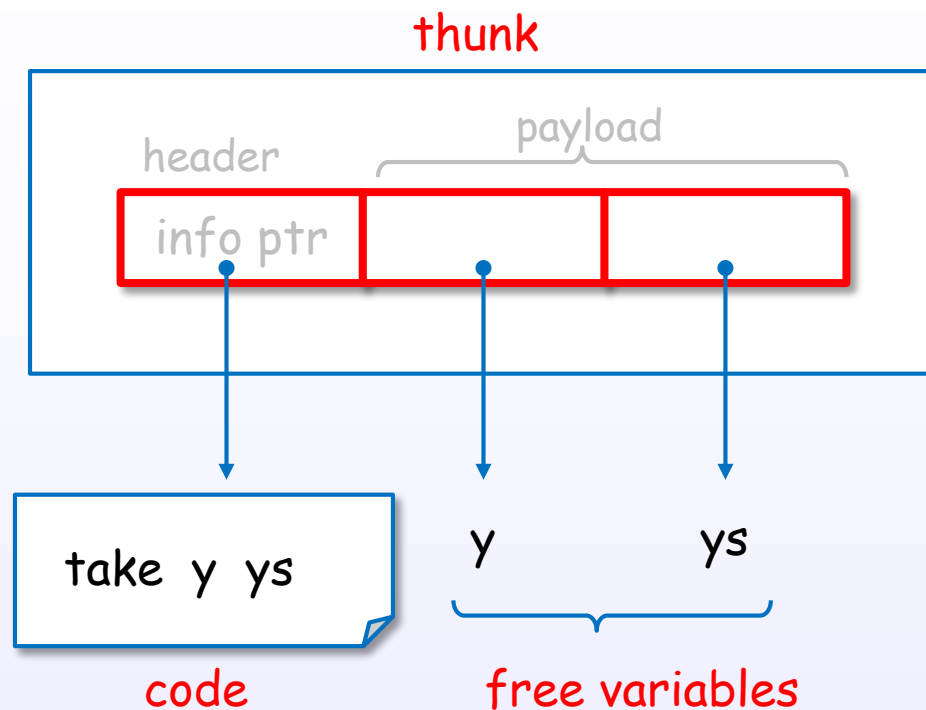
A thunk is an **unevaluated** expression in heap memory.
A thunk is built to **postpone** the evaluation.

Internal representation of a thunk



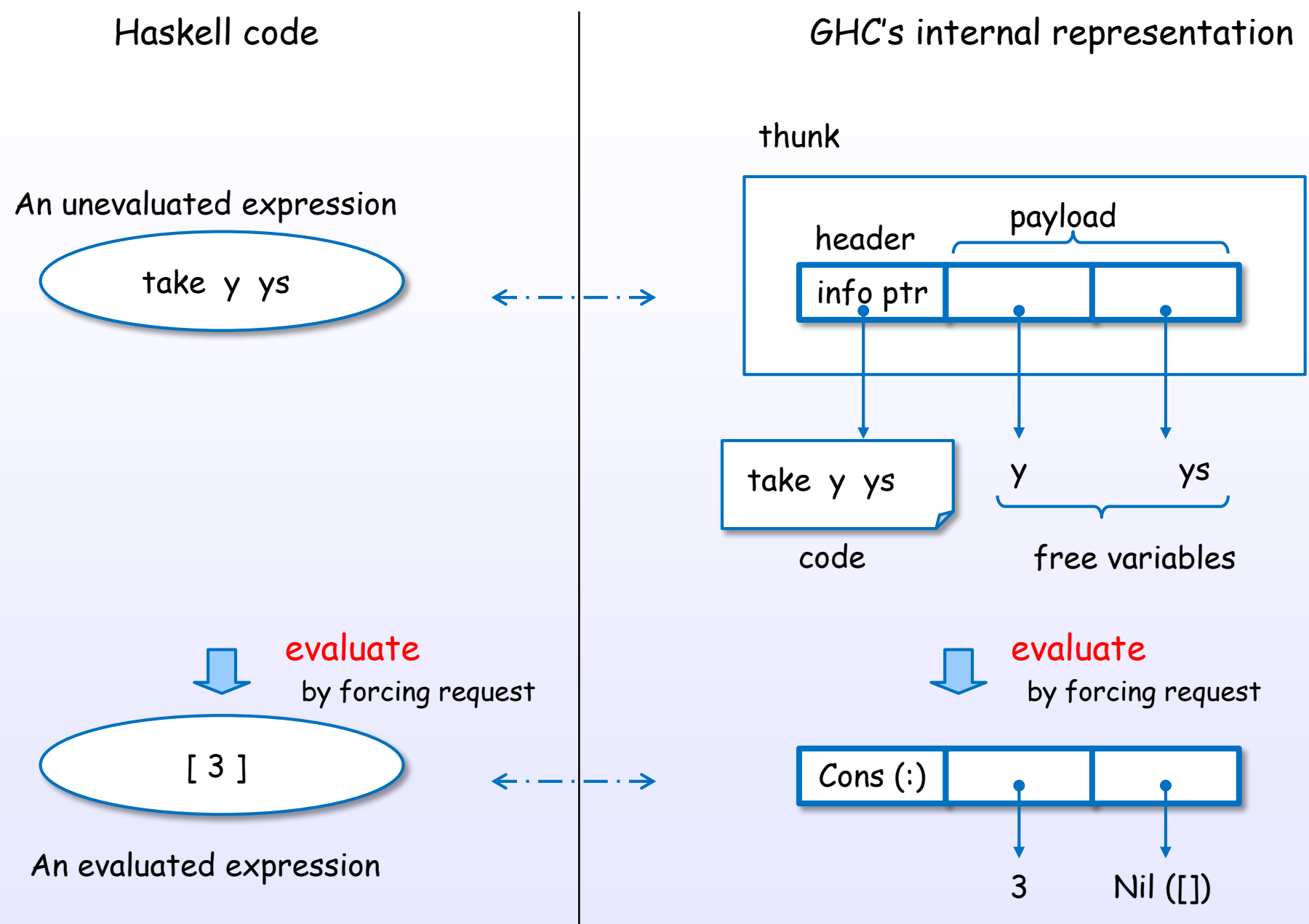
A thunk is represented with header(code) + payload(free variables).

A thunk is a package



A thunk is a package of code + free variables.

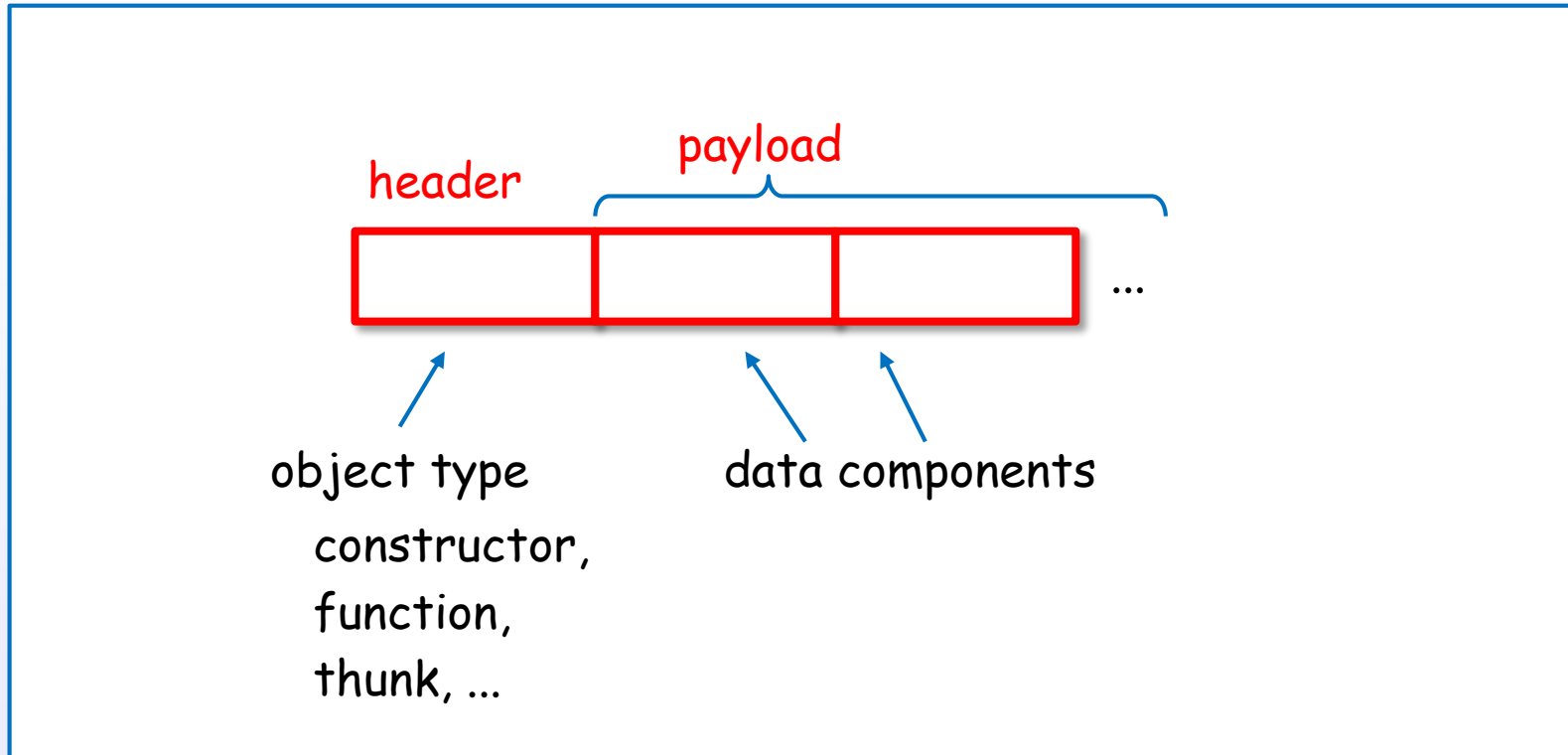
A thunk is evaluated by forcing request



3. Internal representation of expressions

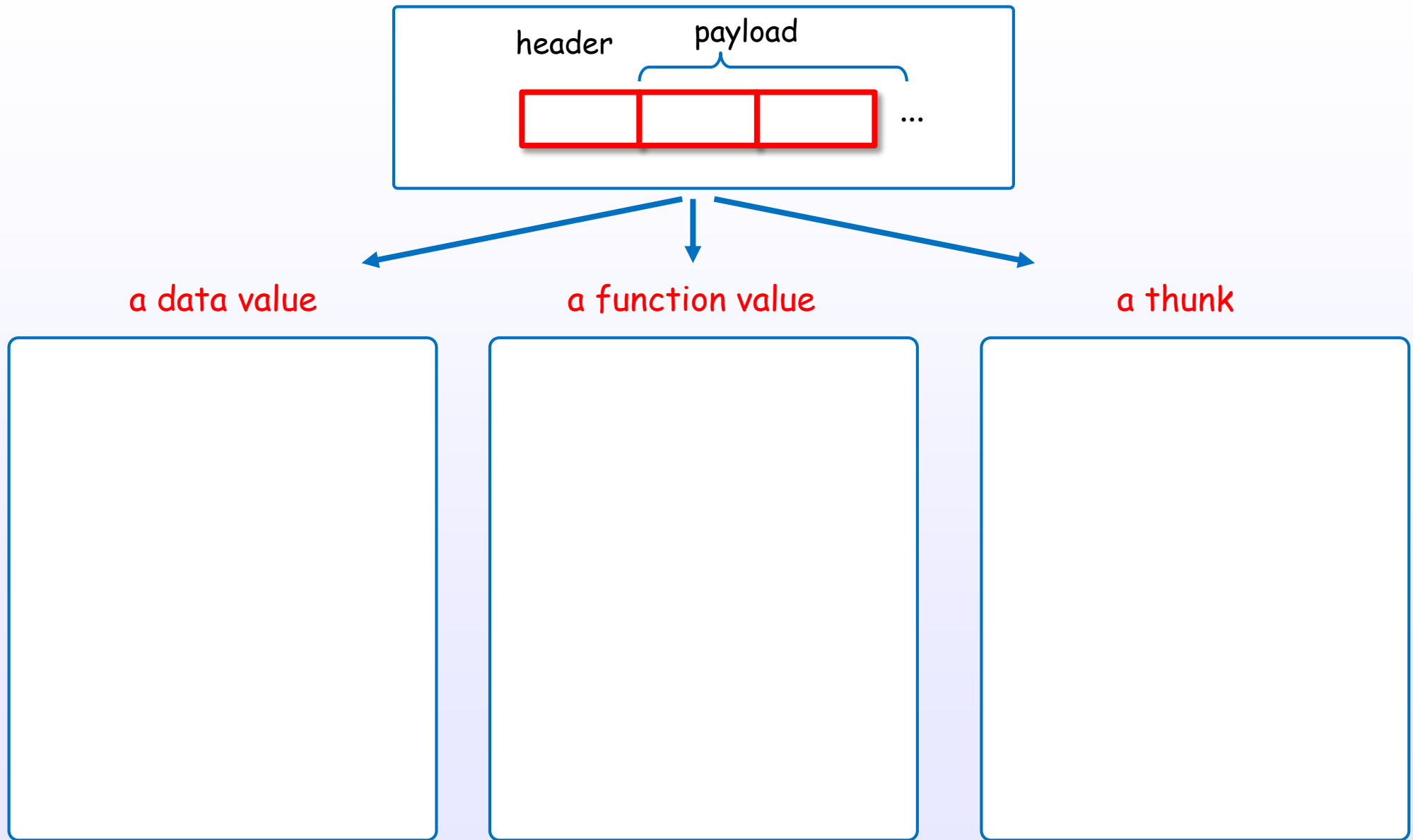
Uniform representation

Every object is uniformly represented in memory

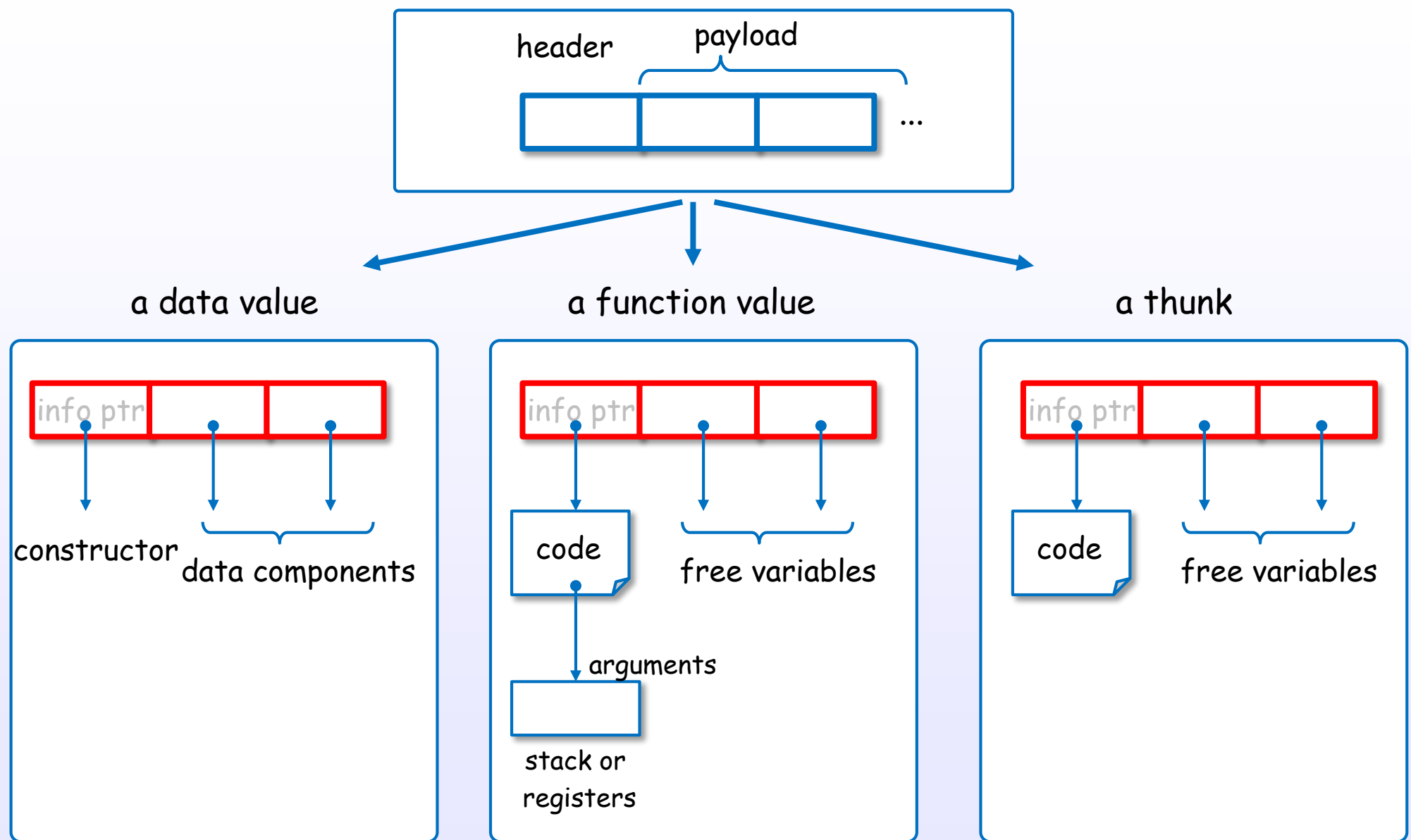


in heap memory, stack or static memory

Every object is uniformly represented in memory



Every object is uniformly represented in memory

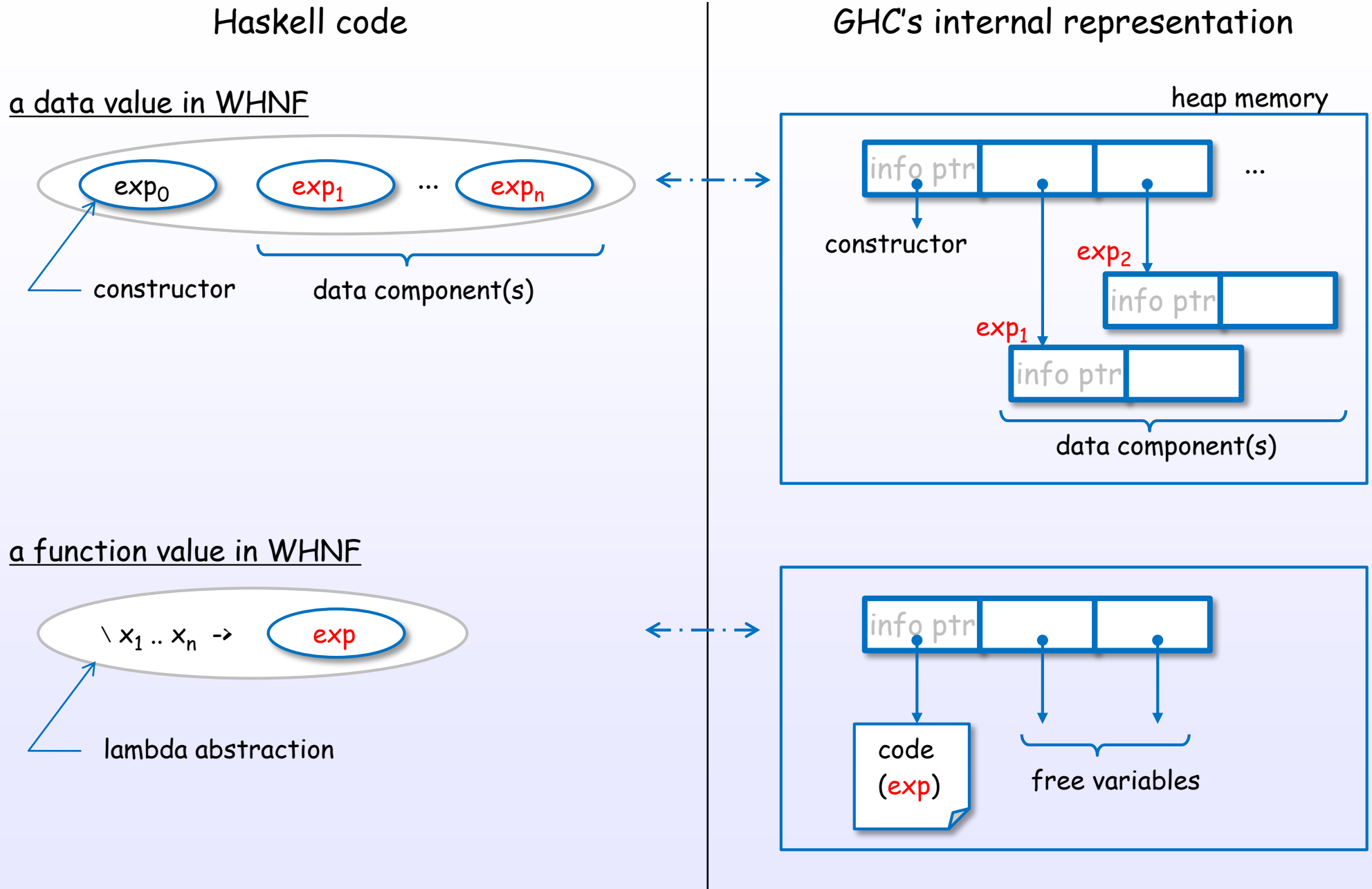


* At exactly, a thunk object has a reserved field in second.

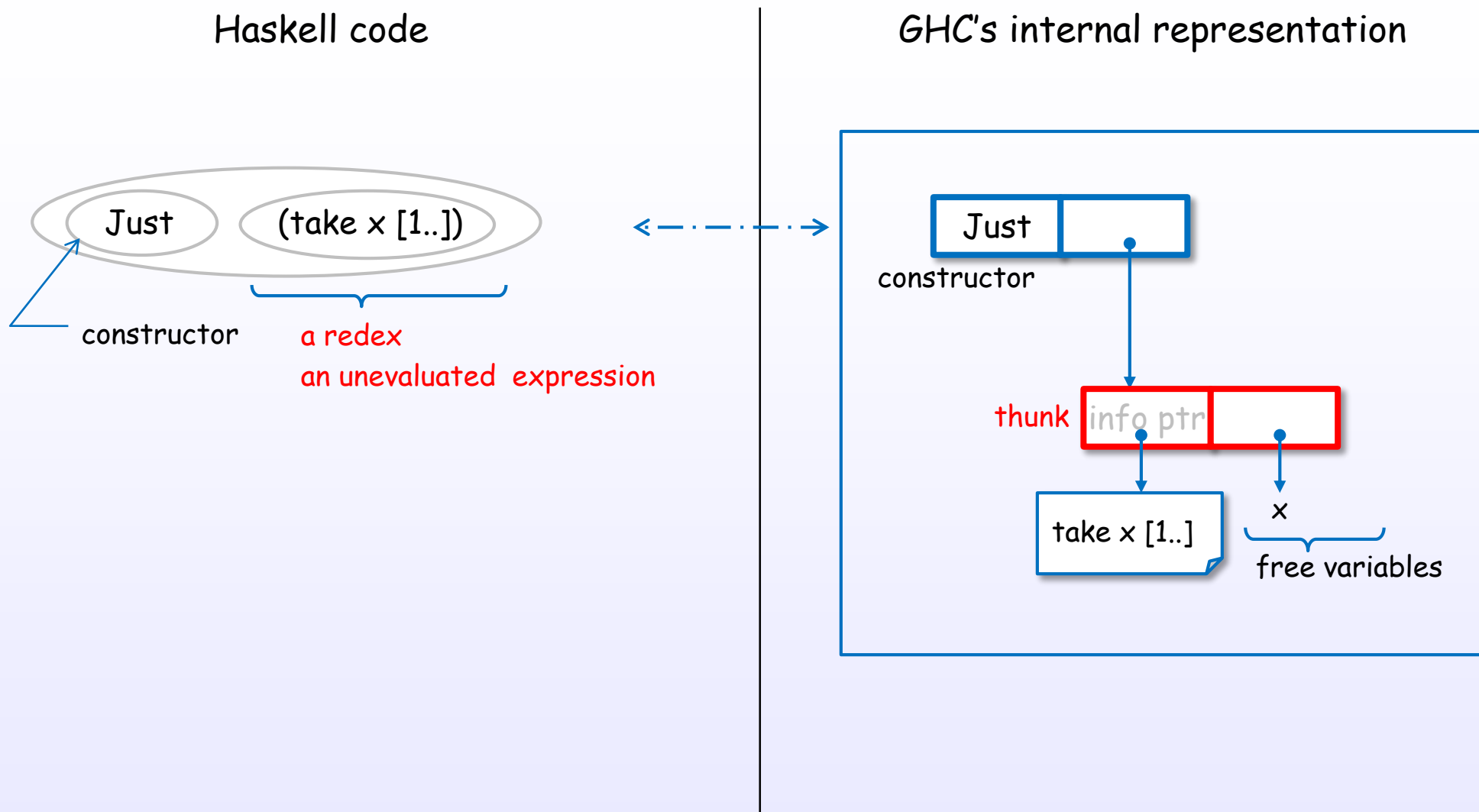
3. Internal representation of expressions

WHNF

Internal representation of WHNF

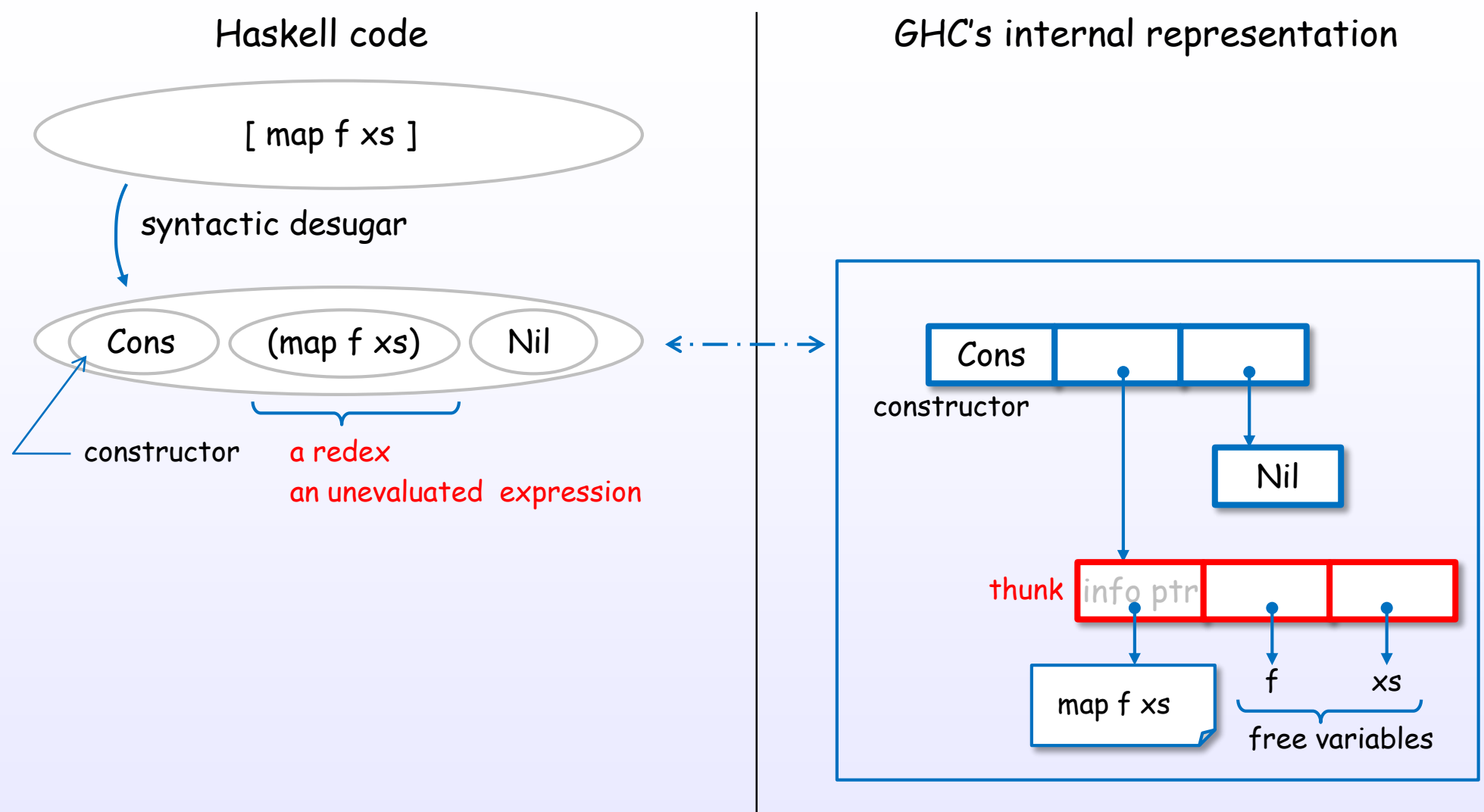


Example of WHNF for a data value



Constructors can contain unevaluated expressions by thunks.
 Haskell's constructors are lazy constructors.

Example of WHNF for a data value



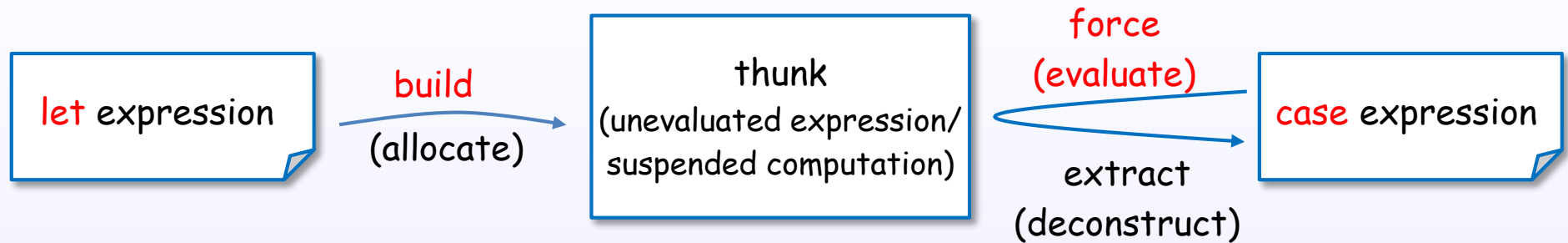
3. Internal representation of expressions

let, case expression

let, case expression

let and case expressions are special role in the evaluation

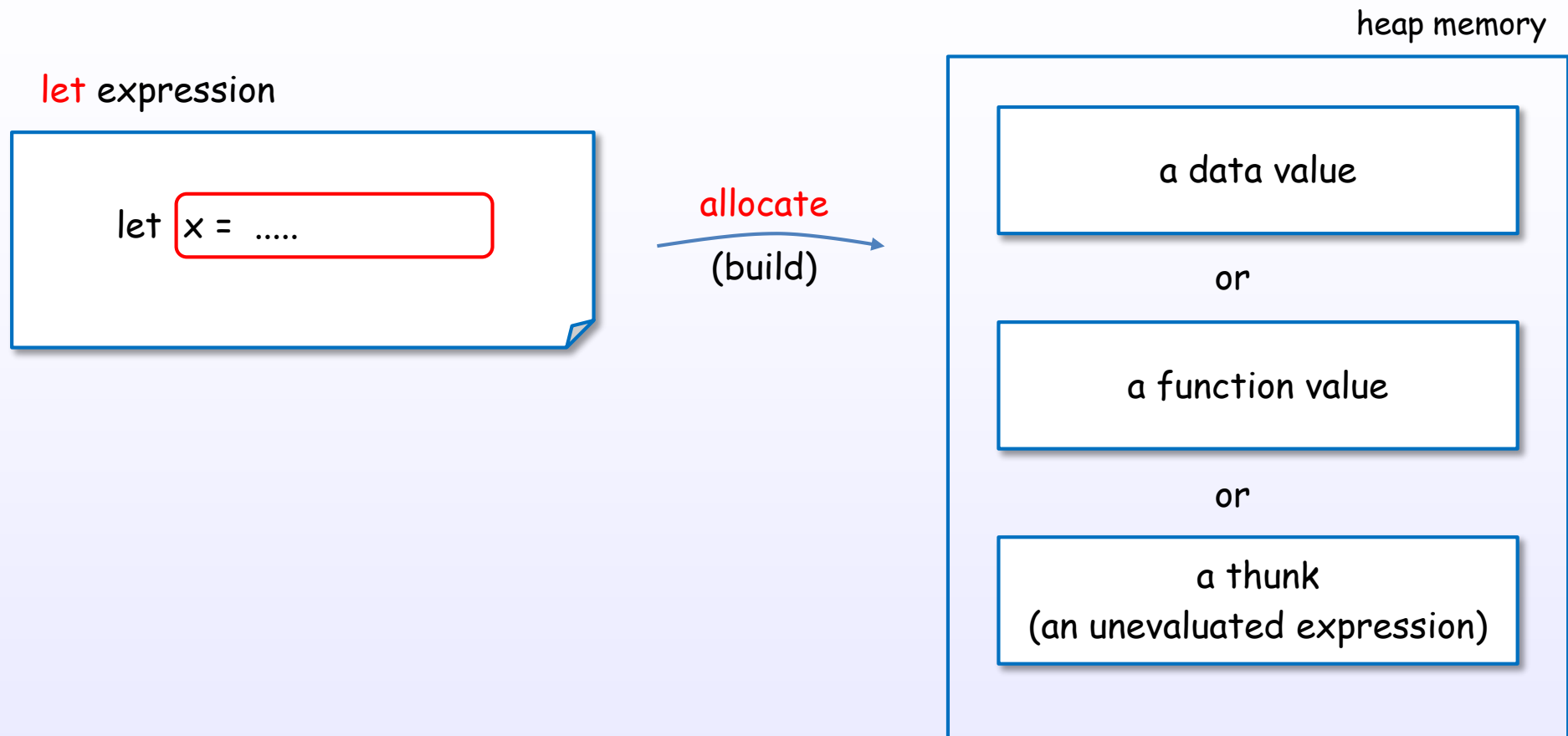
let/case expressions and thunk



A let expression may build a thunk.

A case expression evaluates (forces) and deconstructs the thunk.

A let expression may allocate a heap object



A let expression may allocate an object in the heap.
(If *GHC* can optimize it, the let expression may not allocate.)

* At exactly, STG language's let expression rather than Haskell's let expression

Example of let expressions

Haskell code

```
let x = Just 5
```

allocate

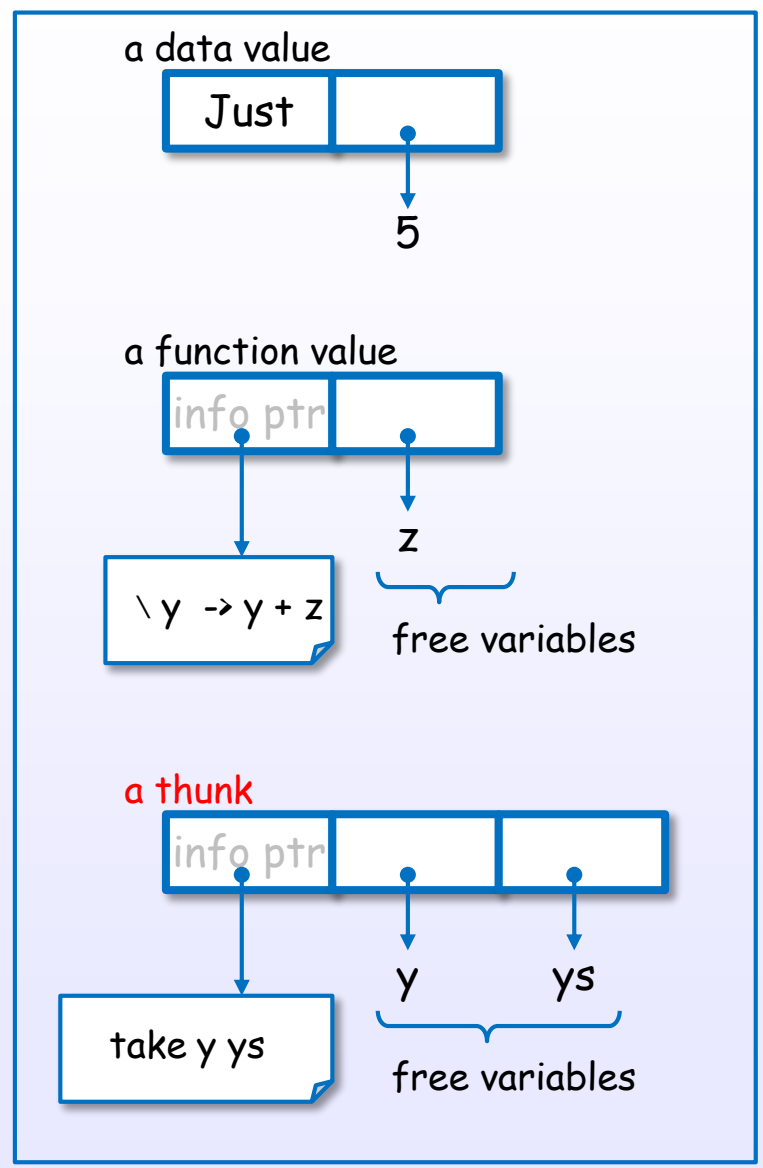
```
let x = \y -> y + z
```

allocate

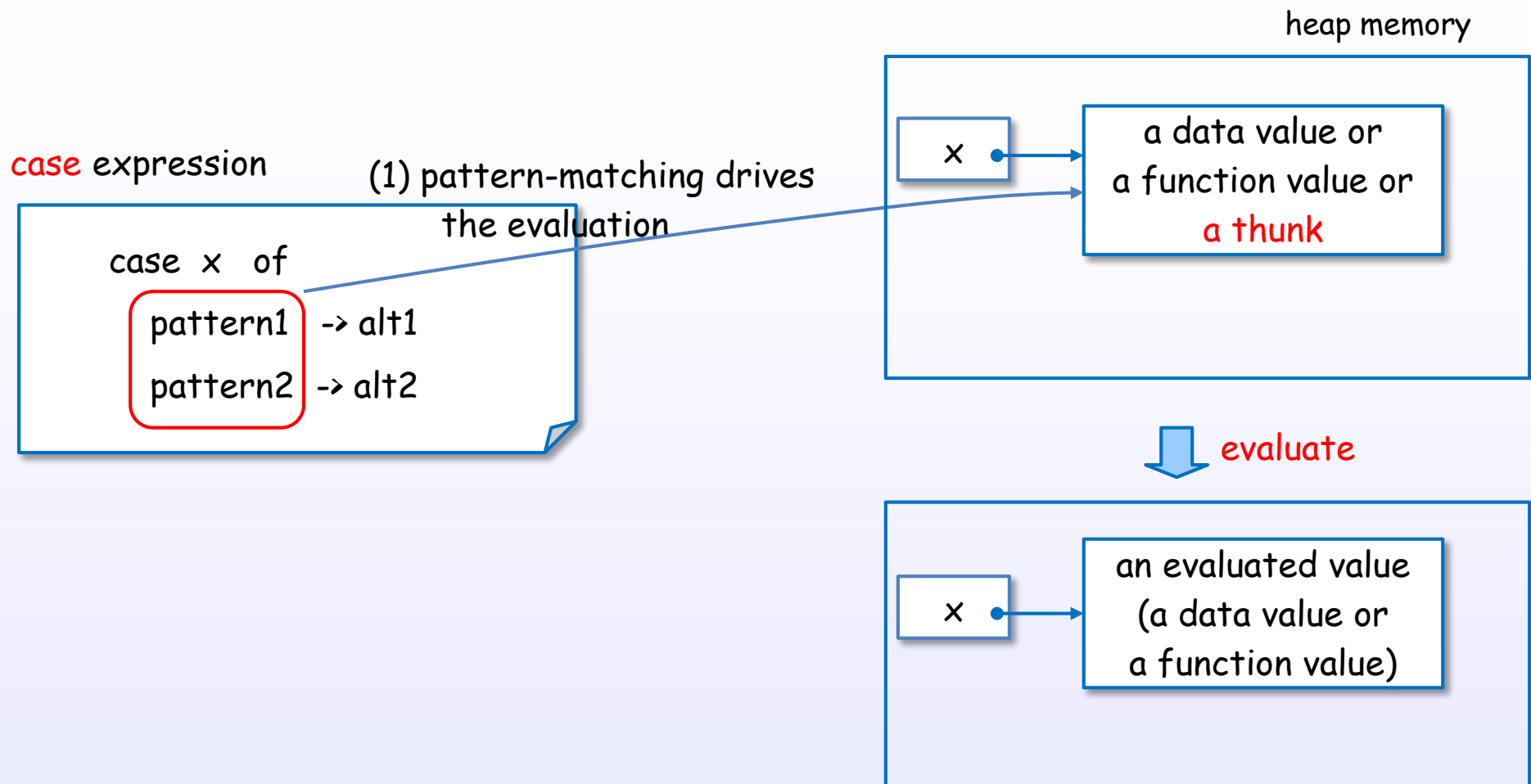
```
let x = take y ys
```

allocate
(build)

GHC's internal representation



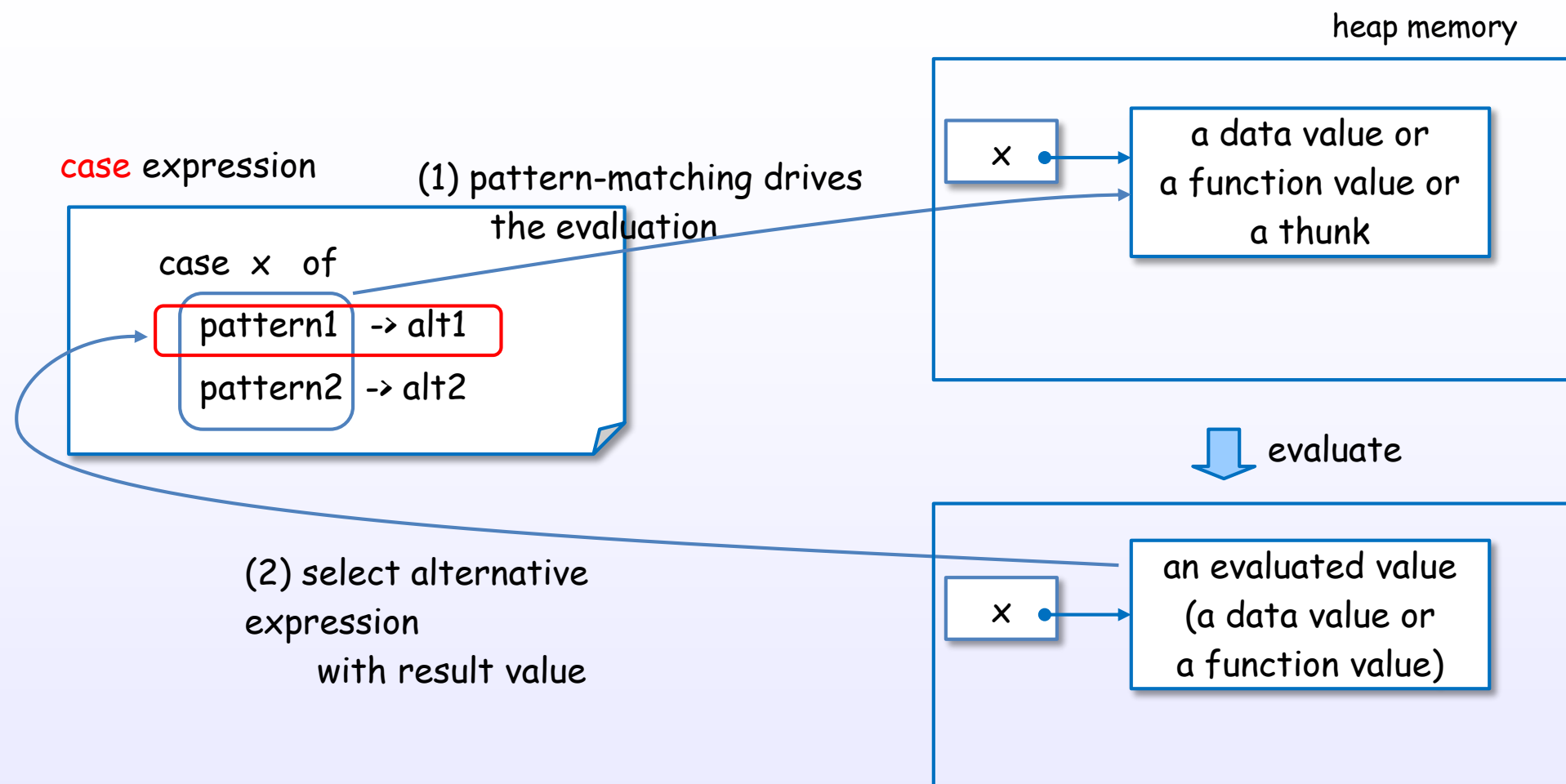
A case expression evaluates a subexpression



Pattern-matching drives the evaluation.

* At exactly, STG language's case expression rather than Haskell's case expression

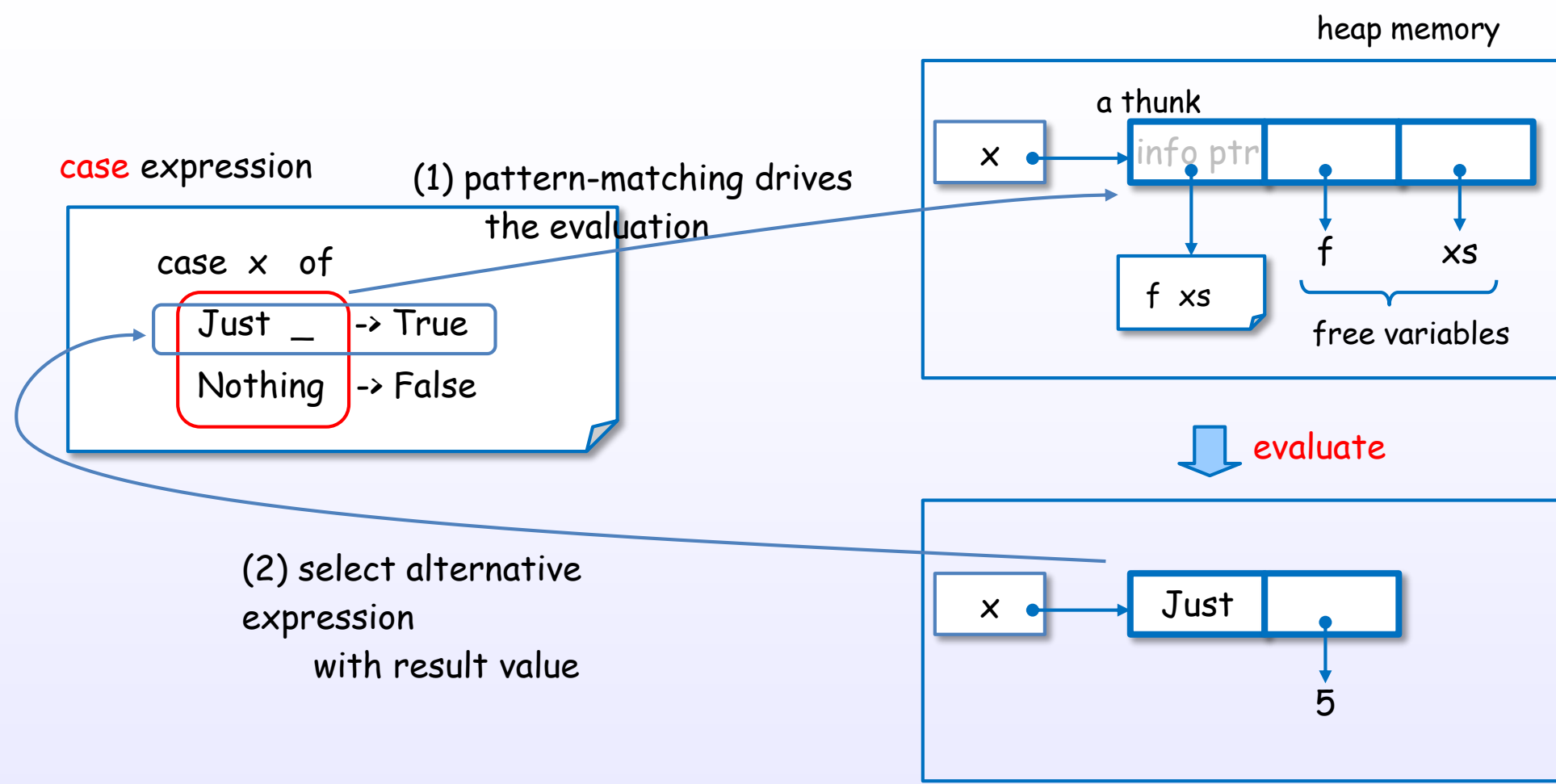
A case expression also perform case analysis



A case expression evaluates a subexpression and optionally performs case analysis on its value.

* At exactly, STG language's case expression rather than Haskell's case expression

Example of a case expression



A case expression's pattern-matching says "I **need** the value".

Pattern-matching in function definition

pattern-matching in **function definition**

```
f Just _ = True  
f Nothing = False
```

syntactic desugar

pattern-matching in **case expression**

```
f x = case x of  
  Just _ -> True  
  Nothing -> False
```

A function's pattern-matching is syntactic sugar of case expression.

A function's pattern-matching also drives the evaluation.

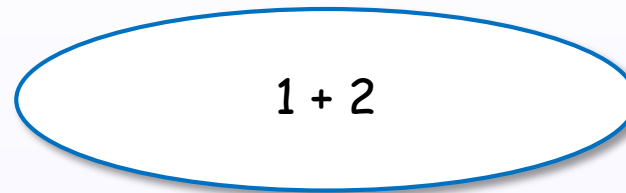
4. Evaluation

4. Evaluation

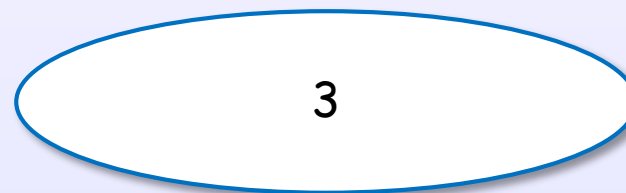
Evaluation strategies

Evaluation

An expression



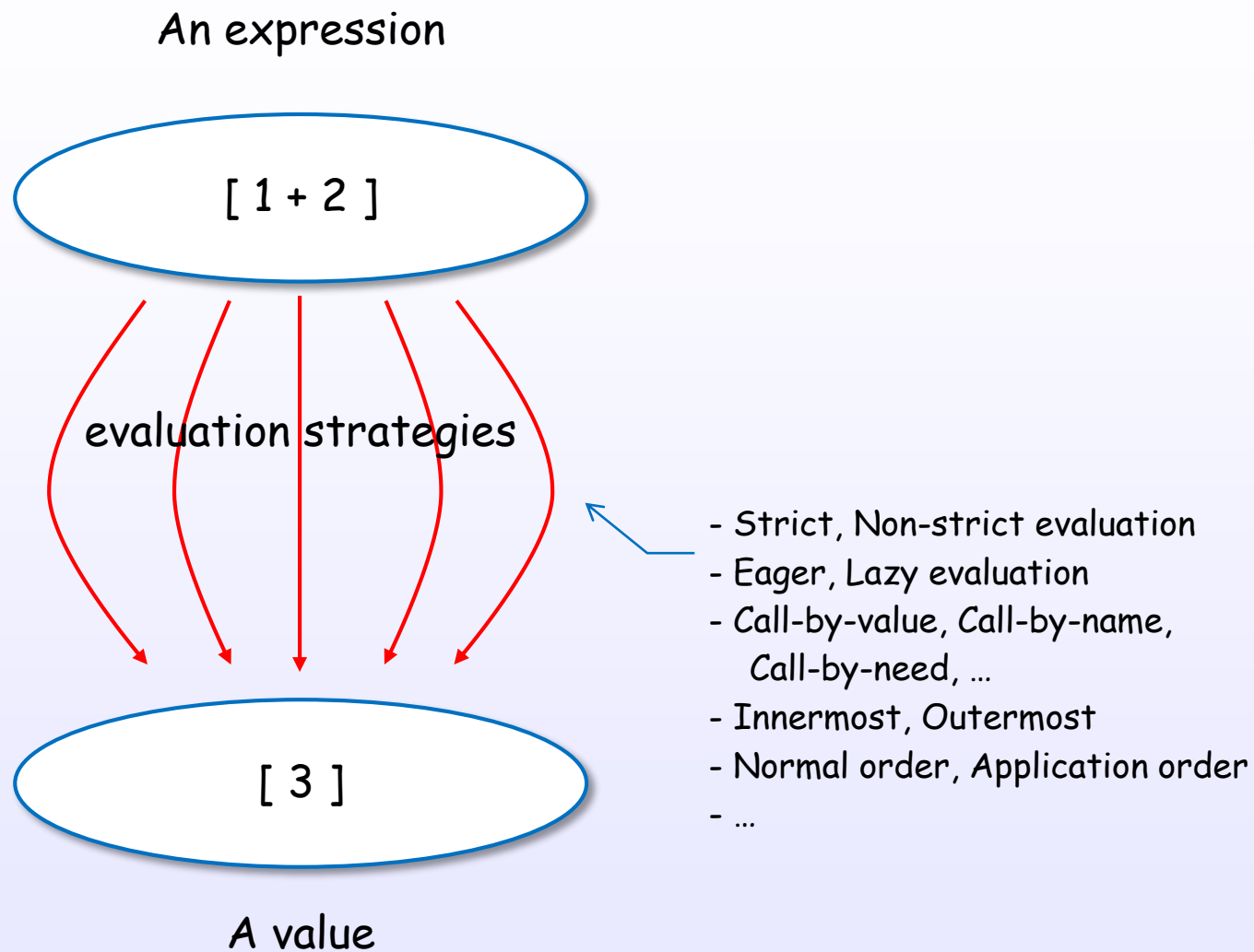
evaluate



A value

The evaluation produces a value from an expression.

There are many evaluation approaches



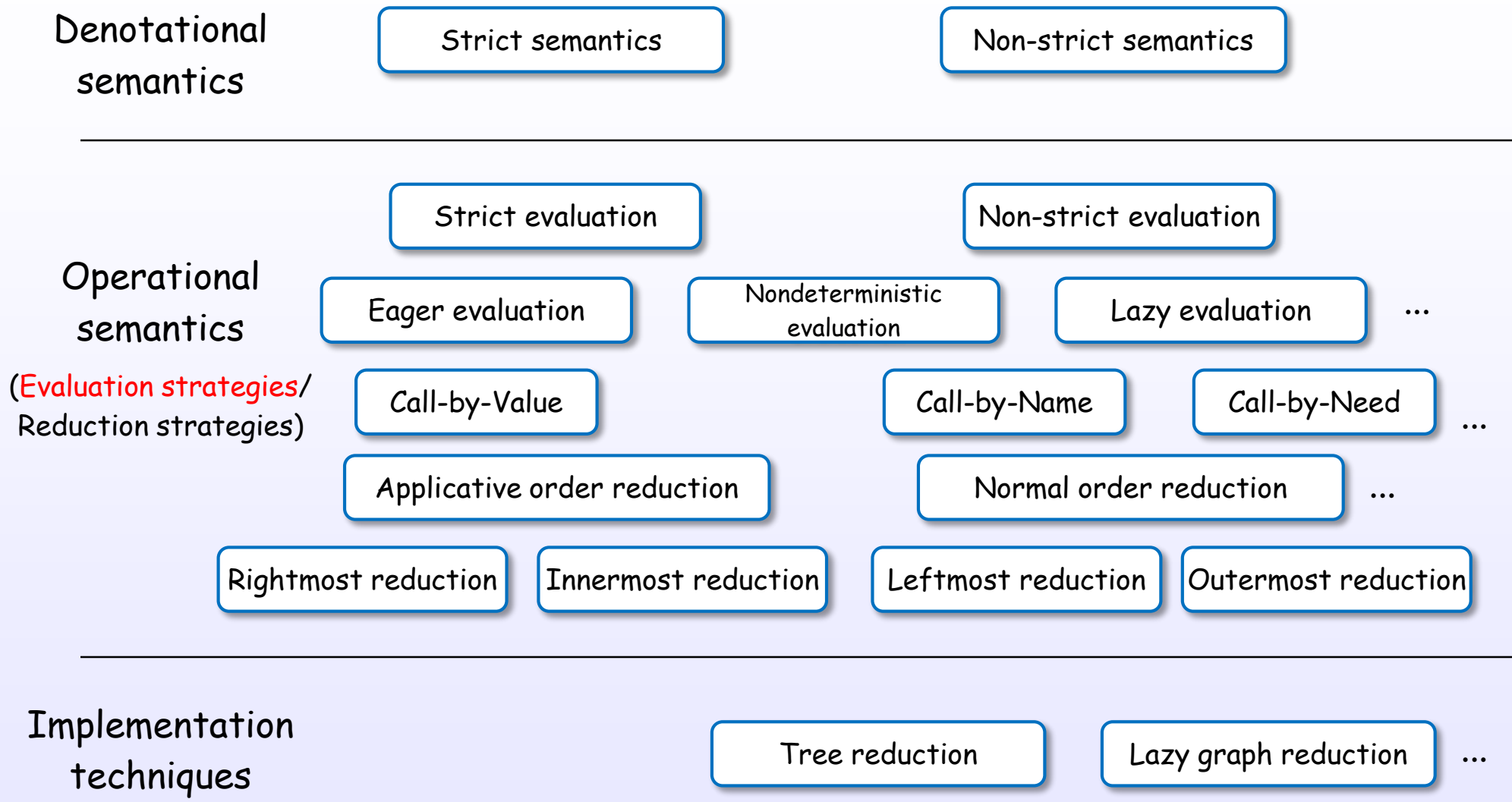
Evaluation concept layer

Denotational semantics

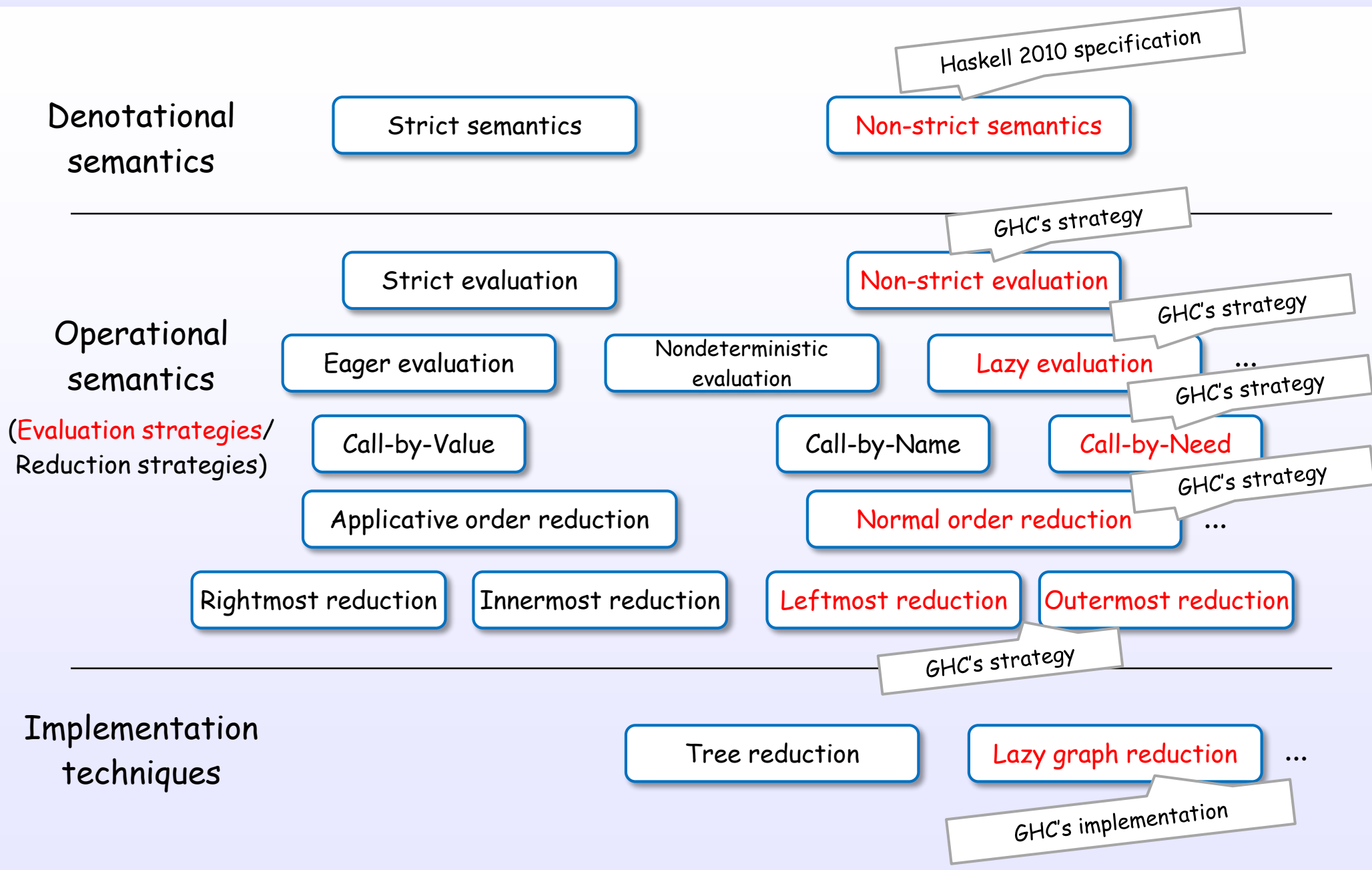
Operational semantics
(Evaluation strategies / Reduction strategies)

Implementation techniques

Evaluation layer for GHC's Haskell



Evaluation layer for GHC's Haskell

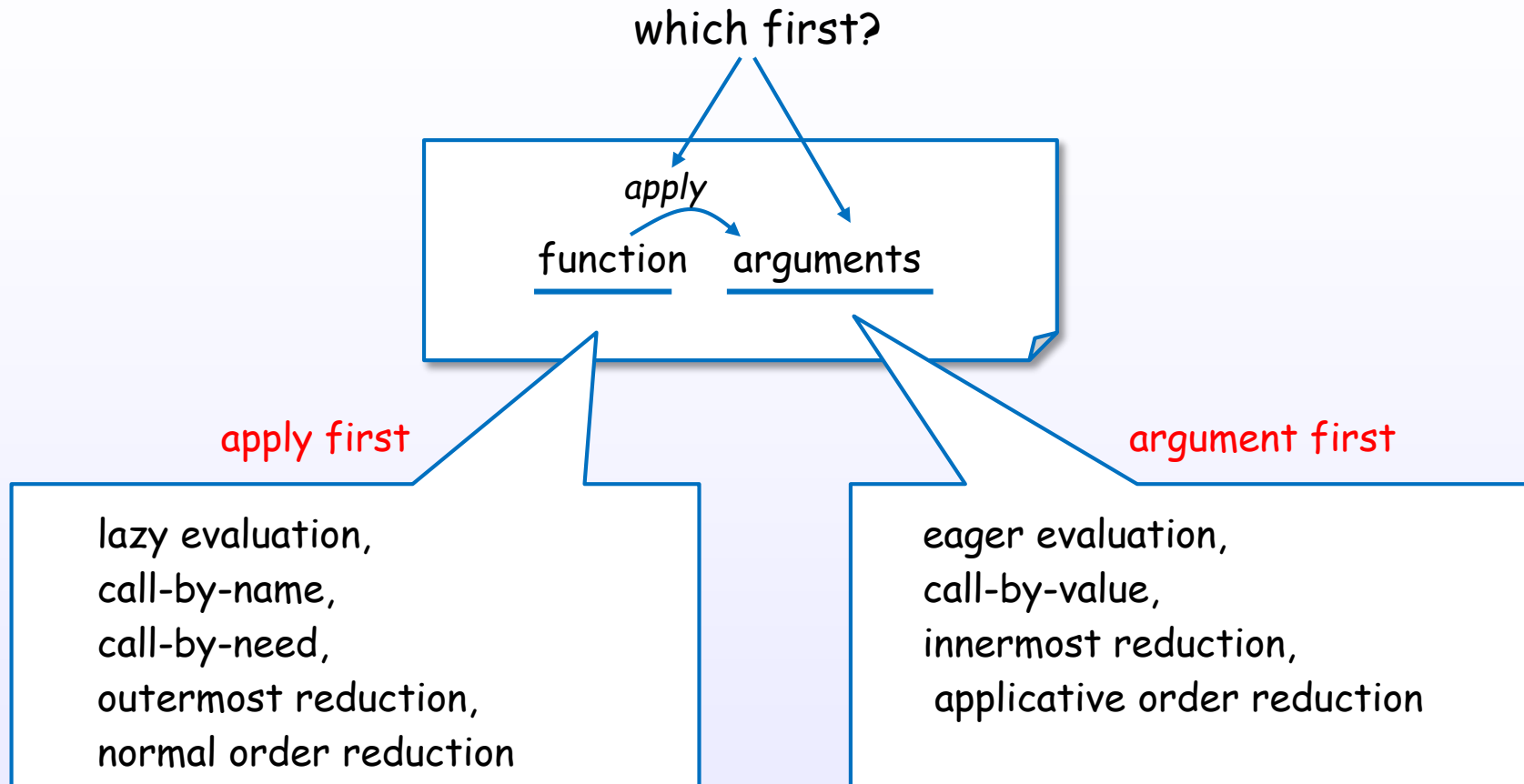


Evaluation strategies

Each evaluation strategy decides how to operate the evaluation, about ...

ordering,
region,
trigger condition,
termination condition,
re-evaluation, ...

One of the important points is the order



Simple example of typical evaluations

call-by-value

default
C, Java, JavaScript,
Python, OCaml, Scheme, ...

square (1 + 2)



argument
evaluation
first

call-by-need

default
Haskell (GHC), ...

square (1 + 2)



apply
first

Simple example of typical evaluations

call-by-value

square (1 + 2)



square (3)



3 * 3



9

evaluation is
performed

call-by-need

square (1 + 2)



(1 + 2) * (1 + 2)



(3) * (3)



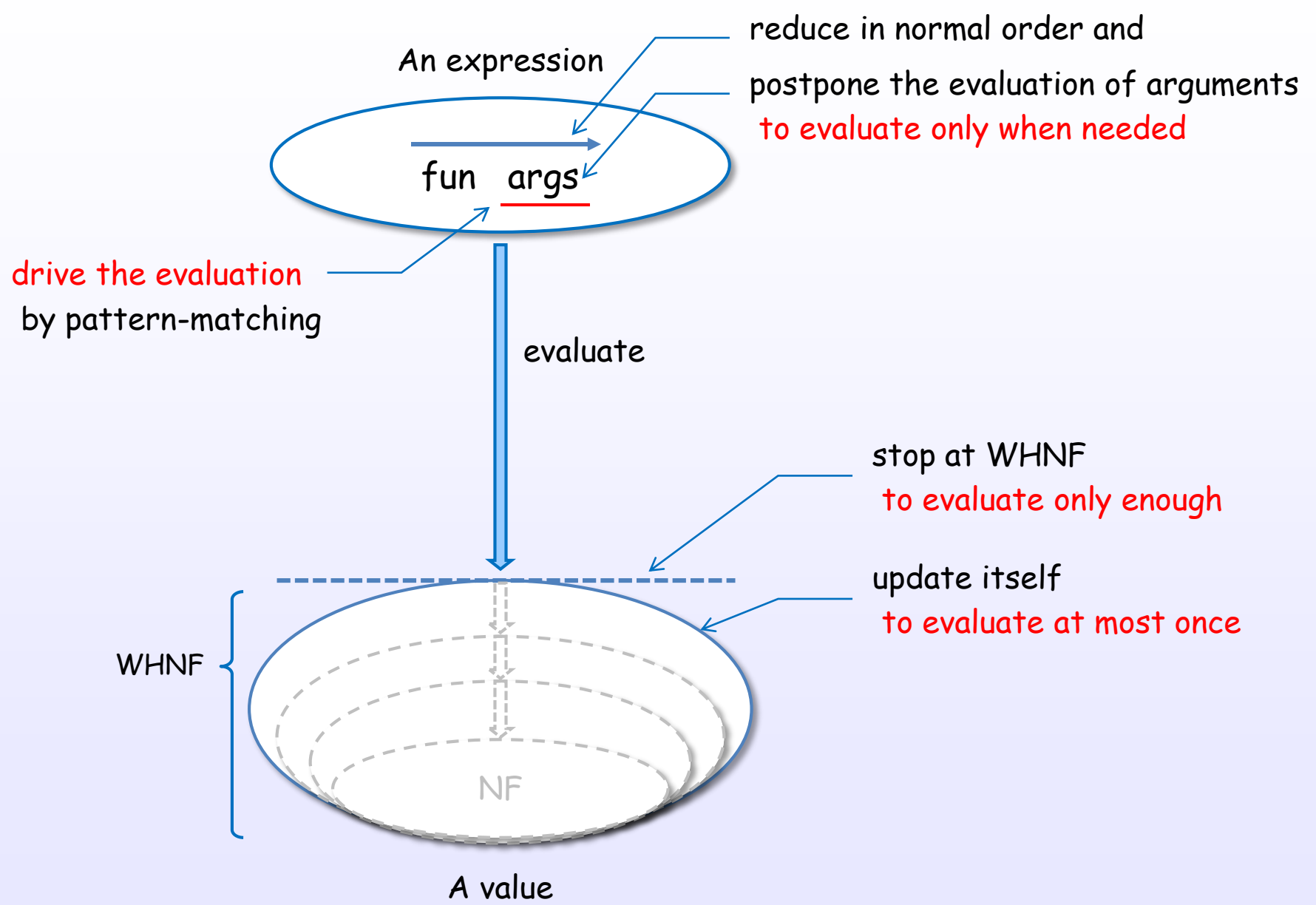
9

evaluation is
delayed !

4. Evaluation

Evaluation in Haskell (GHC)

Key concepts of GHC's lazy evaluation



Postpone the evaluation of arguments

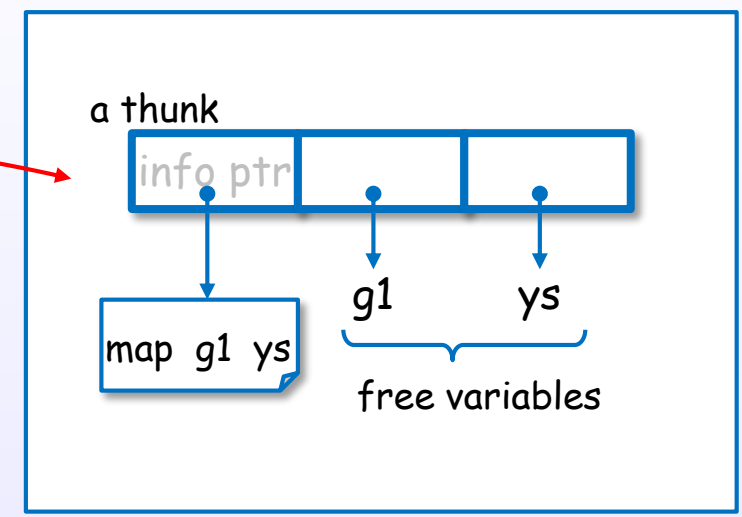
Haskell code

```
fun (map g1 ys)
```

internal translation

```
let thunk0 = map g1 ys
in fun thunk0
```

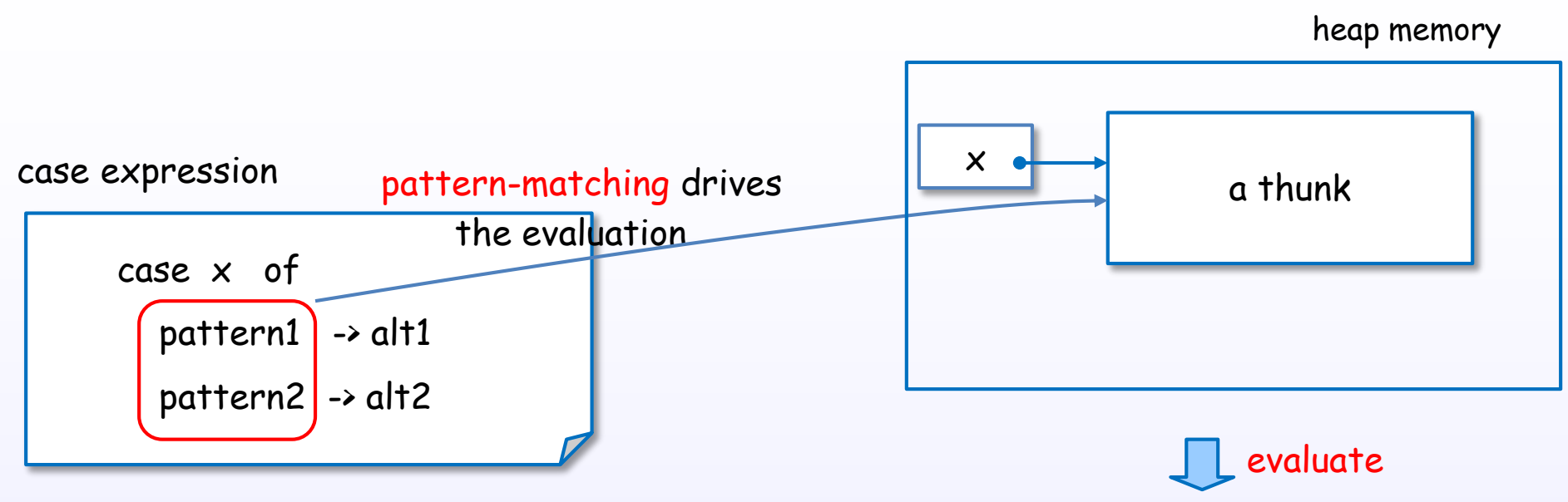
postpone
(build)



heap memory

postpone the evaluation by a thunk which build with let expression
(When *GHC* can optimize it by analysis, the thunk may not be build.)

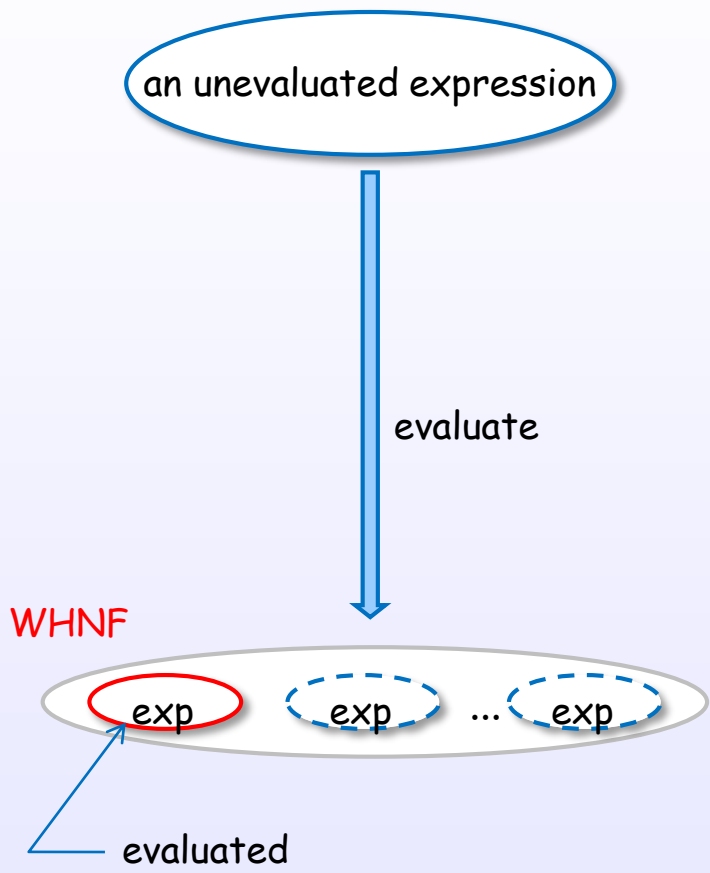
Pattern-matching drives the evaluation



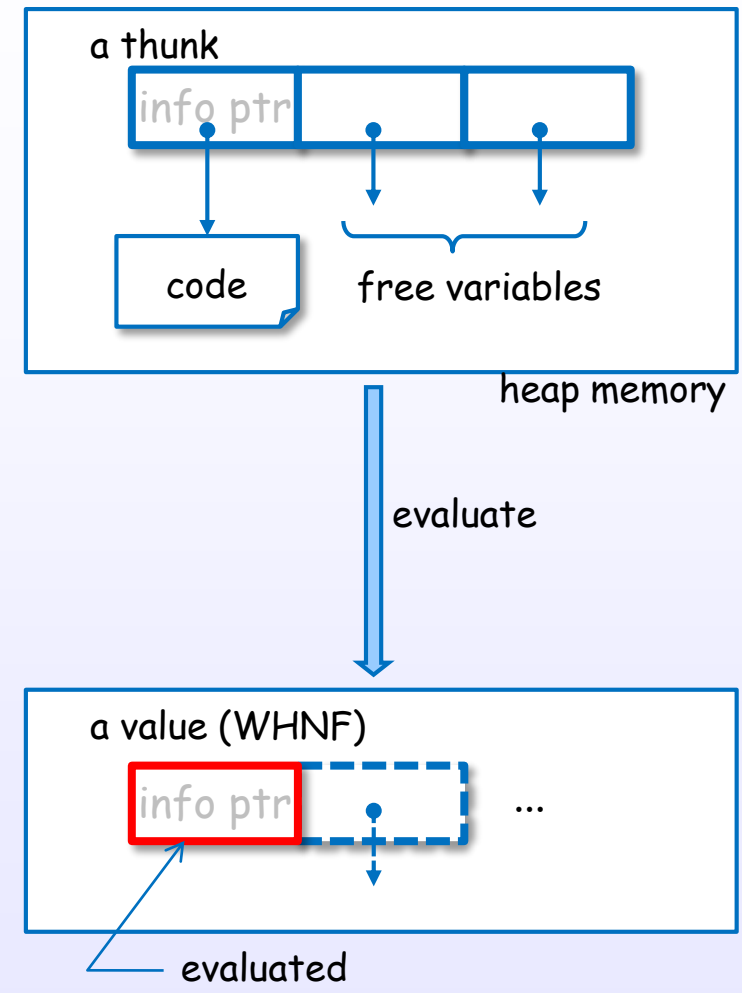
drive the evaluation by pattern-matching

Stop at WHNF

Haskell code



GHC's internal representation



stop the evaluation at WHNF

4. Evaluation

Examples of evaluation steps

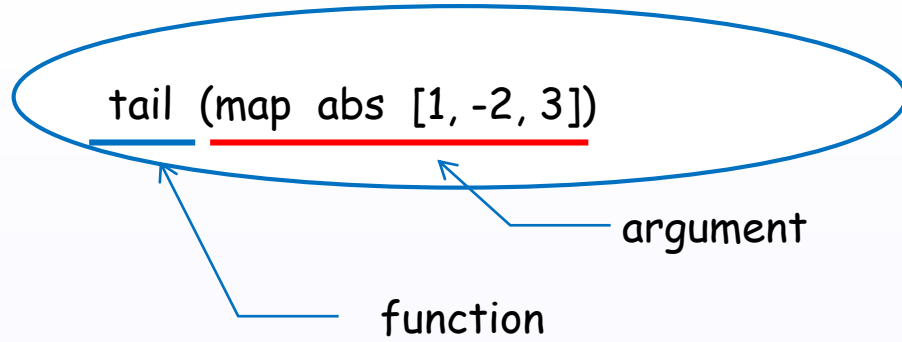
(1) Example of GHC's evaluation

`tail (map abs [1, -2, 3])`

Let's evaluate. It's time to magic!

* no optimizing case (without -O)

(2) How to postpone the evaluation of arguments?



(3) GHC internally translates the expression

tail (map abs [1, -2, 3])

internal translation

let thunk0 = map abs [1, -2, 3]
in tail thunk0

(4) a let expression builds a thunk

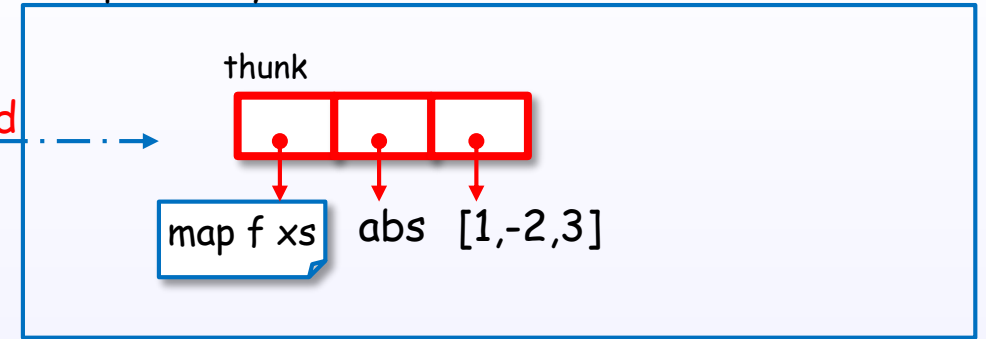
tail (map abs [1, -2, 3])

internal translation

let **thunk0 = map abs [1, -2, 3]**
in tail thunk0

build

heap memory



(5) function apply to argument

tail (map abs [1, -2, 3])

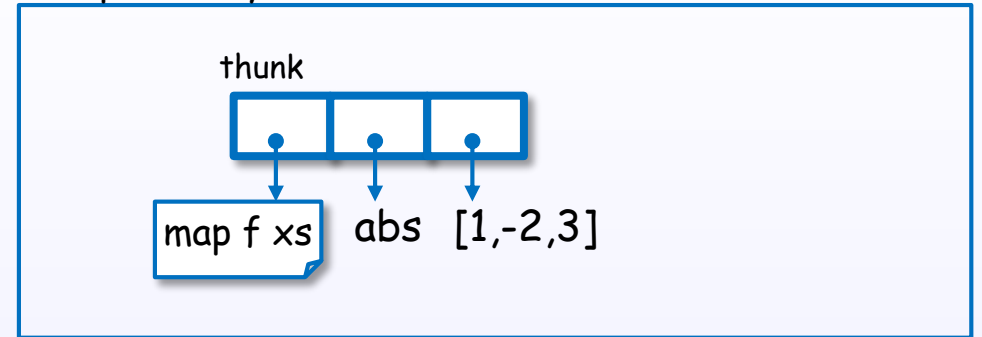
internal translation

let thunk0 = map abs [1, -2, 3]

in tail thunk0

apply

heap memory



(6) tail function is defined here

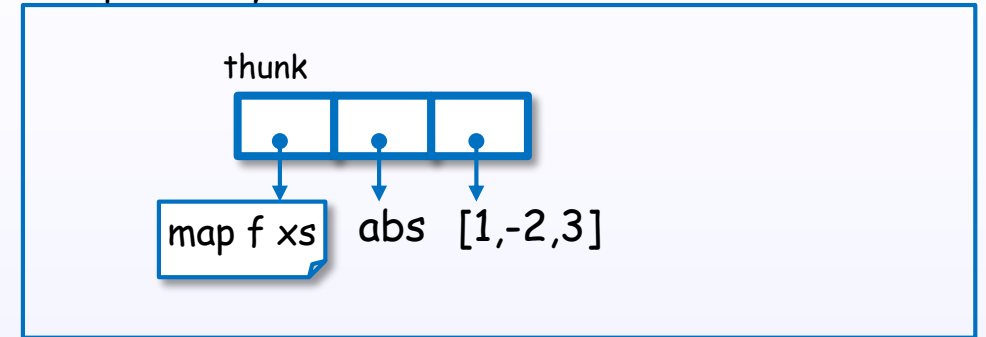
tail (map abs [1, -2, 3])

internal translation

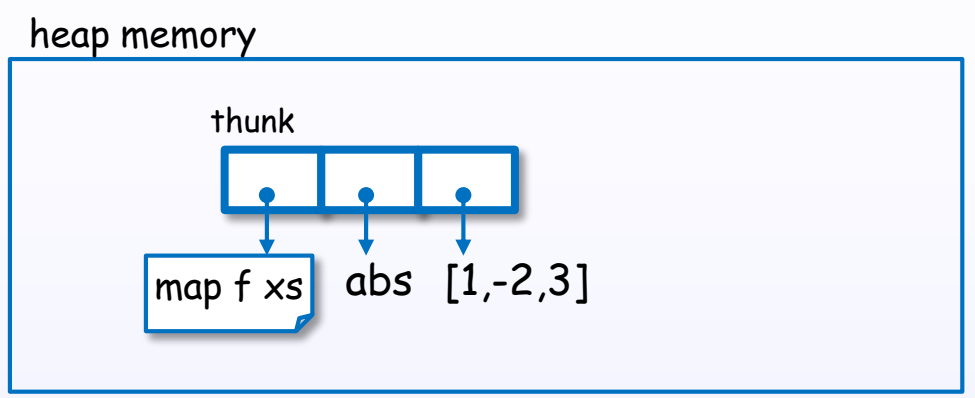
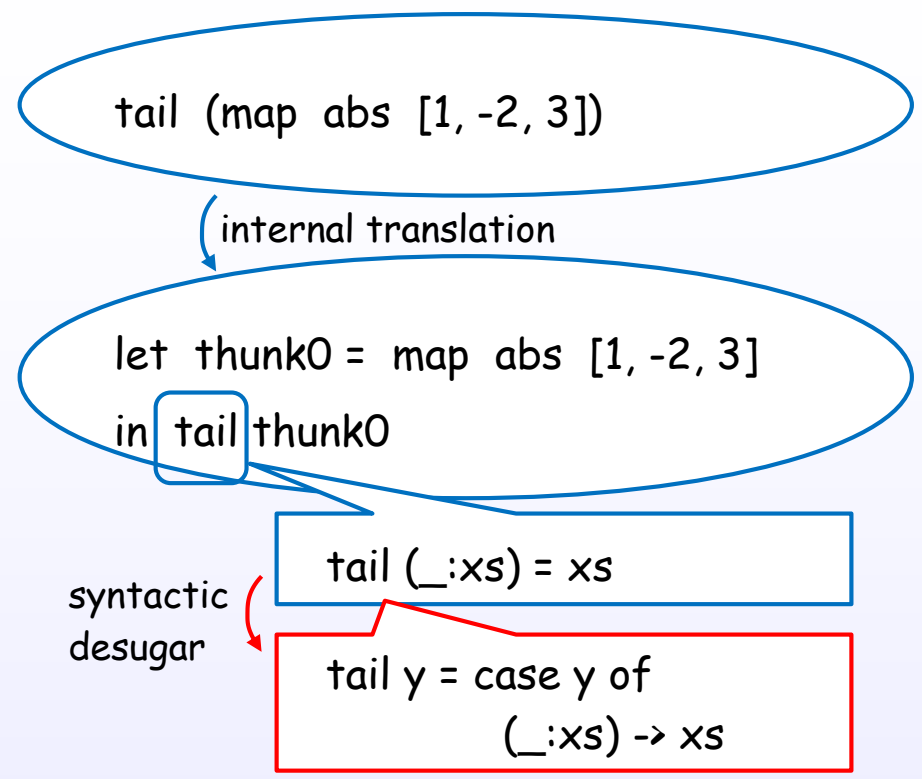
let thunk0 = map abs [1, -2, 3]
in tail thunk0

tail (_:xs) = xs *definition*

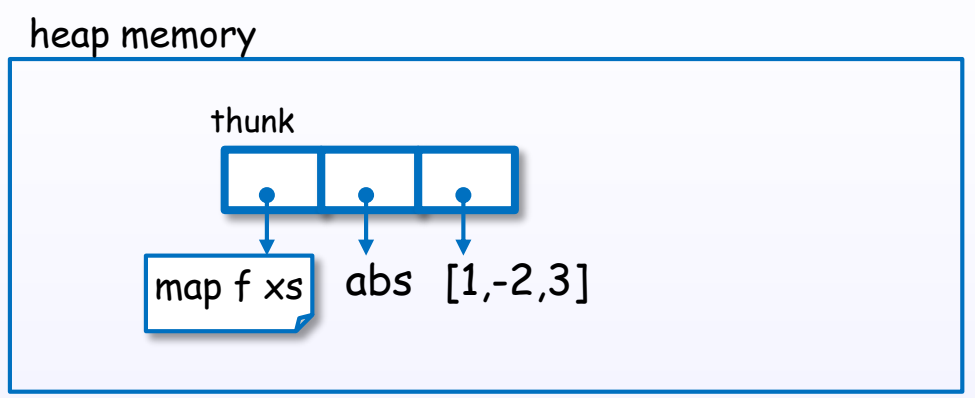
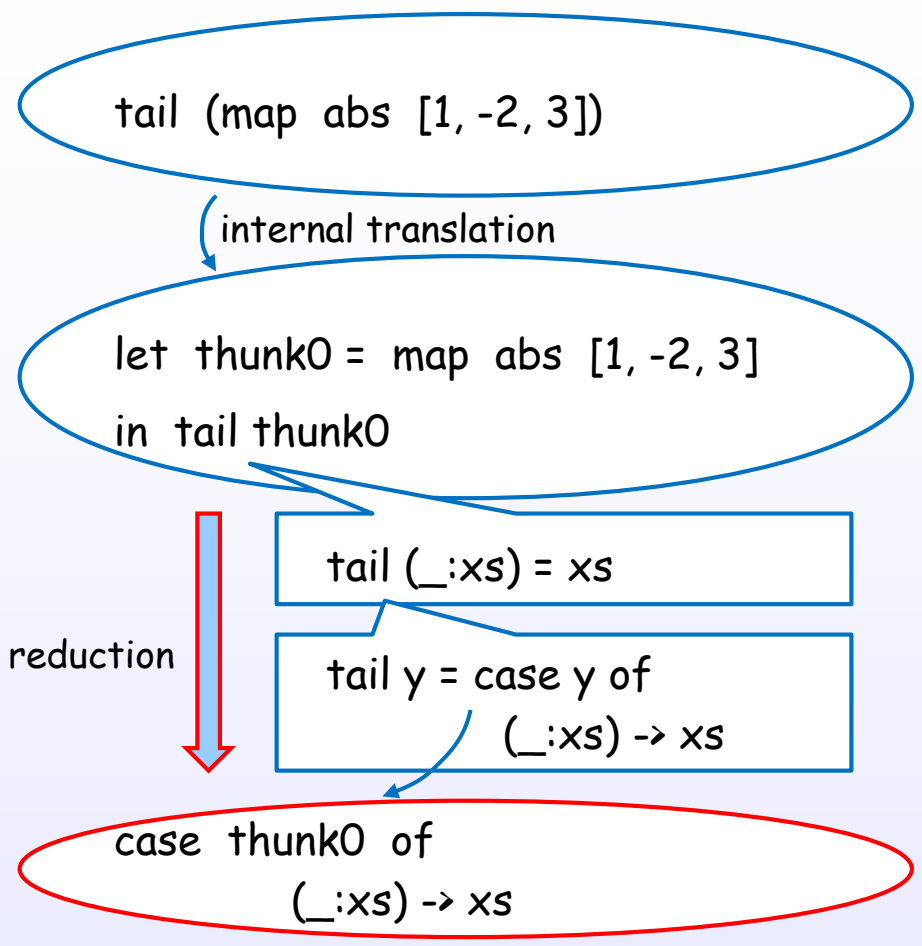
heap memory



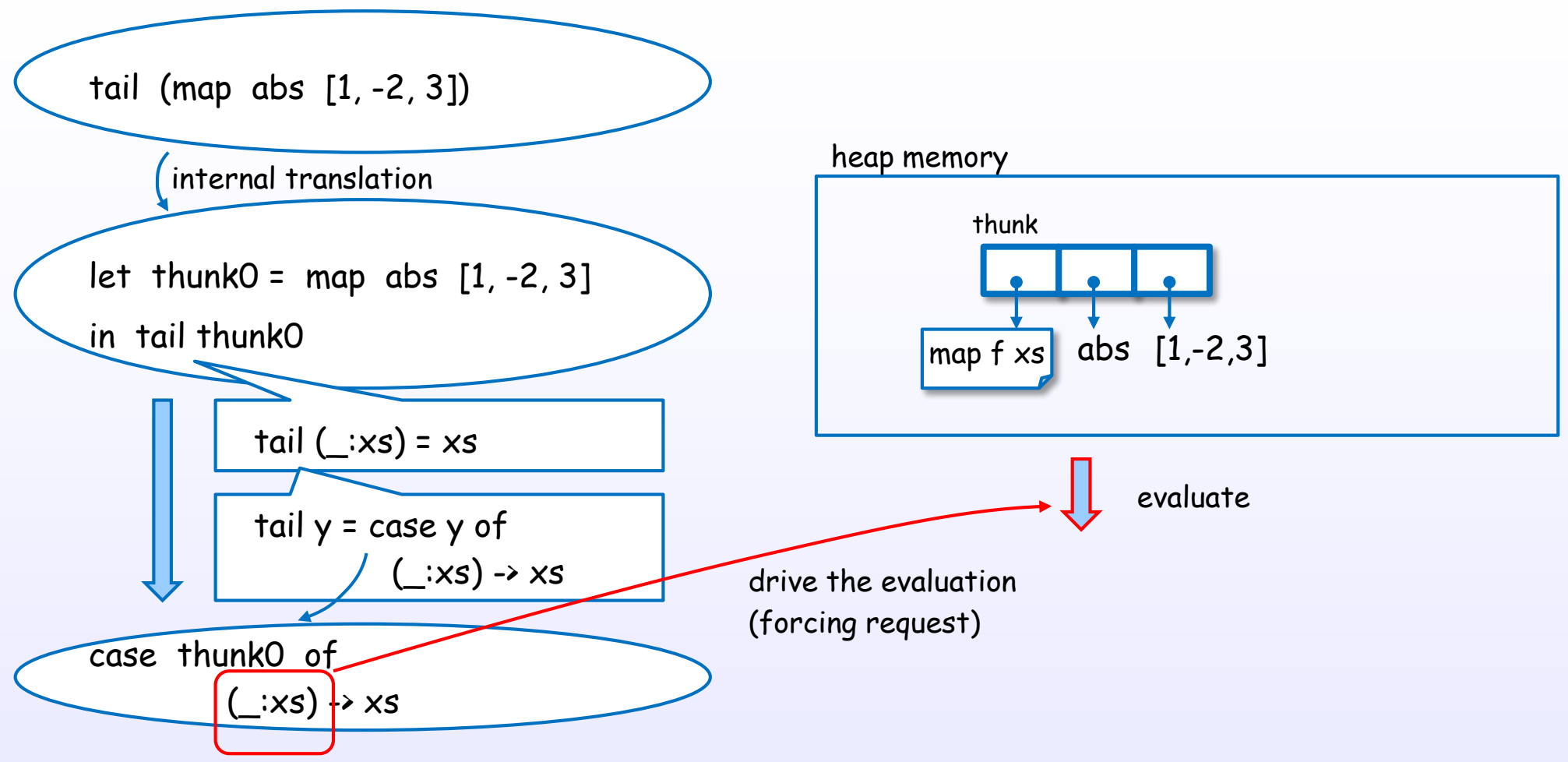
(7) function's pattern is syntactic sugar



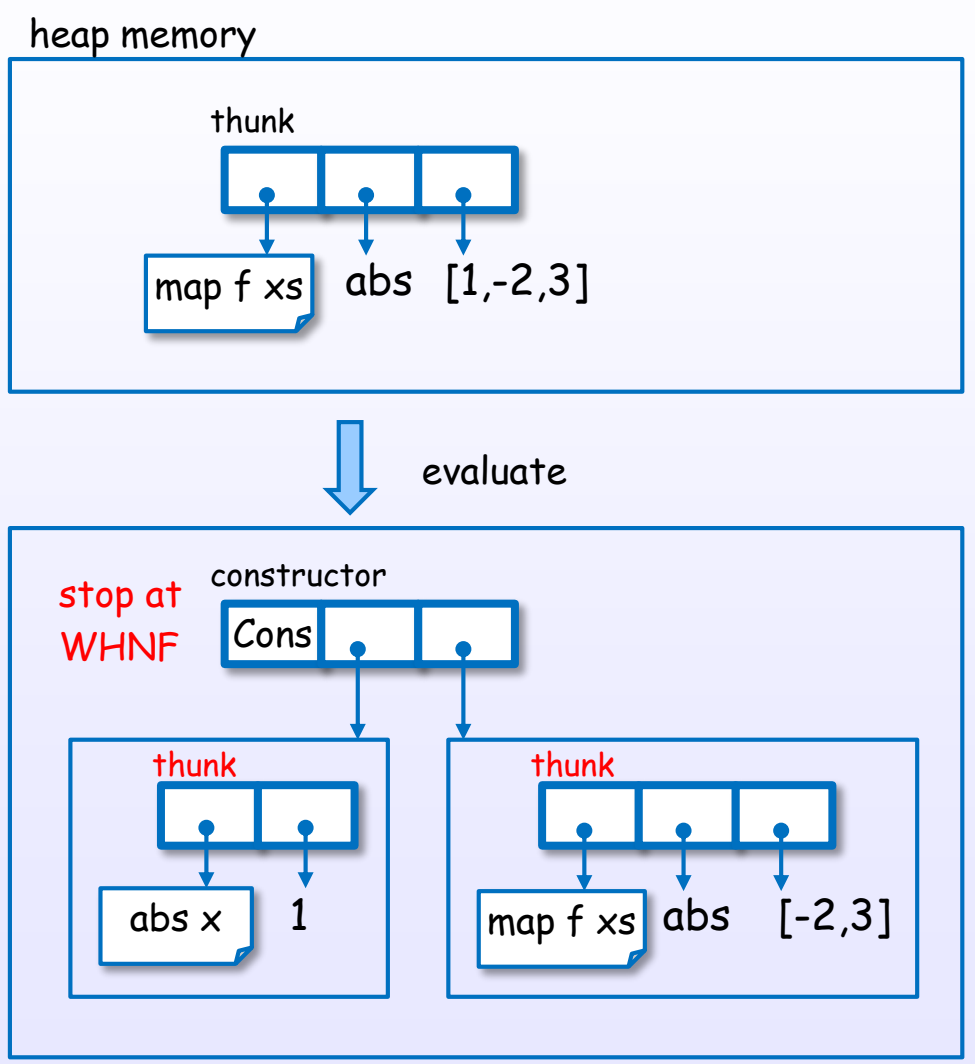
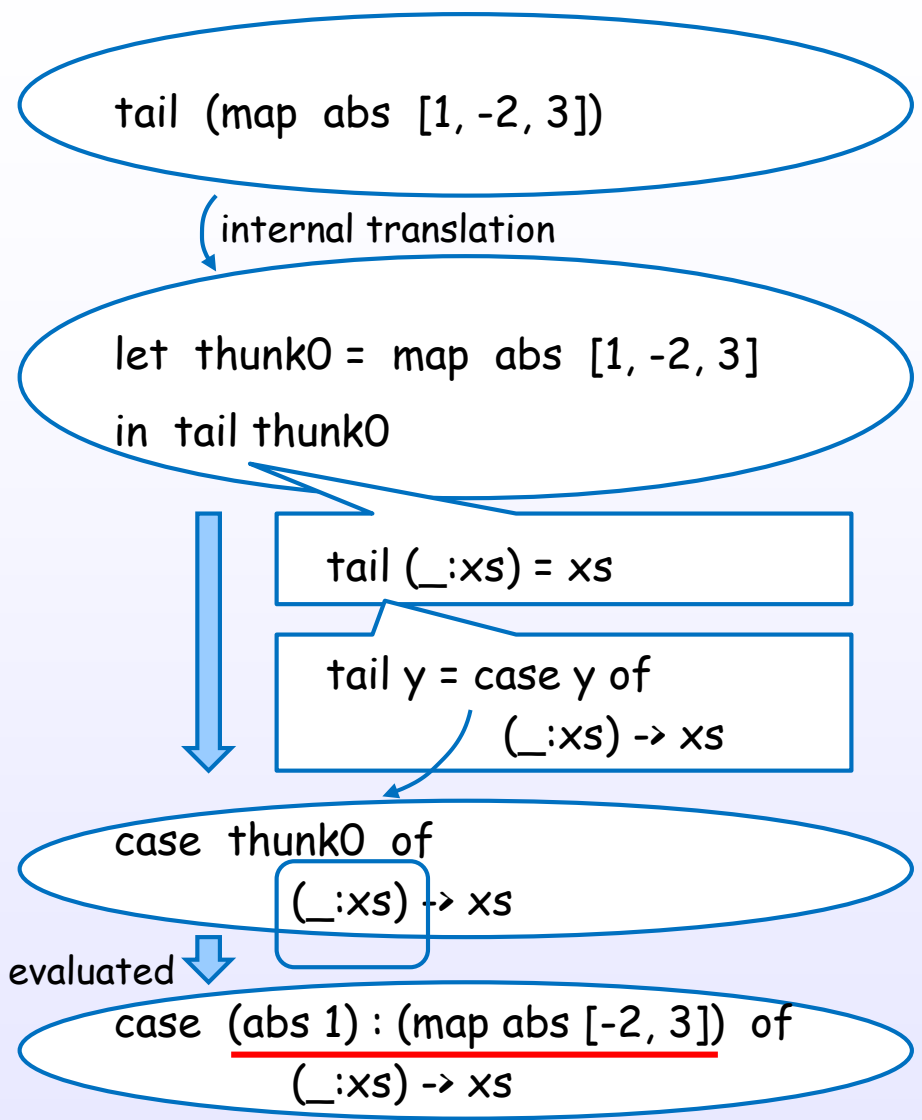
(8) substitute the function body (beta reduction)



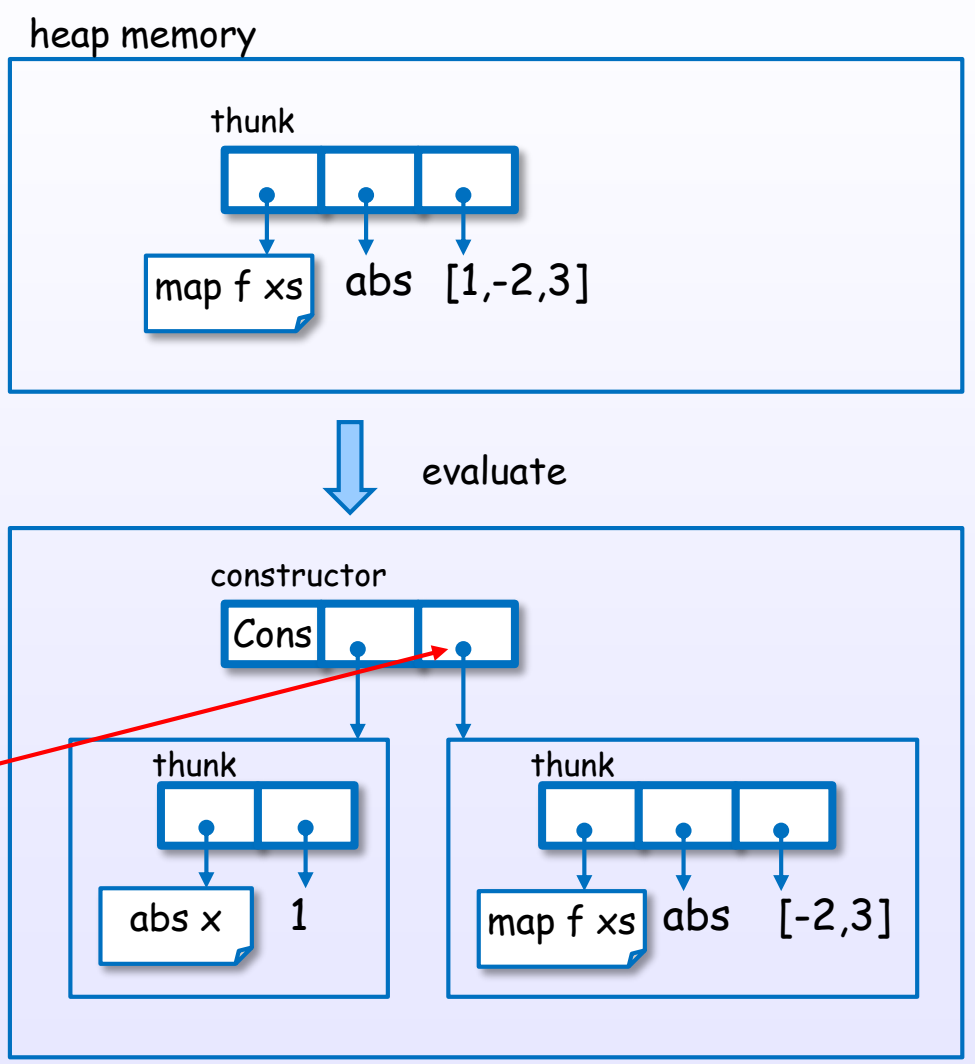
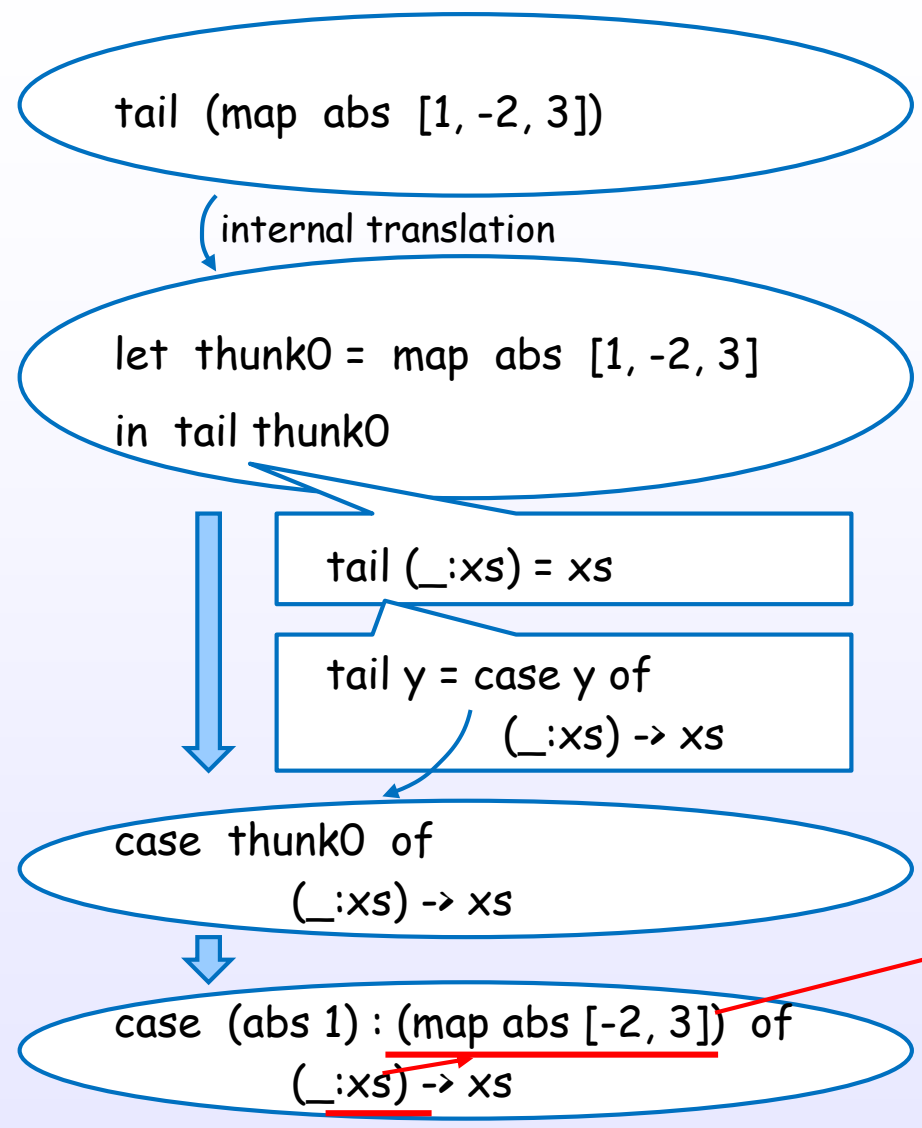
(9) case pattern-matching drives the evaluation



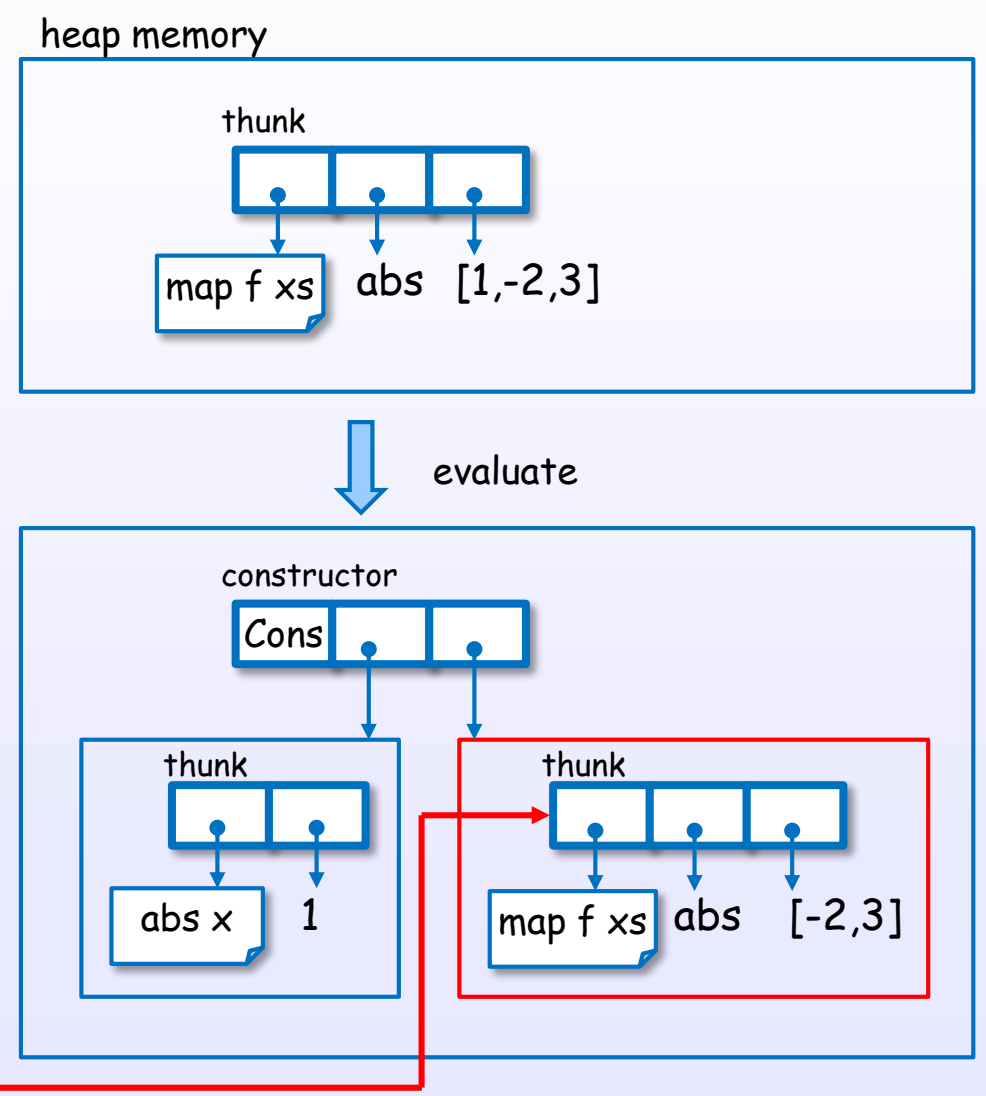
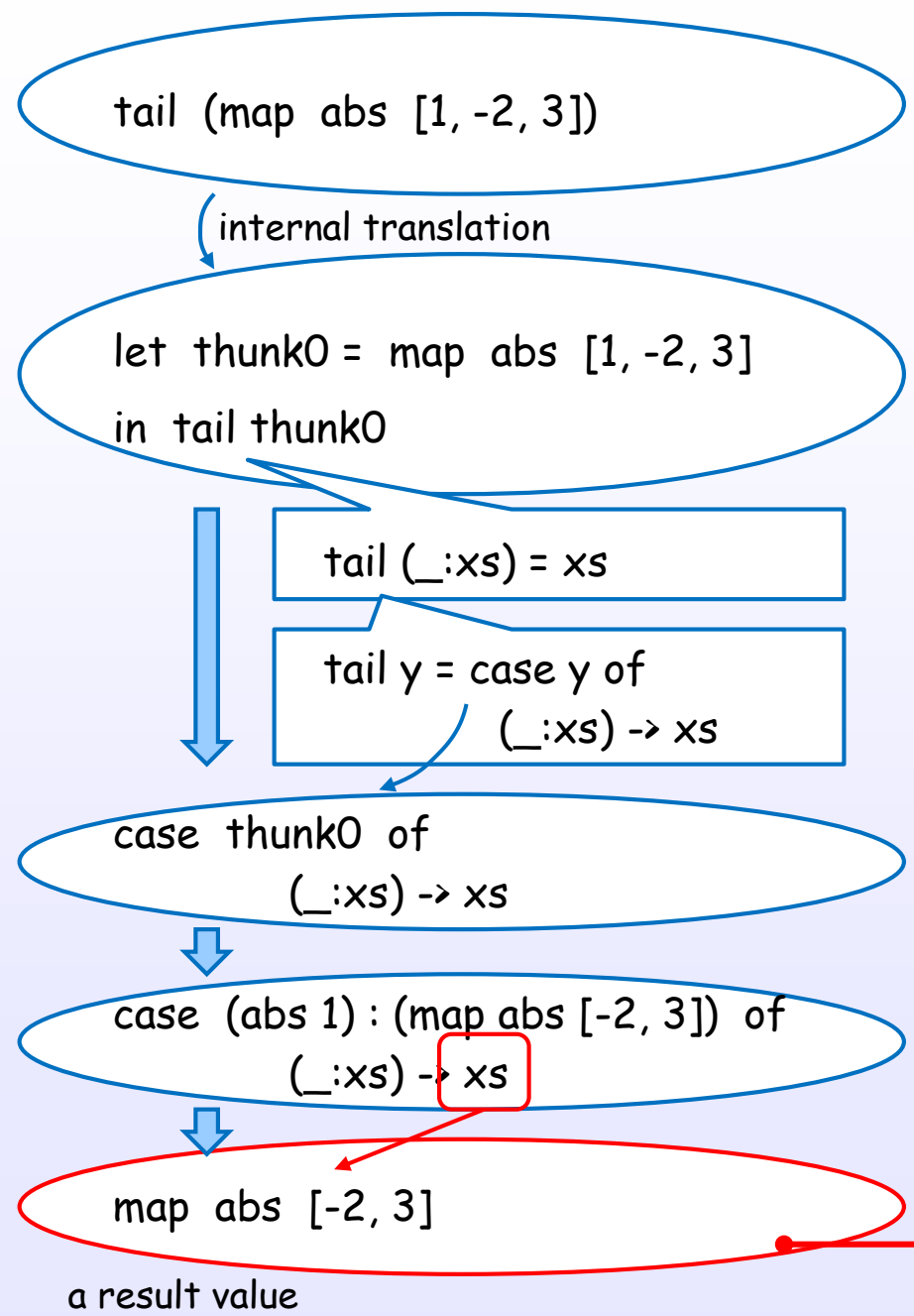
(10) but, stop at WHNF



(11) bind variables to a result



(12) return the value



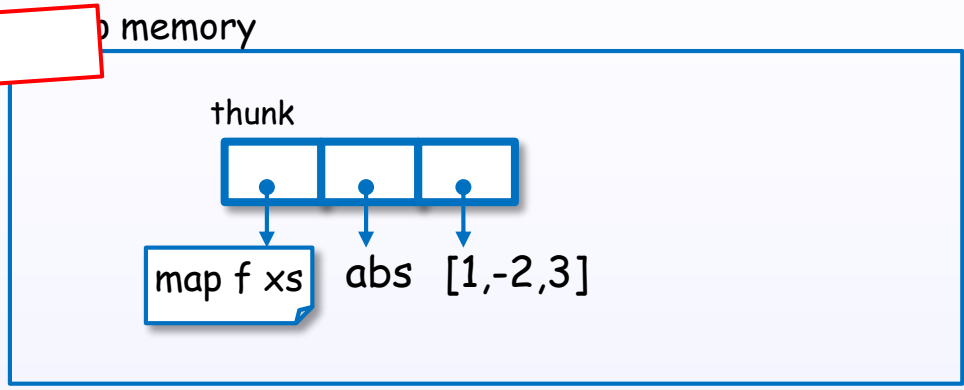
Key points

tail (map abs [1, -2, 3])

internal translation

postpone by thunk

let thunk0 = map abs [1, -2, 3]
in tail thunk0



tail (_:xs) = xs

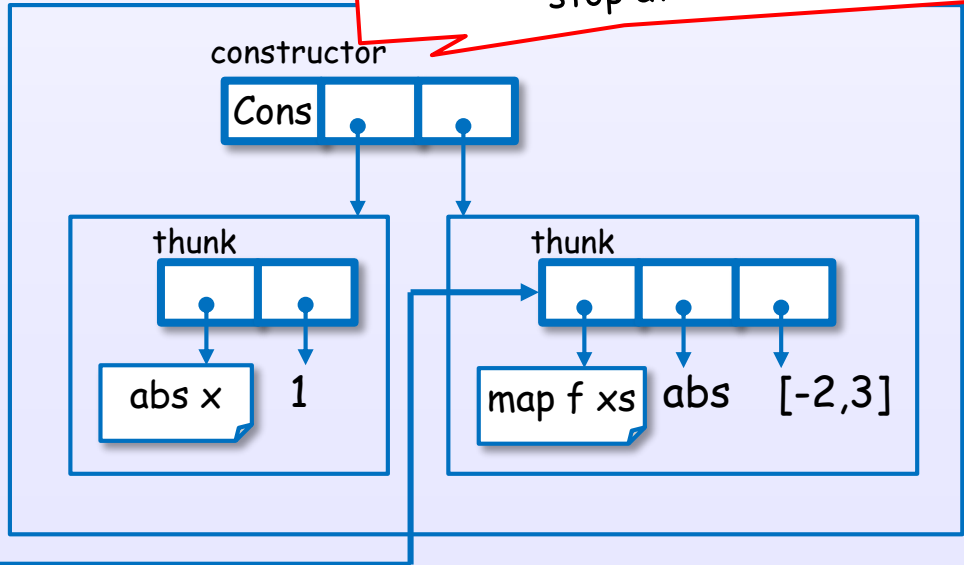
tail y = case y of
 (_:xs) -> xs

evaluate
stop at WHNF

case thunk0 of
 (_:xs) -> xs

pattern-match drive the evaluation

case (abs 1) : (map abs [-2, 3]) of
 (_:xs) -> xs



map abs [-2, 3]

a result value

4. Evaluation

Examples of evaluations

* no optimizing case (without -O)

Example of repeat

repeat 1



1 : repeat 1



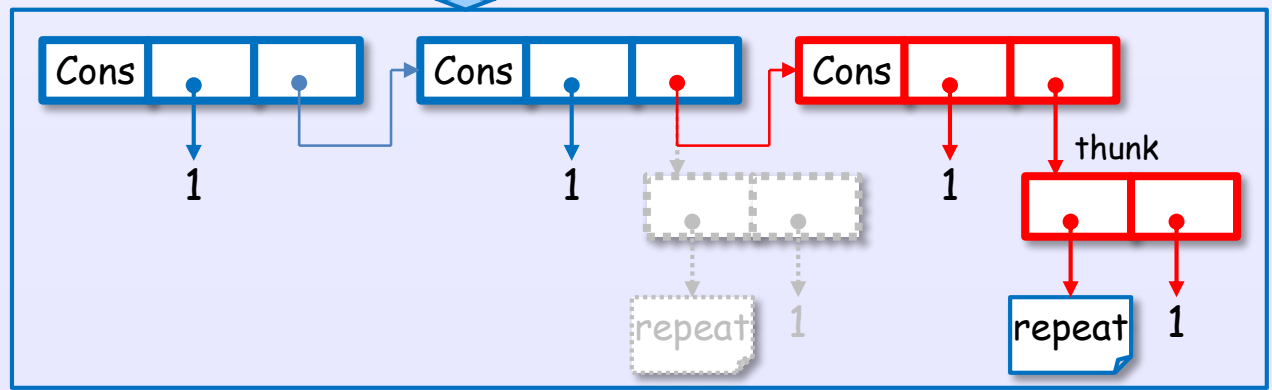
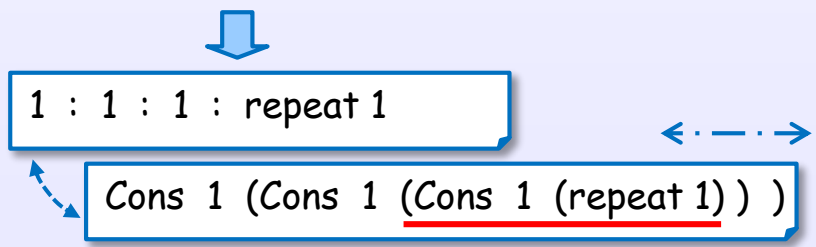
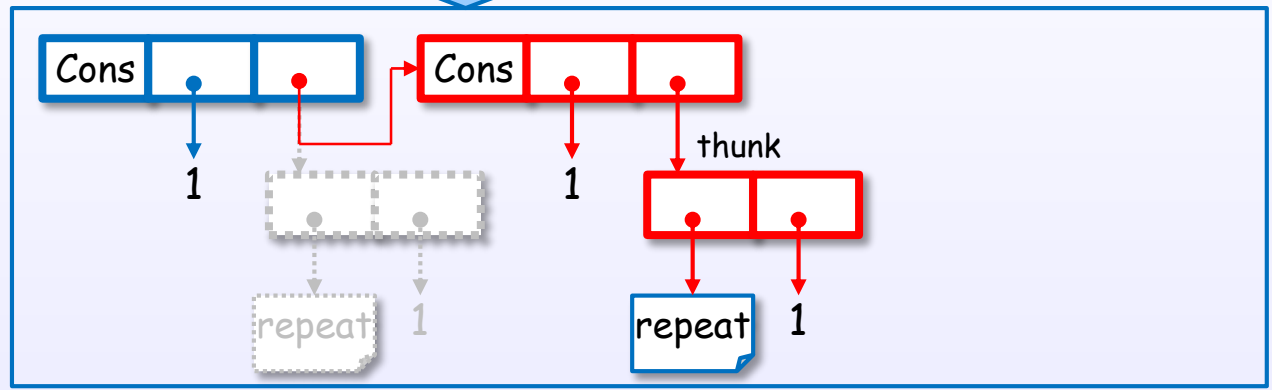
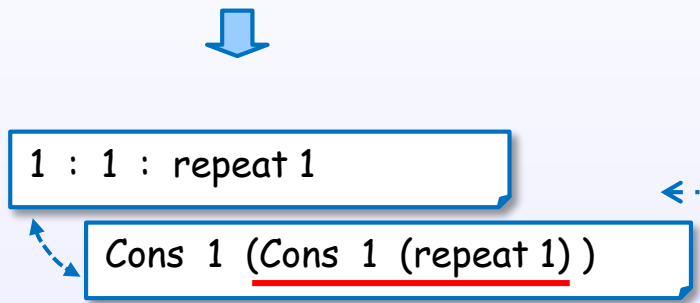
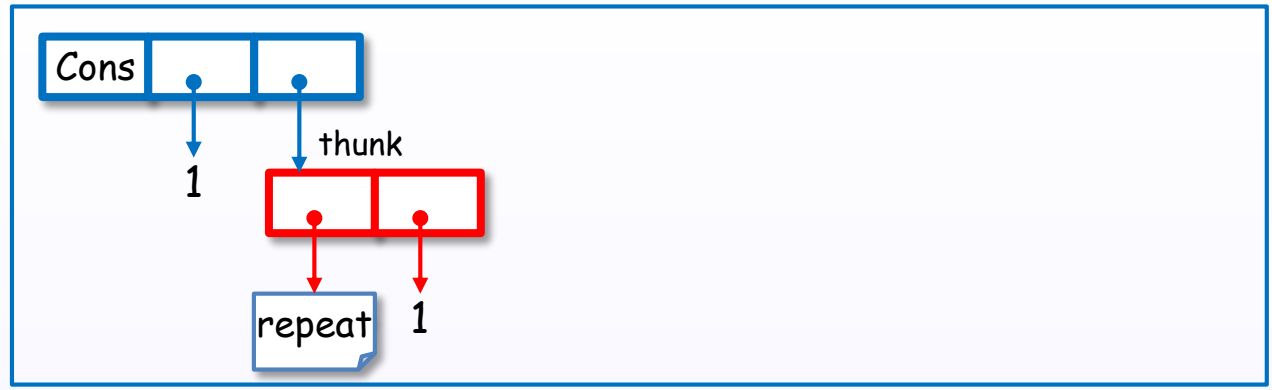
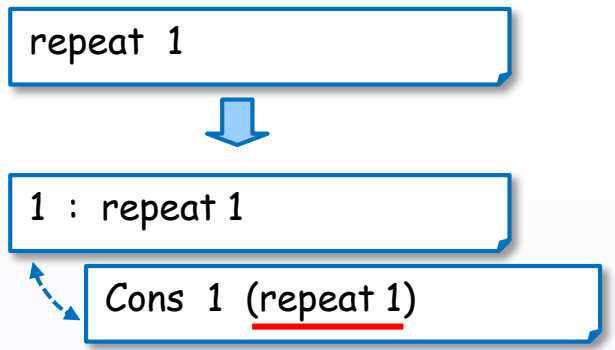
1 : 1 : repeat 1



1 : 1 : 1 : repeat 1



Example of repeat



Example of map

```
map f [1, 2, 3]
```



```
f 1 : map f [2, 3]
```



```
f 1 : f 2 : map f [3]
```



```
f 1 : f 2 : f 3
```



...

Example of map

map f [1, 2, 3]

f 1 : map f [2, 3]

Cons (f 1) (map f [2, 3])

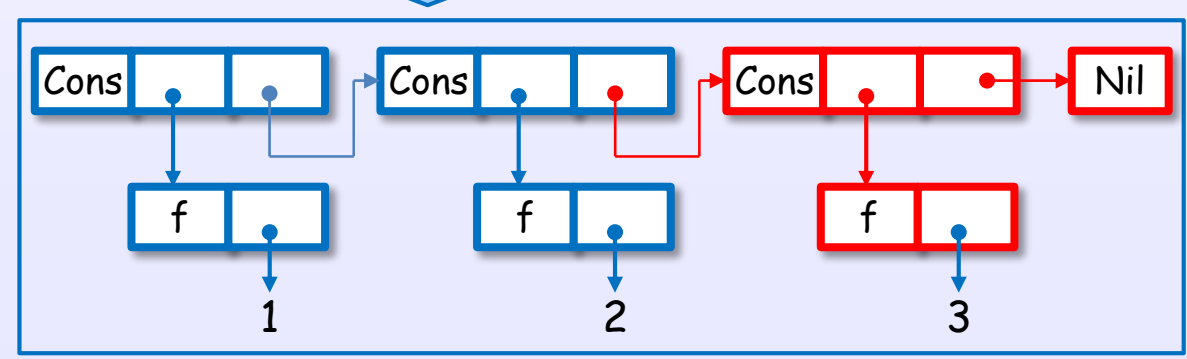
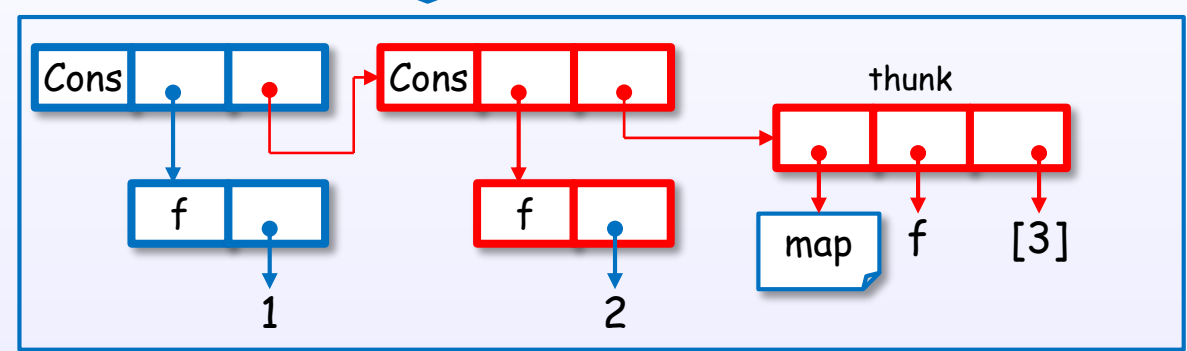
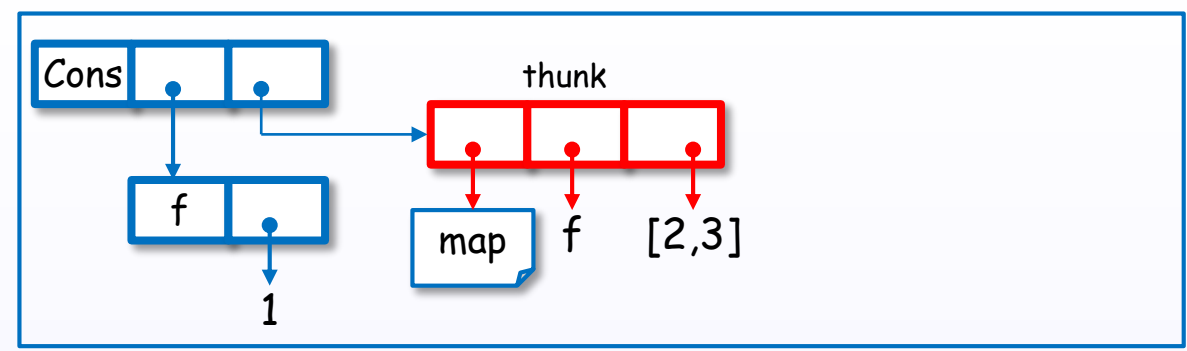
f 1 : f 2 : map f [3]

Cons (f 1) (Cons (f 2) (map f [3]))

f 1 : f 2 : f 3

Cons (f 1) (Cons (f 2) (Cons (f 3) Nil))

...



References : [D5], [D6], [D8], [D9], [D10], [H10]

Example of foldl (non-strict)

```
foldl (+) 0 [1 .. 100]
```



```
foldl (+) (0 + 1) [2 .. 100]
```



```
foldl (+) ((0 + 1) + 2) [3 .. 100]
```



```
foldl (+) (((0 + 1) + 2) + 3) [4 .. 100]
```



...

Example of foldl (non-strict)

```
foldl (+) 0 [1 .. 100]
```

```
foldl (+) (0 + 1) [2 .. 100]
```

```
let thunk1 = (0 + 1)
in foldl (+) thunk1 [2 .. 100]
```

```
foldl (+) ((0 + 1) + 2) [3 .. 100]
```

```
let thunk2 = (thunk1 + 2)
in foldl (+) thunk2 [3 .. 100]
```

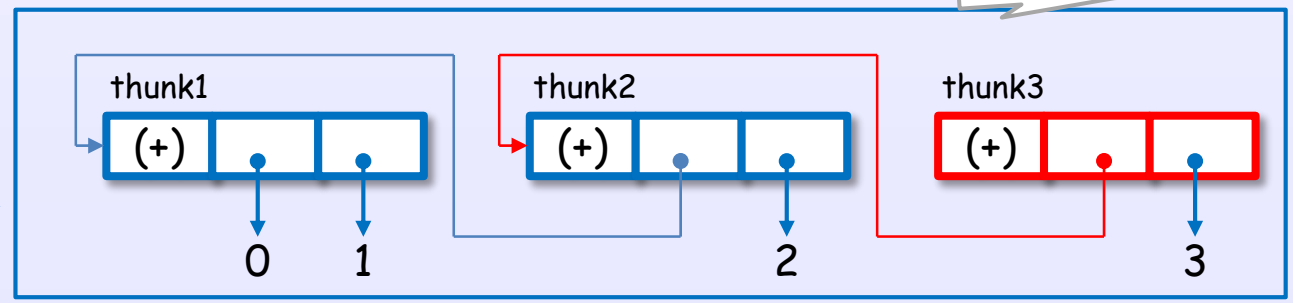
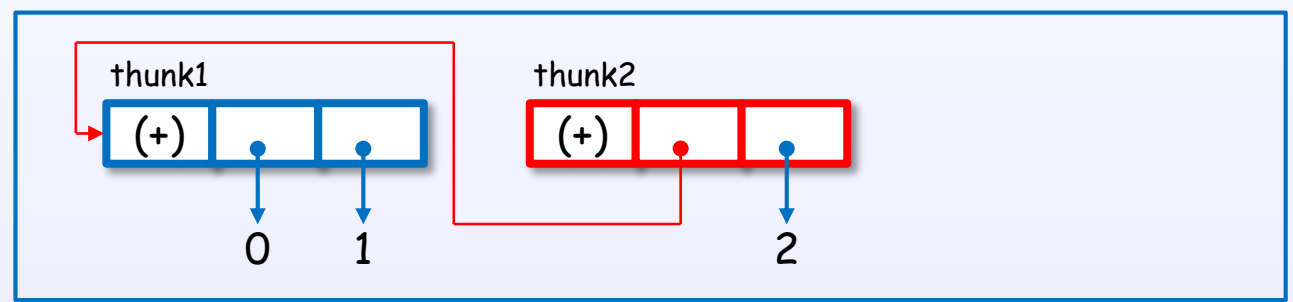
```
foldl (+) (((0 + 1) + 2) + 3) [4 .. 100]
```

```
let thunk3 = (thunk2 + 3)
in foldl (+) thunk3 [4 .. 100]
```

...

heap memory

*show only accumulation value



increasing heap ...

References : [D5], [D6], [D8], [D9], [D10], [H10]

Example of foldl' (strict)

foldl' (+) 0 [1 .. 100]



foldl' (+) (0 + 1) [2 .. 100]



foldl' (+) (1 + 2) [3 .. 100]



foldl' (+) (3 + 3) [4 .. 100]



...

Example of foldl' (strict)

```
foldl' (+) 0 [1 .. 100]
```

```
foldl' (+) (0 + 1) [2 .. 100]
```

```
let thunk1 = (0 + 1)
in thunk1 `seq`
  foldl' (+) thunk1 [2 .. 100]
```

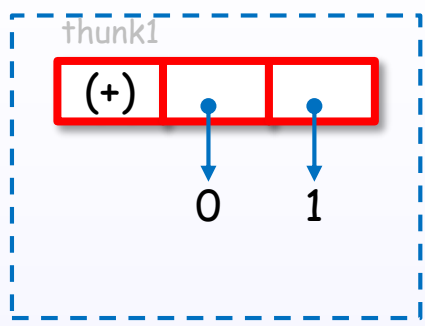
```
foldl' (+) (1 + 2) [3 .. 100]
```

```
let thunk2 = (1 + 2)
in thunk2 `seq`
  foldl' (+) thunk2 [3 .. 100]
```

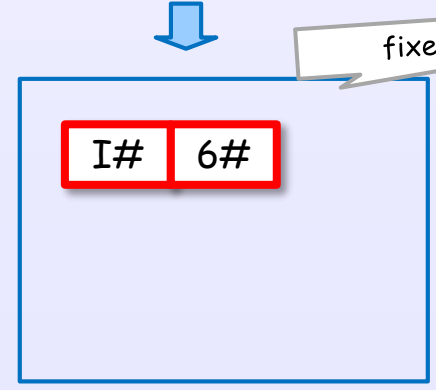
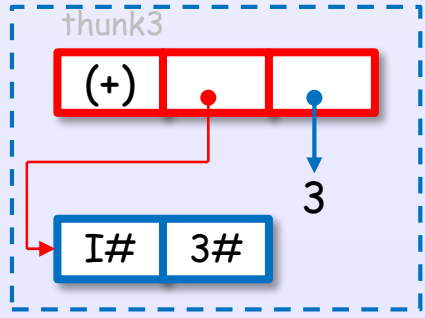
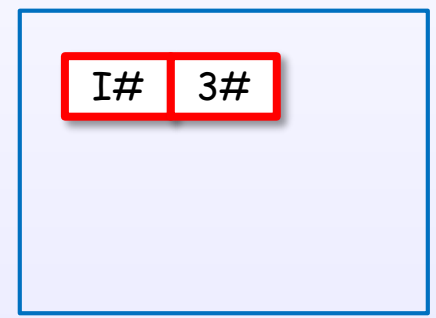
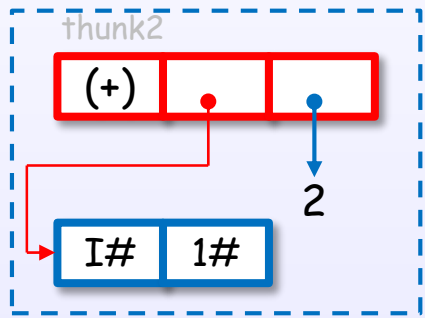
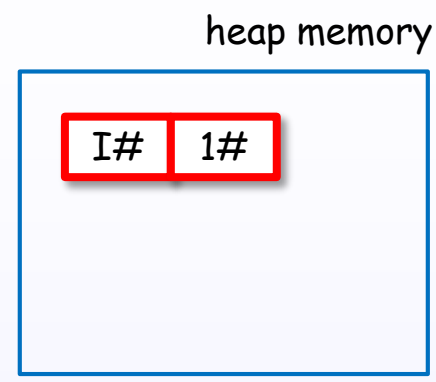
```
foldl' (+) (3 + 3) [4 .. 100]
```

```
let thunk3 = (3 + 3)
in thunk3 `seq`
  foldl' (+) thunk3 [4 .. 100]
```

...



eval by seq



References : [D5], [D6], [D8], [D9], [D10], [H10]

Example of foldl (non-strict) and foldl' (strict)

foldl (+) (0 + 1) [2 .. 100]



foldl (+) ((0 + 1) + 2) [3 .. 100]



foldl (+) (((0 + 1) + 2) + 3) [4 .. 100]



foldl' (+) (0 + 1) [2 .. 100]



foldl' (+) (1 + 2) [3 .. 100]



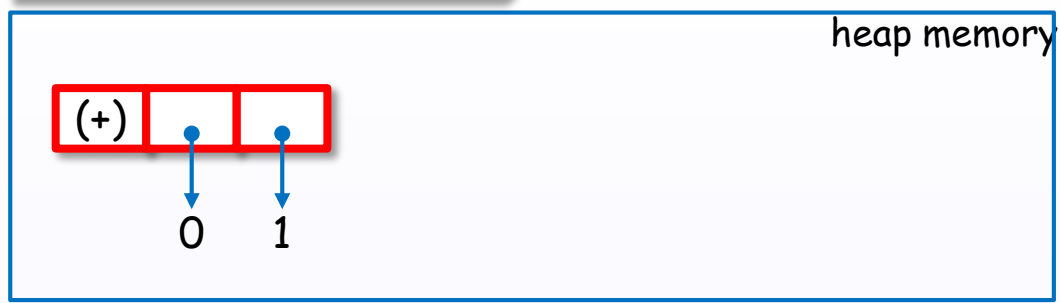
foldl' (+) (3 + 3) [4 .. 100]



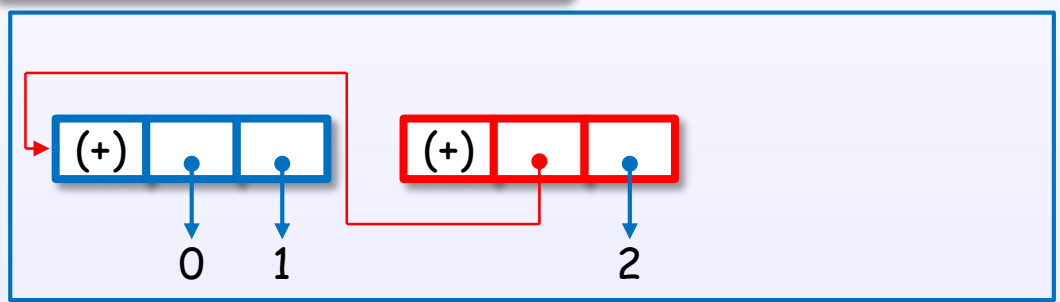
References : [D5], [D6], [D8], [D9], [D10], [H10]

Example of foldl (non-strict) and foldl' (strict)

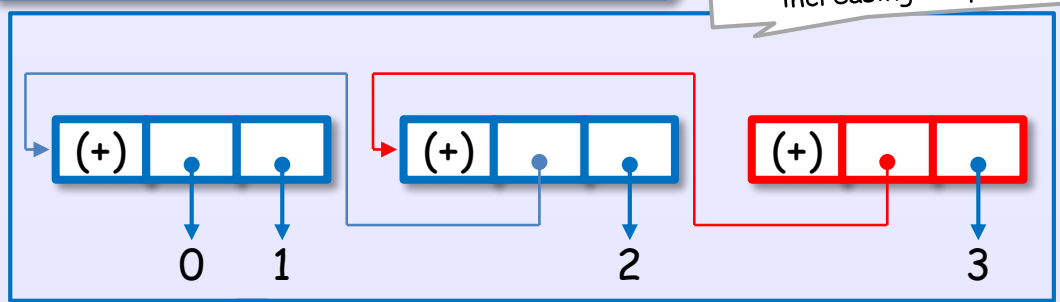
foldl (+) (0 + 1) [2 .. 100]



foldl (+) ((0 + 1) + 2) [3 .. 100]

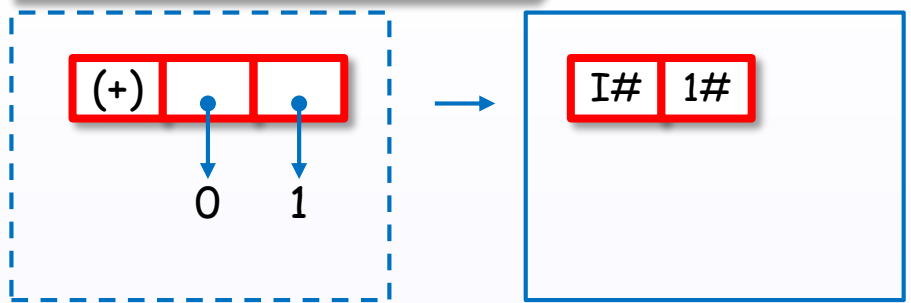


foldl (+) (((0 + 1) + 2) + 3) [4 .. 100]

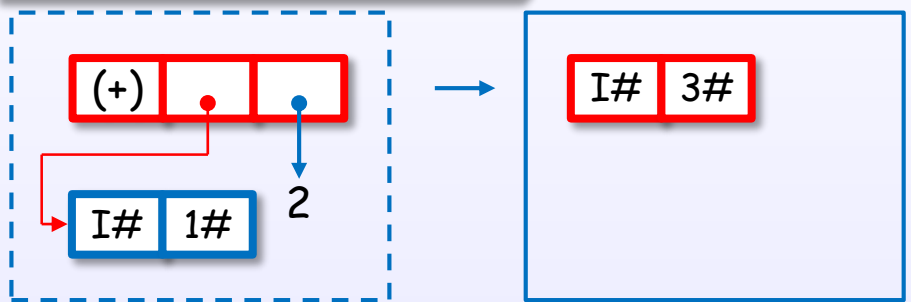


increasing heap ...

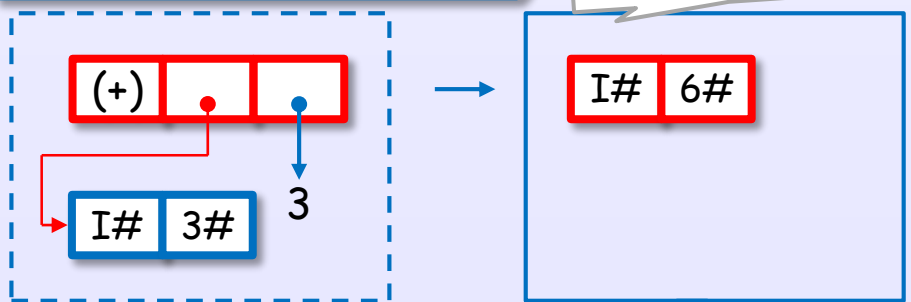
foldl' (+) (0 + 1) [2 .. 100]



foldl' (+) (1 + 2) [3 .. 100]



foldl' (+) (3 + 3) [4 .. 100]

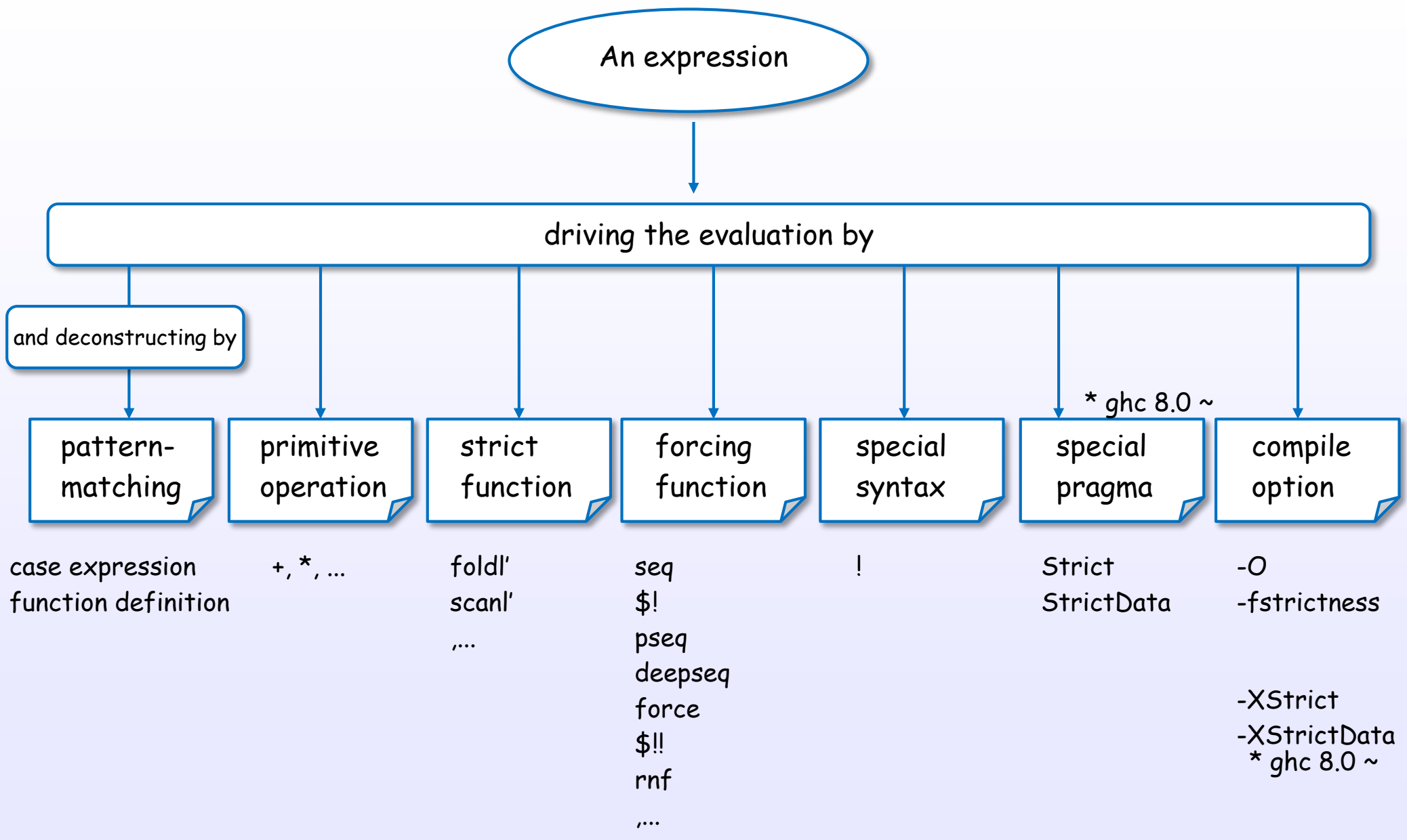


fixed heap size

4. Evaluation

Controlling the evaluation

How to drive the evaluation



(1) Evaluation by pattern-matching

pattern-matching in **case expression**

```
case ds of
  x:xs -> f x xs
  []    -> False
```

forcing
(drive the evaluation of the thunk)

pattern-matching in **function definition**

```
f Just _ = True
f Nothing = False
```

forcing
(drive the evaluation of the thunk)

(1) Evaluation by pattern-matching

Strict patterns drive the evaluation

case expression

```
case ds of
  x:xs -> f x xs
  []    -> False
```

function definition

```
f Just _ = True
f Nothing = False
```

Lazy patterns postpone the evaluation.

let binding pattern

```
let (x:xs) = fun args
```

irrefutable patterns [H1] 3.17

```
f ~(Just _) = True
f ~(Nothing) = False
```

There are two kinds of pattern-matching.

(2) Evaluation by primitive operation

primitive (built-in) operation

$$f\ x\ y = x + y$$

forcing x and y
(drive the evaluation of the thunks)

+, *, ...

primitive operations are defined such as

** pseudo code*

$$(+) (I\# a) (I\# b) = I\# (a+b)$$


pattern-matching

(3) Evaluation by strict version function

strict version function

foldl' (+) 0 xs

strict application of the operator



scanl' (+) 0 xs

⋮

(4) Evaluation by forcing function

forcing functions to **WHNF**

`seq x y`

`f $! x`

`pseq x y`

forcing
(drive the evaluation of the thunk)

forcing functions to **NF**

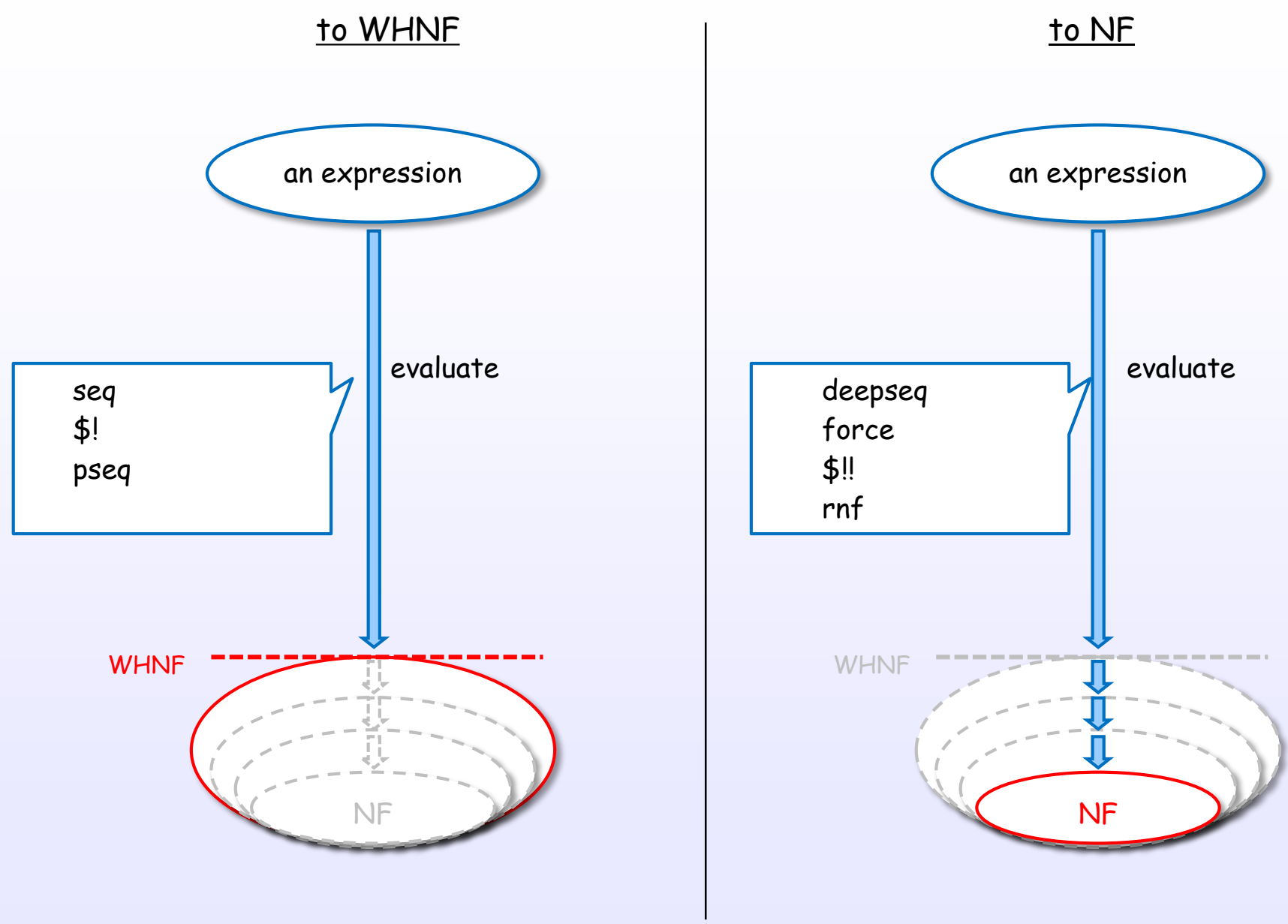
`deepseq x y`

`f $!! x`

`force x`

`rnf x`

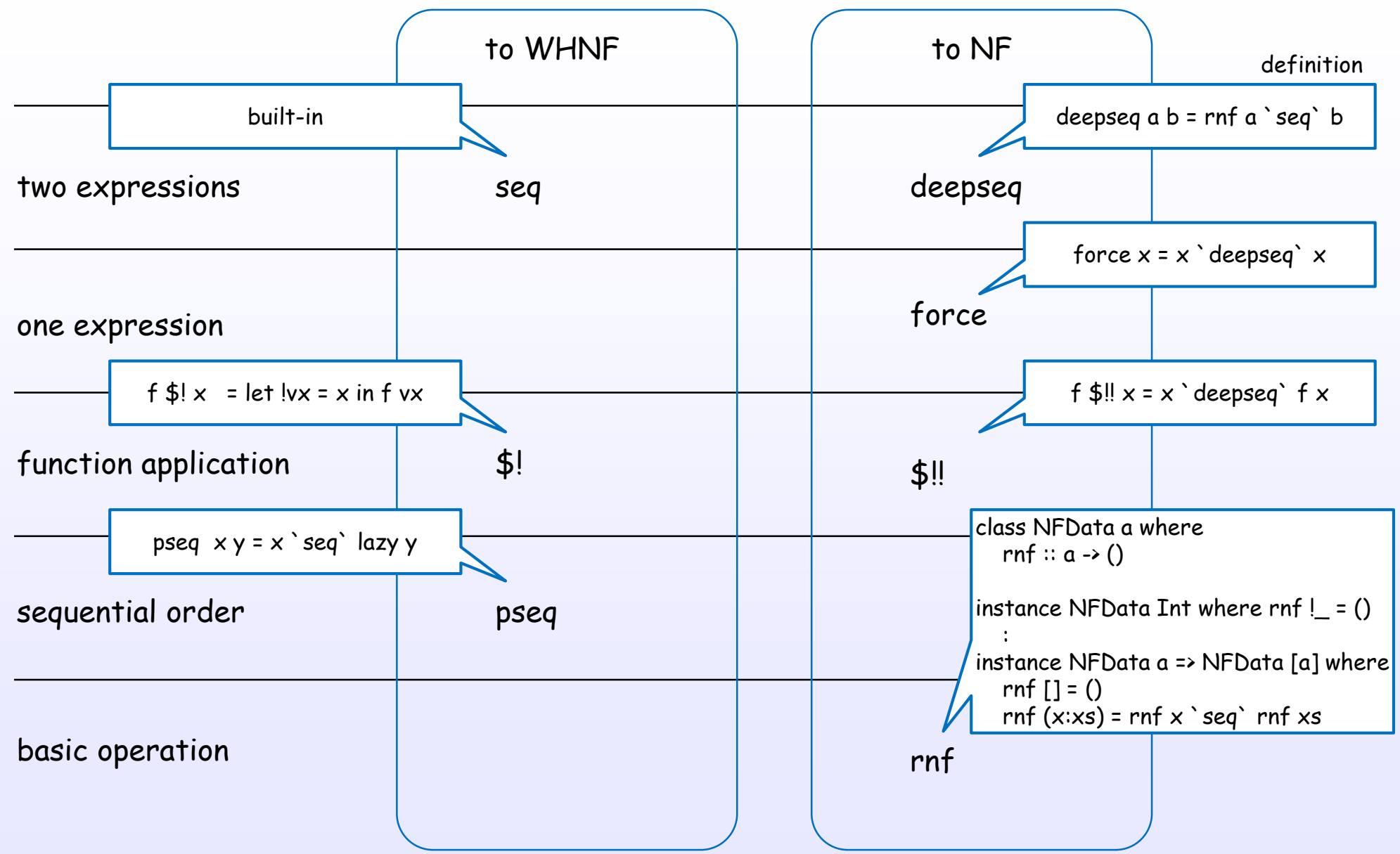
(4) Evaluation by forcing function



(4) Evaluation by forcing function

	to WHNF	to NF
two arguments	seq	deepseq
one argument		force
function application	\$!	\$!!
sequential order	pseq	
basic operation		rnf

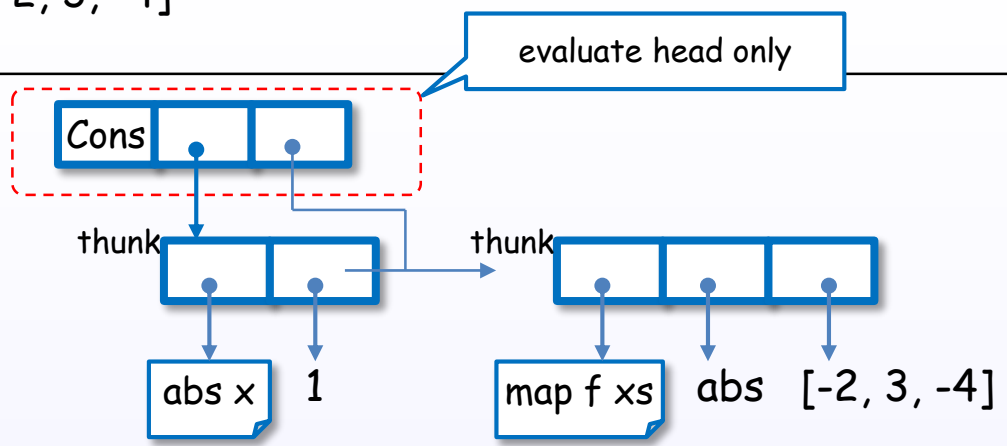
(4) Evaluation by forcing function



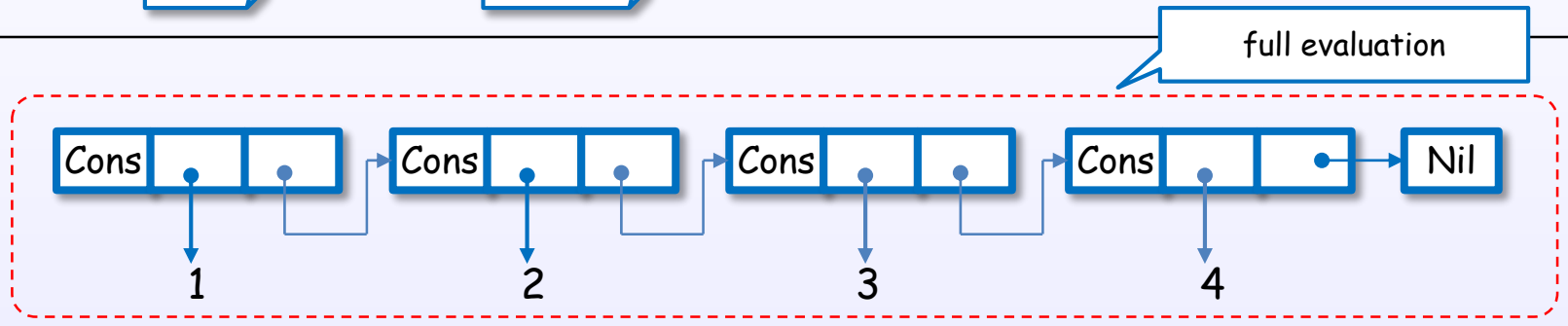
(4) Evaluation by forcing function

a = map abs [1, -2, 3, -4]

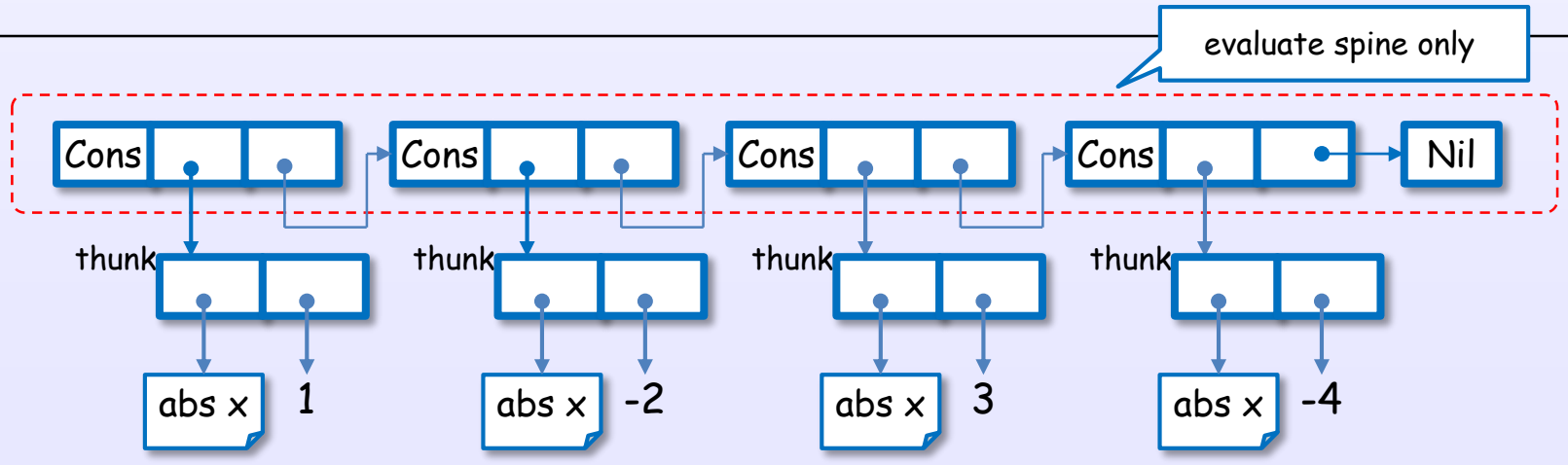
seq a ()



deepseq a ()



length a



(5) Evaluation by special syntax

Strictness annotation

Bang pattern [H2] 7.19

see also Strict pragma

```
{-# LANGUAGE BangPatterns #-}
```

```
f !xs = g xs
```

arguments are evaluated
before function application

Strictness flag [H1] 4.2.1

see also StrictData and Strict pragma

```
data Pair = Pair !a !b
```

arguments are evaluated
before constructor application

Strictness annotations assist strictness analysis.

(6) Evaluation by special pragma

Special pragma for strictness language extension

Strict pragma

* ghc 8.0 ~

see also bang pattern and strictness flag

```
{-# LANGUAGE Strict #-}
```

```
let f xs = g xs in f ys
```

```
data Pair = Pair a b
```

arguments are evaluated
before application

StrictData pragma

* ghc 8.0 ~

see also strictness flag

```
{-# LANGUAGE StrictData #-}
```

```
data Pair = Pair a b
```

Strict and StrictData pragmas are module level control.
These can use in ghc 8.0 or later.

(7) Evaluation by compile option

Compile option

strictness analysis

```
$ ghc -O
```

Turn on optimization.
Implies "-fstrictness".

```
$ ghc -fstrictness
```

Turn on strictness analysis.
Implied by "-O".

strictness language extension * ghc 8.0 ~

```
$ ghc -XStrict
```

apply Strict pragma

```
$ ghc -XStrictData
```

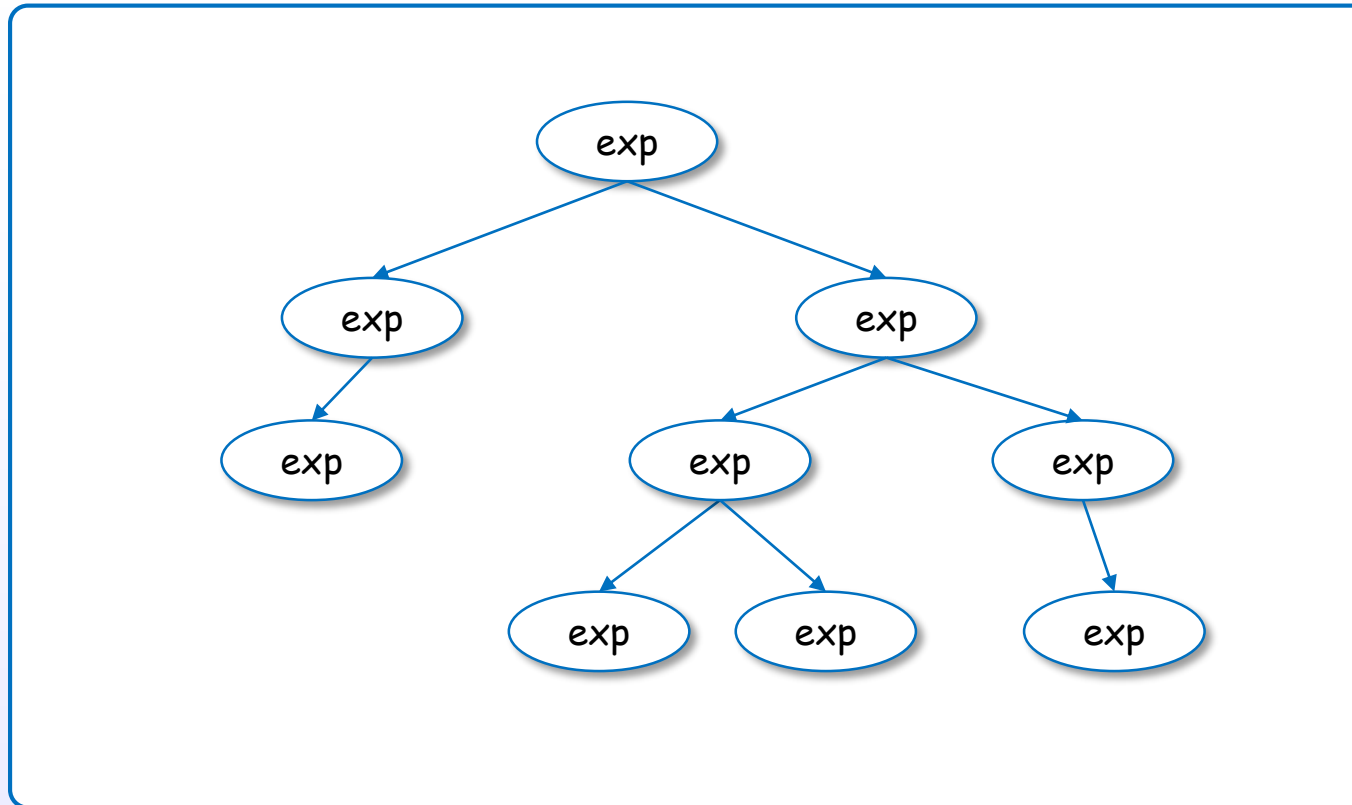
apply StrictData pragma

5. Implementation of evaluator

5. Implementation of evaluator

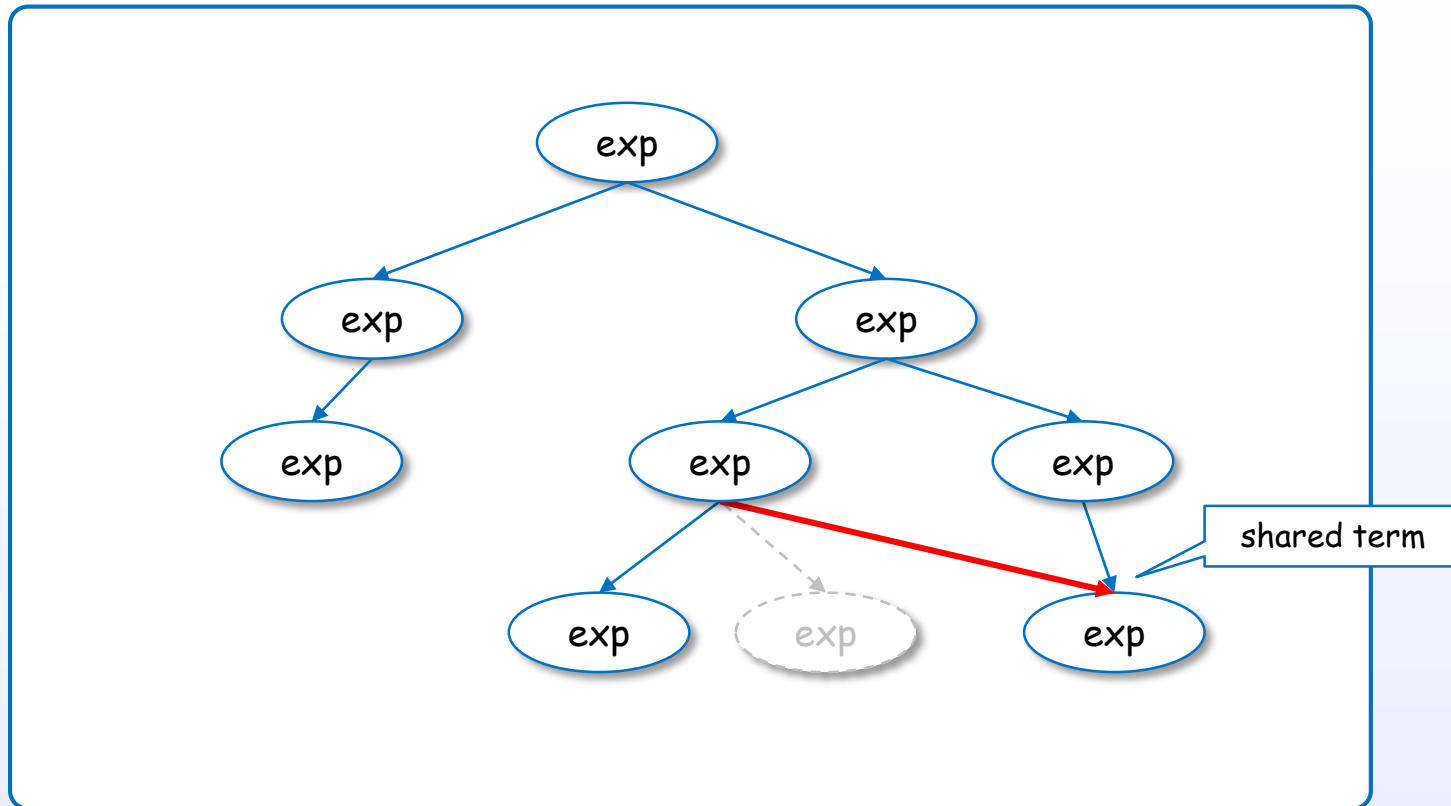
Lazy graph reduction

Tree



An expression can be represented in the form of Abstract Syntax **Tree** (AST).
AST is reduced using stack (sequential access memory).

Graph

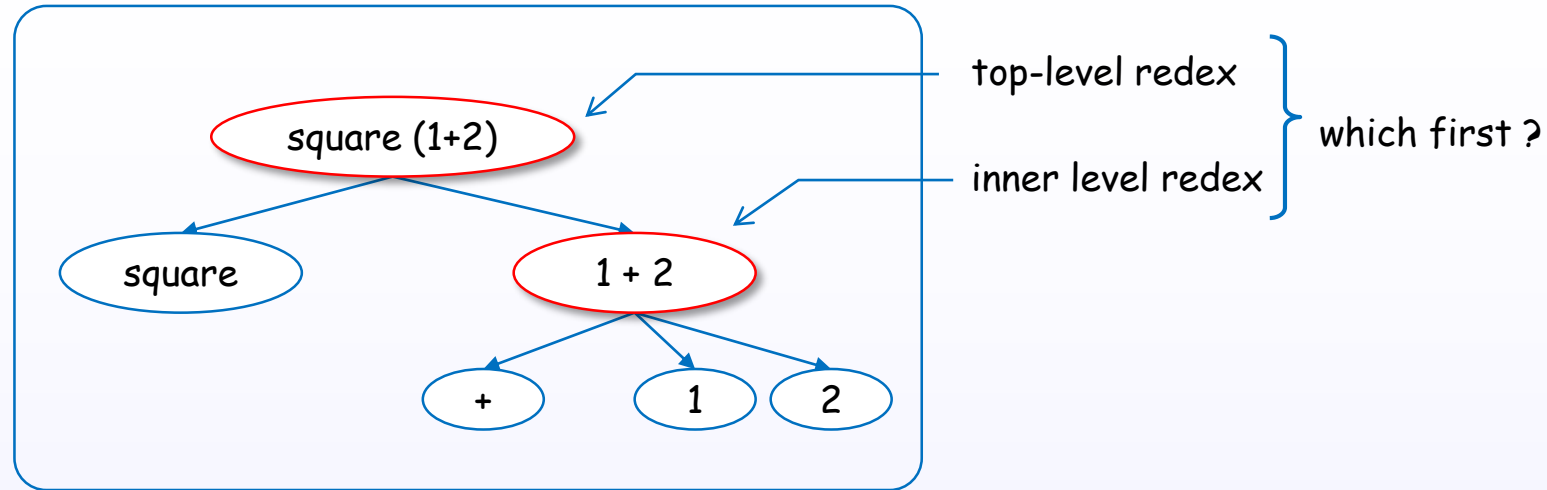


An expression can be also represented in the form of Graph.

Graph can share subexpressions to evaluate at once.

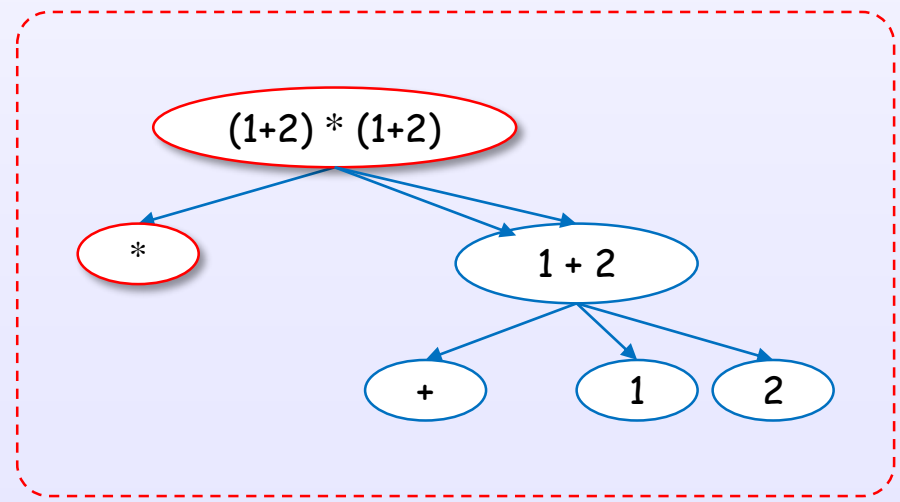
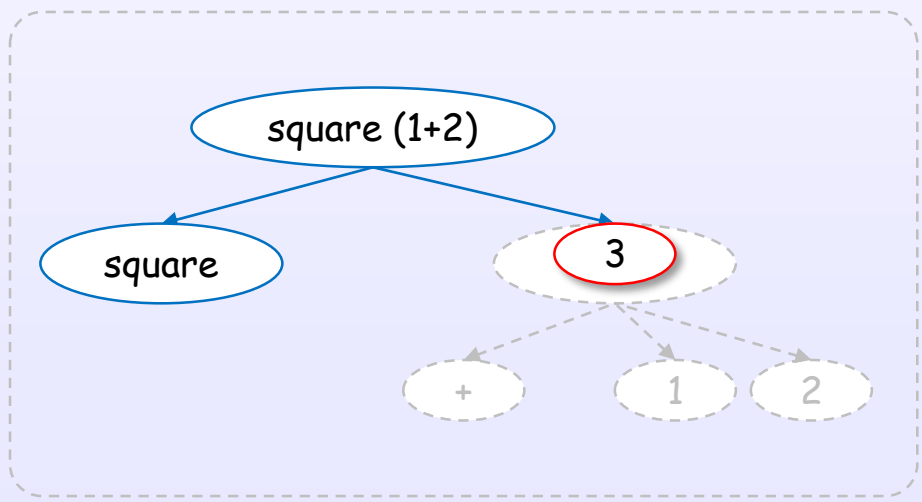
So, graph is reduced using heap (random access memory) rather than stack.

Graph can be reduced in some order



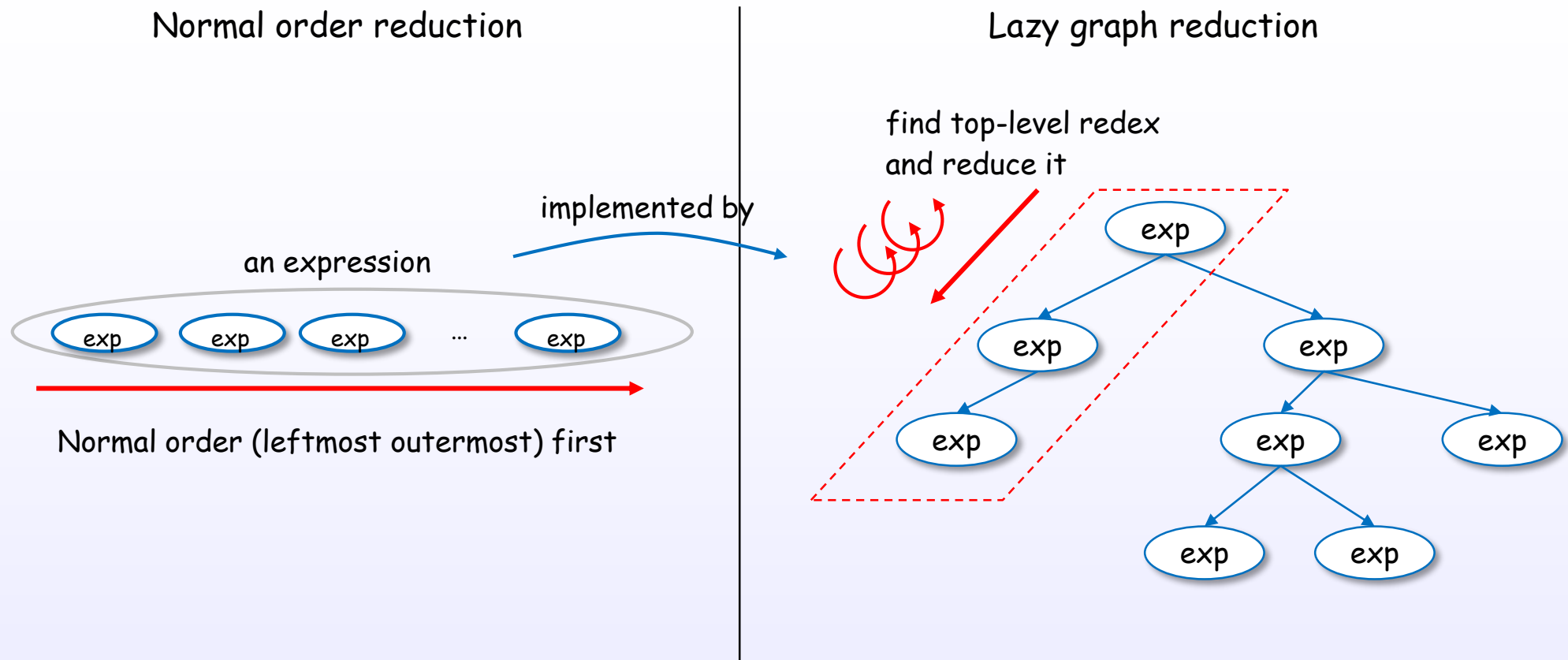
reduce inner level first
for call-by-value

reduce top-level (outermost) first
for call-by-need



To select top-level redex first, the evaluation of arguments can be postponed.

Normal order reduction is implemented by lazy graph reduction



Normal order (leftmost outermost) reduction is implemented by lazy graph reduction to select top-level redex first.

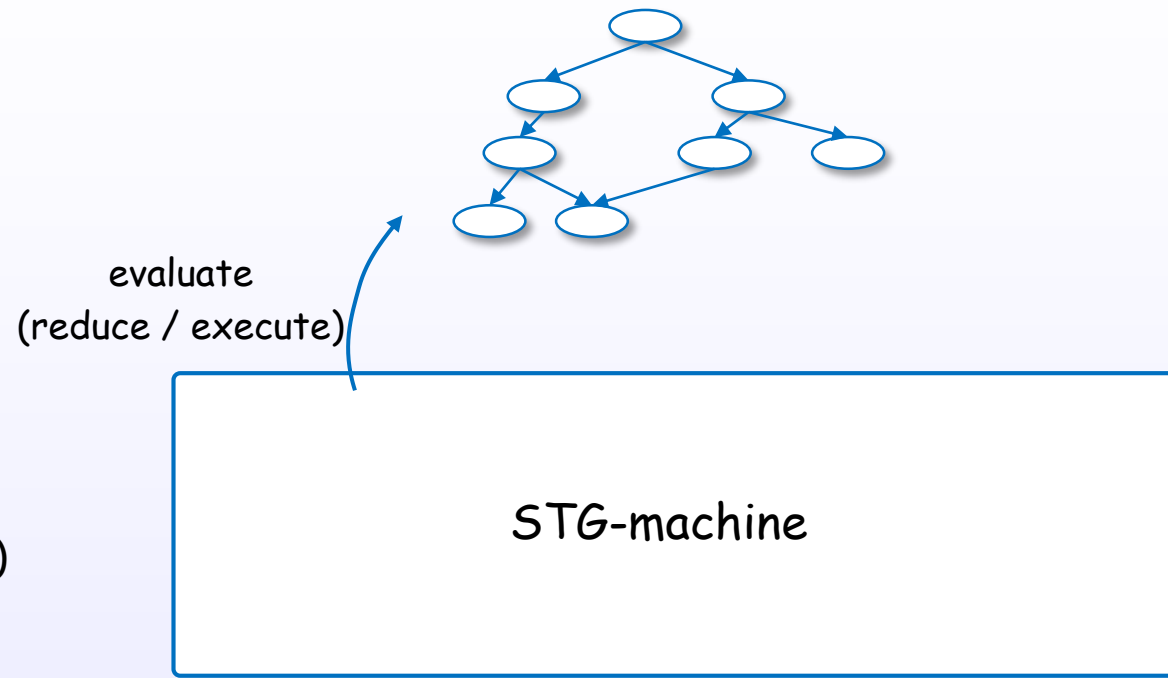
Given an application of a function, the outermost redex is the function application itself.

5. Implementation of evaluator

STG-machine

Abstract machine

Graph
(expression)



Evaluator
(abstract machine)

GHC uses abstract machine to reduce the expression.
It's called "STG-machine".

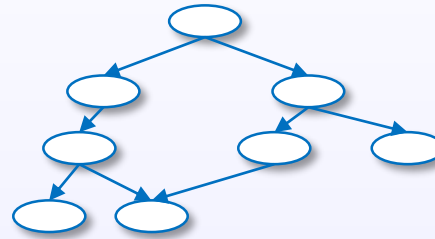
Concept layer

Haskell code

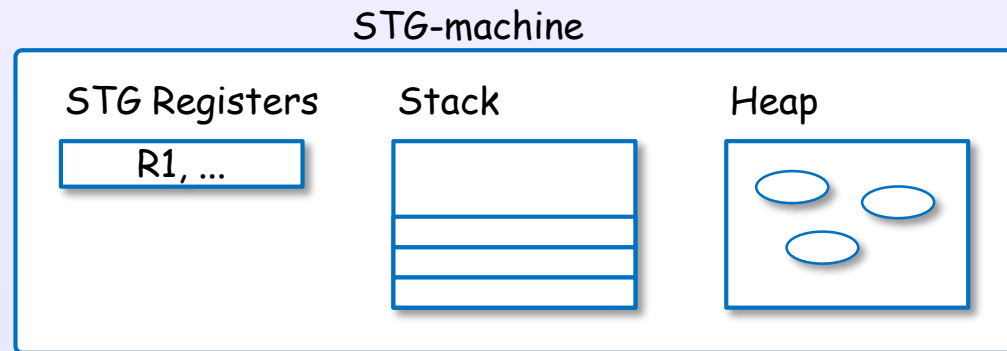
```
take 5 [1..10]
```

```
:
```

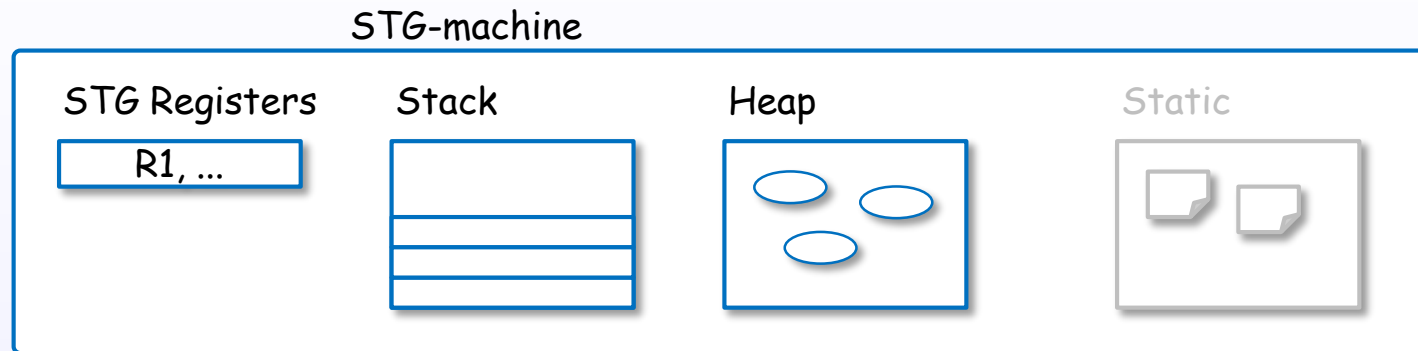
Graph
(internal representation
of the expression)



Evaluator (reducer, executer)
(abstract machine)



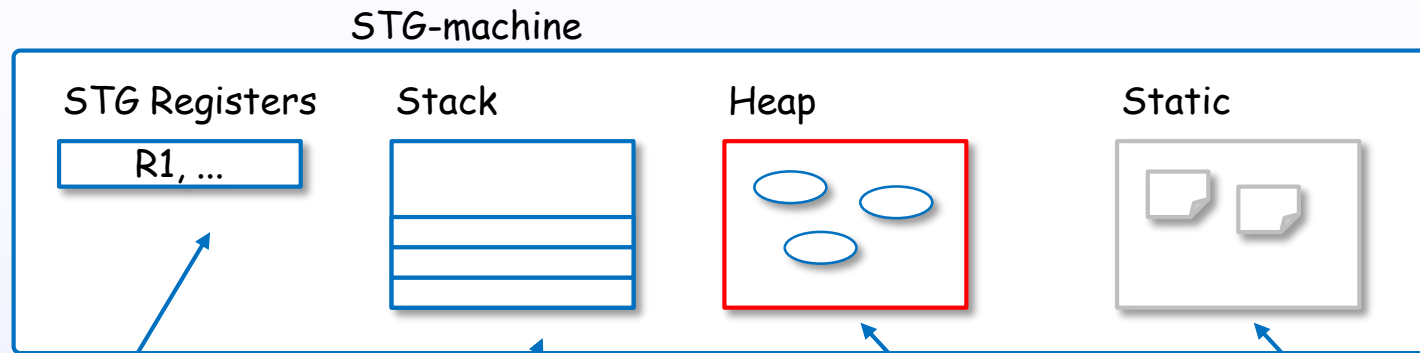
STG-machine



STG-machine is abstraction machine
which is defined by operational semantics.

STG-machine efficiently performs lazy graph reduction.

STG-machine



mainly used for
call/return convention
various control

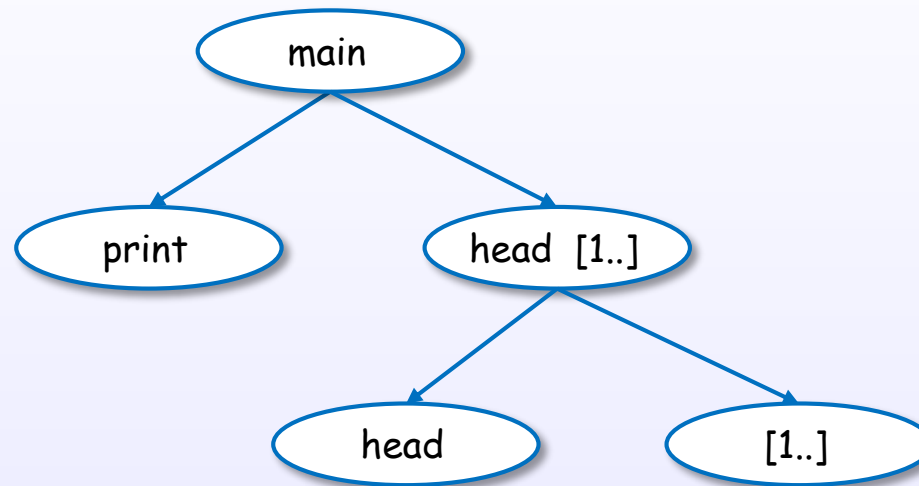
mainly used for
nest continuation
argument passing

mainly used for
allocating objects
(thunks, datas,
functions)

mainly used for
code
static objects

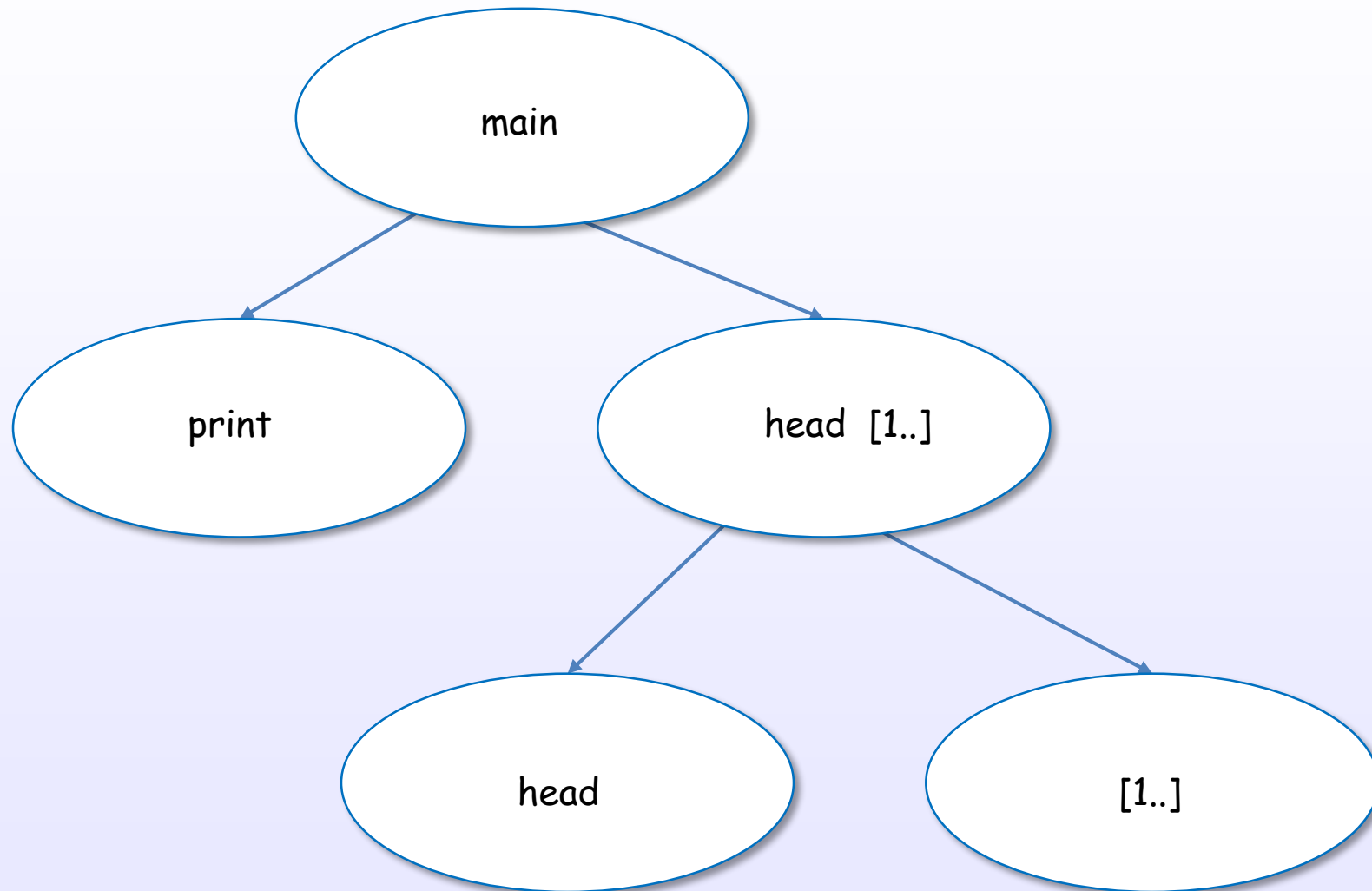
Example of mapping a code to a graph

main = print (head [1..])

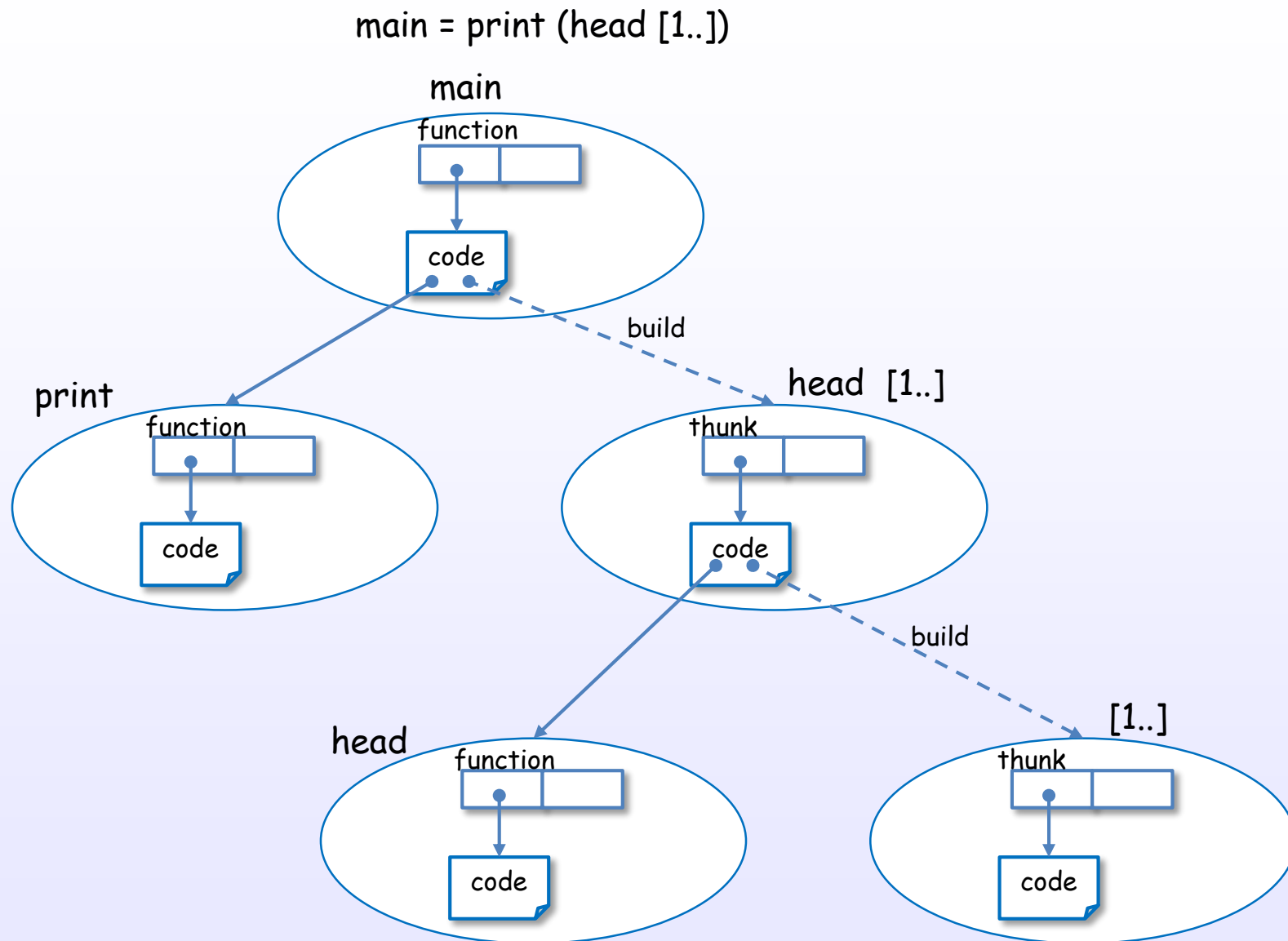


Example of mapping a code to a graph

main = print (head [1..])

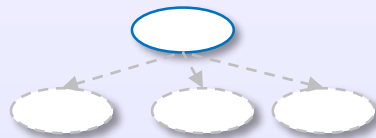
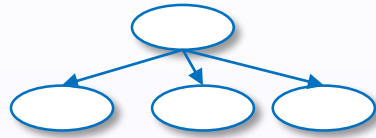


Example of mapping a code to a graph



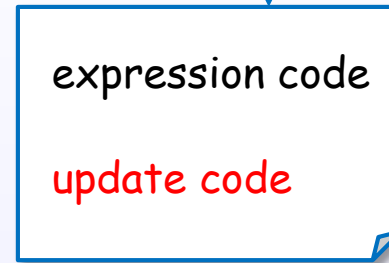
Self-updating model

Expression



GHC's internal representation

a thunk



free variables



evaluate and update
(replace myself to result value)

a data value

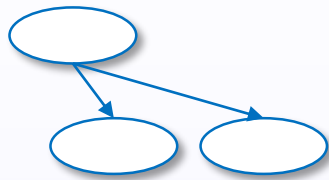


constructor

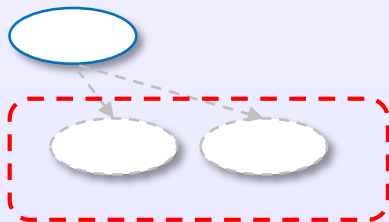
data components

Unreferenced expressions (objects) will be removed by GC

Expression

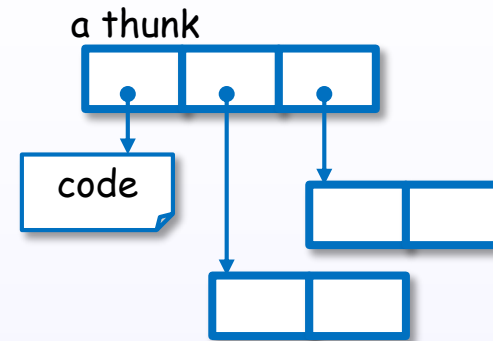


evaluate and update



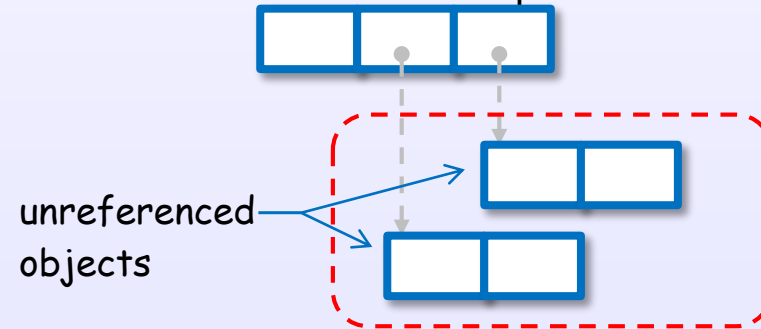
collected by GC(Garbage Collection)

GHC's internal representation



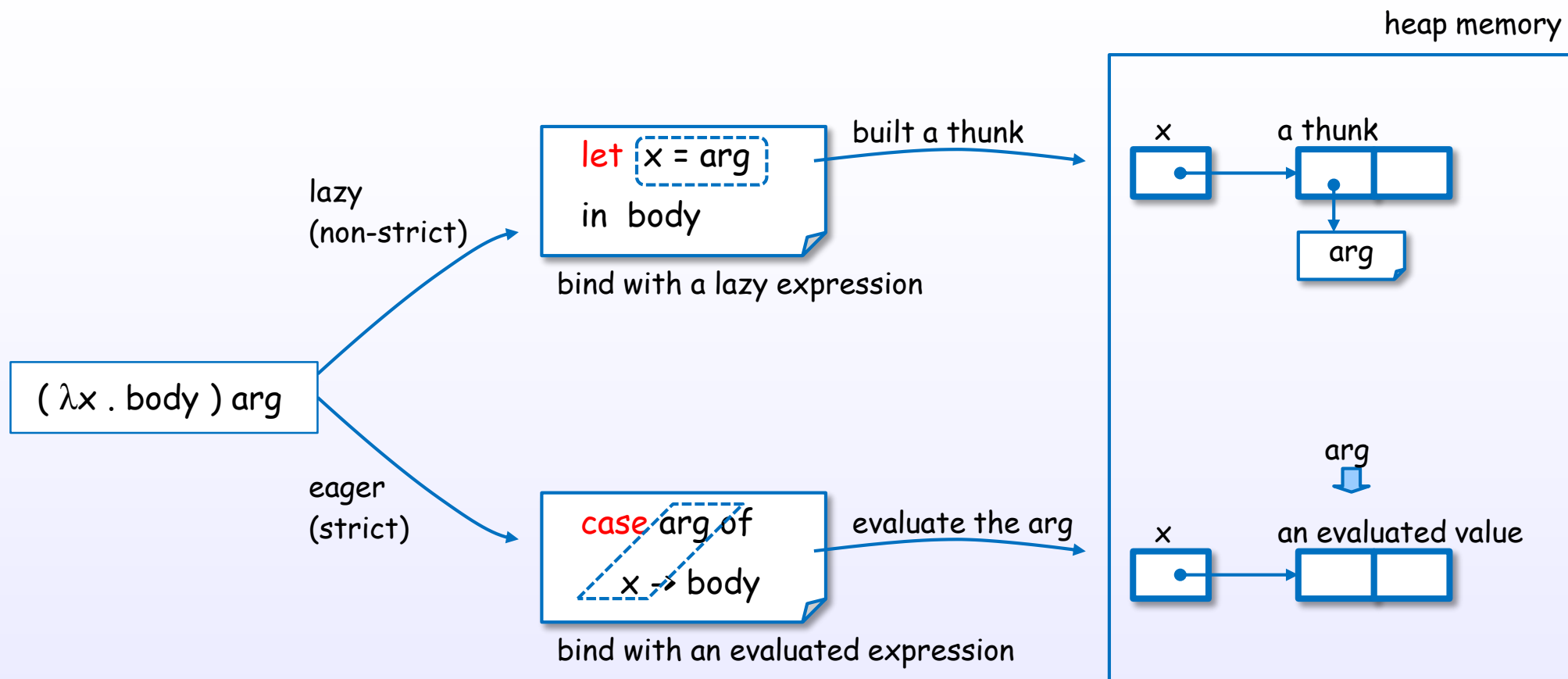
evaluate and update

an evaluated expression



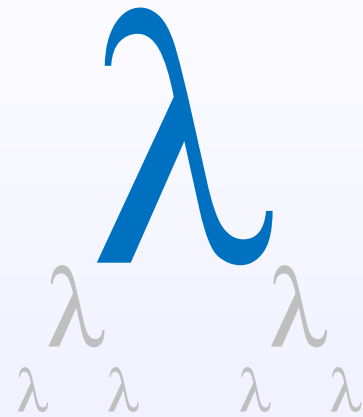
collected by GC(Garbage Collection)

STG-machine associates directly ...

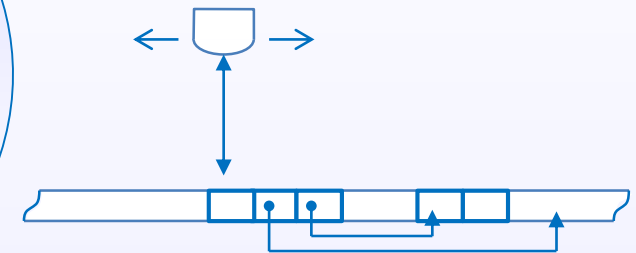
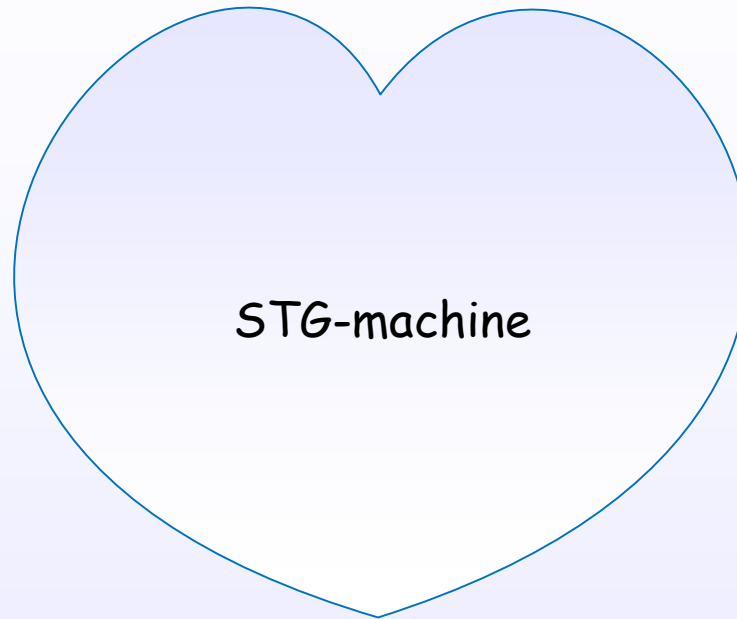


STG-machine associates directly lambda calculus and physical machine.

The STG-machine is ...



Lambda calculus



Turing machine

The STG-machine is the marriage of Lambda calculus and Turing machine.

STG-dump shows which expression is built as thunks

[Example.hs]

```
module Example where
```

```
fun f1 n = take 1 (f1 n)
```

STG code dump
by "\$ ghc -O -ddump-stg Example.hs"

```
Example.fun
```

```
:: forall a_aME t_aMF. (t_aMF -> [a_aME]) -> t_aMF -> [a_aME]
```

```
[GblId,
```

```
Arity=2,
```

```
Caf=NoCafRefs,
```

```
Str=DmdType <L,1*C1(U)><L,U>,
```

```
Unf=OtherCon []] =
```

```
\ r srt:SRT:[] [f1_sQT n_sQU]
```

```
let {
```

```
sat_sQV [Occ=Once, Dmd=<L,1*U>] :: [a_aMH]
```

```
[LclId, Str=DmdType] =
```

```
\ s srt:SRT:[] [f1_sQT n_sQU];
```

```
} in GHC.List.take_unsafe_UInt 1 sat_sQV;
```

build/allocate

let expression in STG language

heap memory

thunk

```
f1_sQT n_sQU
```

```
f1_sQT
```

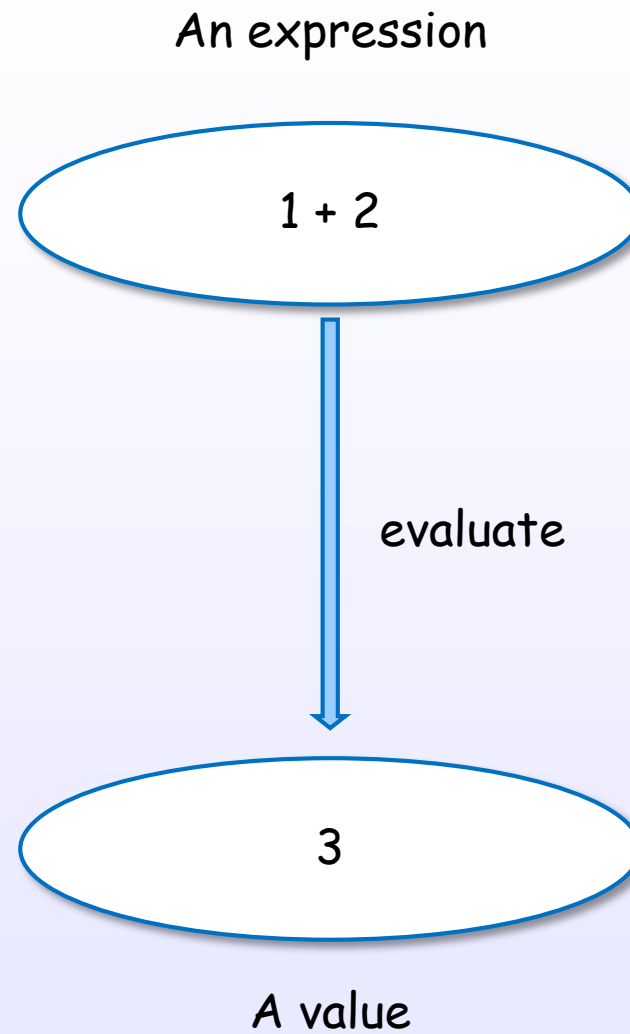
```
n_sQU
```

6. Semantics

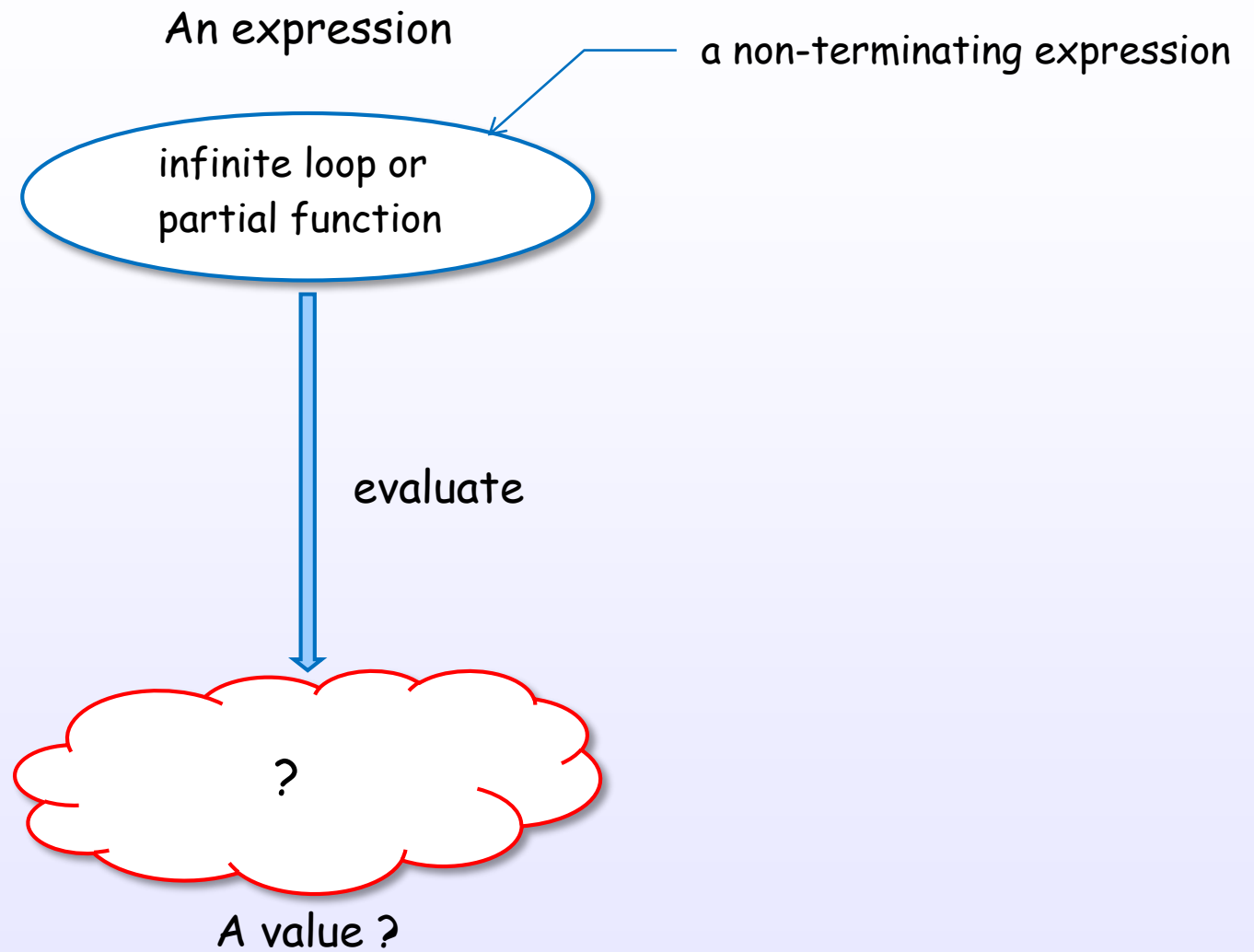
6. Semantics

Bottom

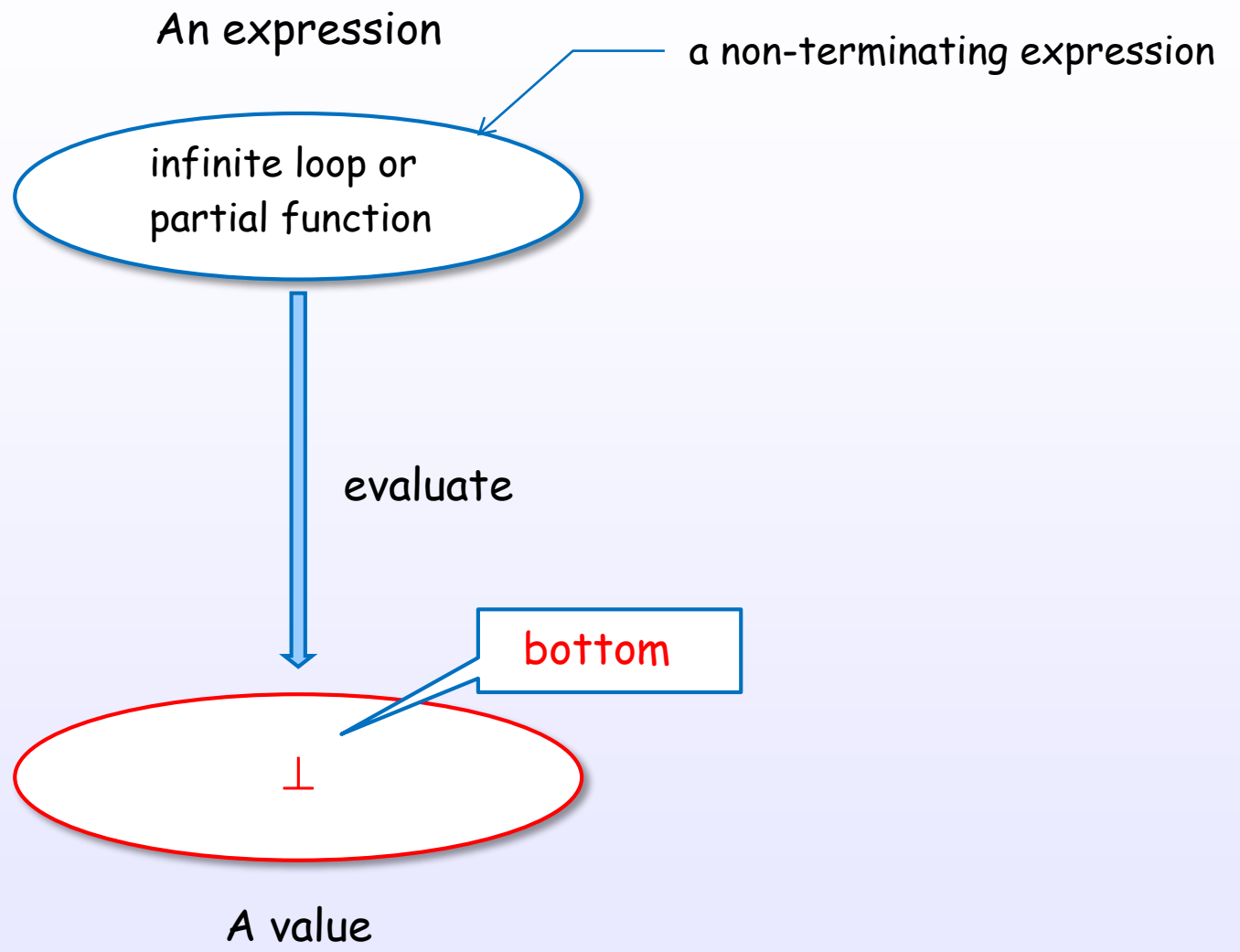
A well formed expression should have a value



What is a value in this case?

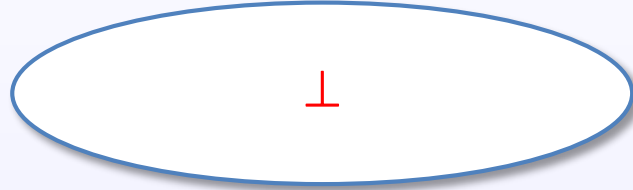


A value "bottom" is introduced



Bottom

A value



Bottom (\perp) is "an undefined value".

Bottom (\perp) is "a non-terminating value".

"undefined" function represents "bottom" in GHC

Haskell code

```
undefined :: a
```

Expression

\perp

GHC's internal representation

GHC.Err.undefined

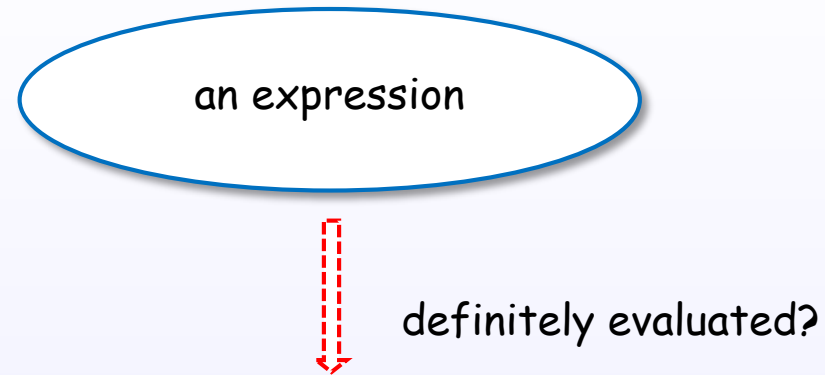
info ptr

code

6. Semantics

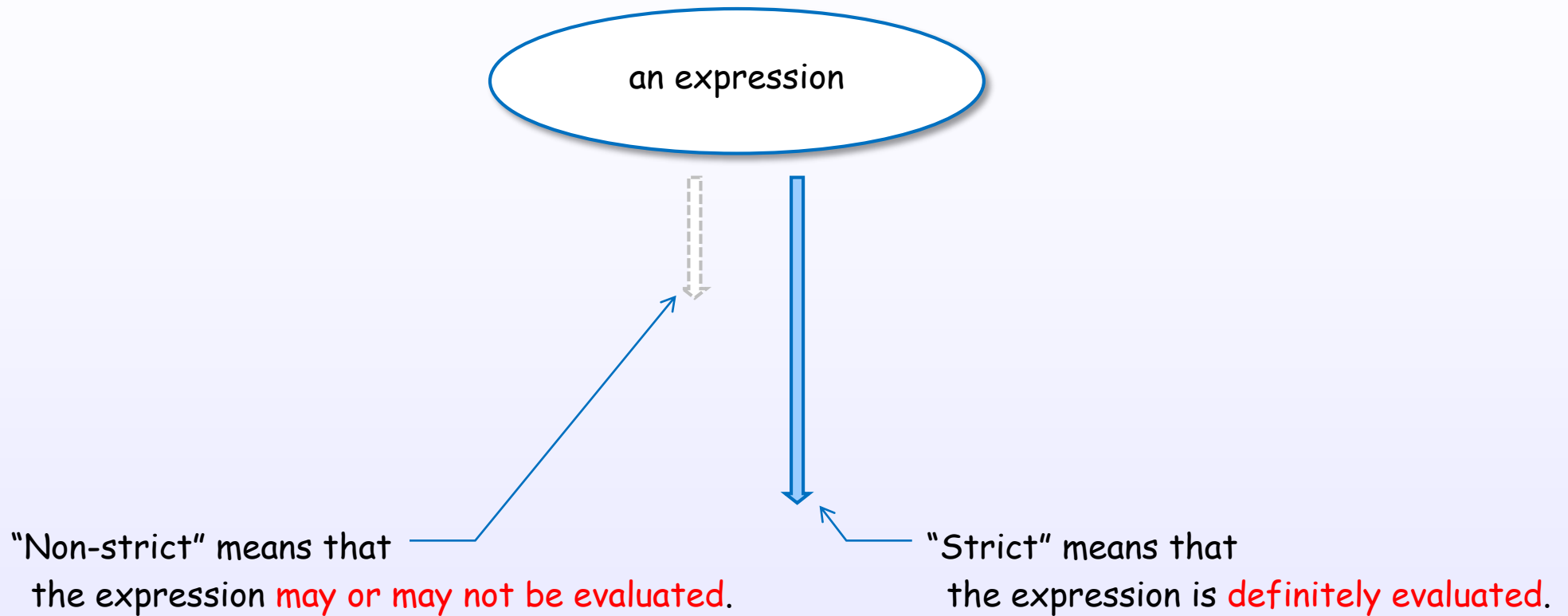
Strict/Non-strict

Strictness



Strictness is "evaluation demand" of the expression.

Strict and non-strict



Strict and non-strict

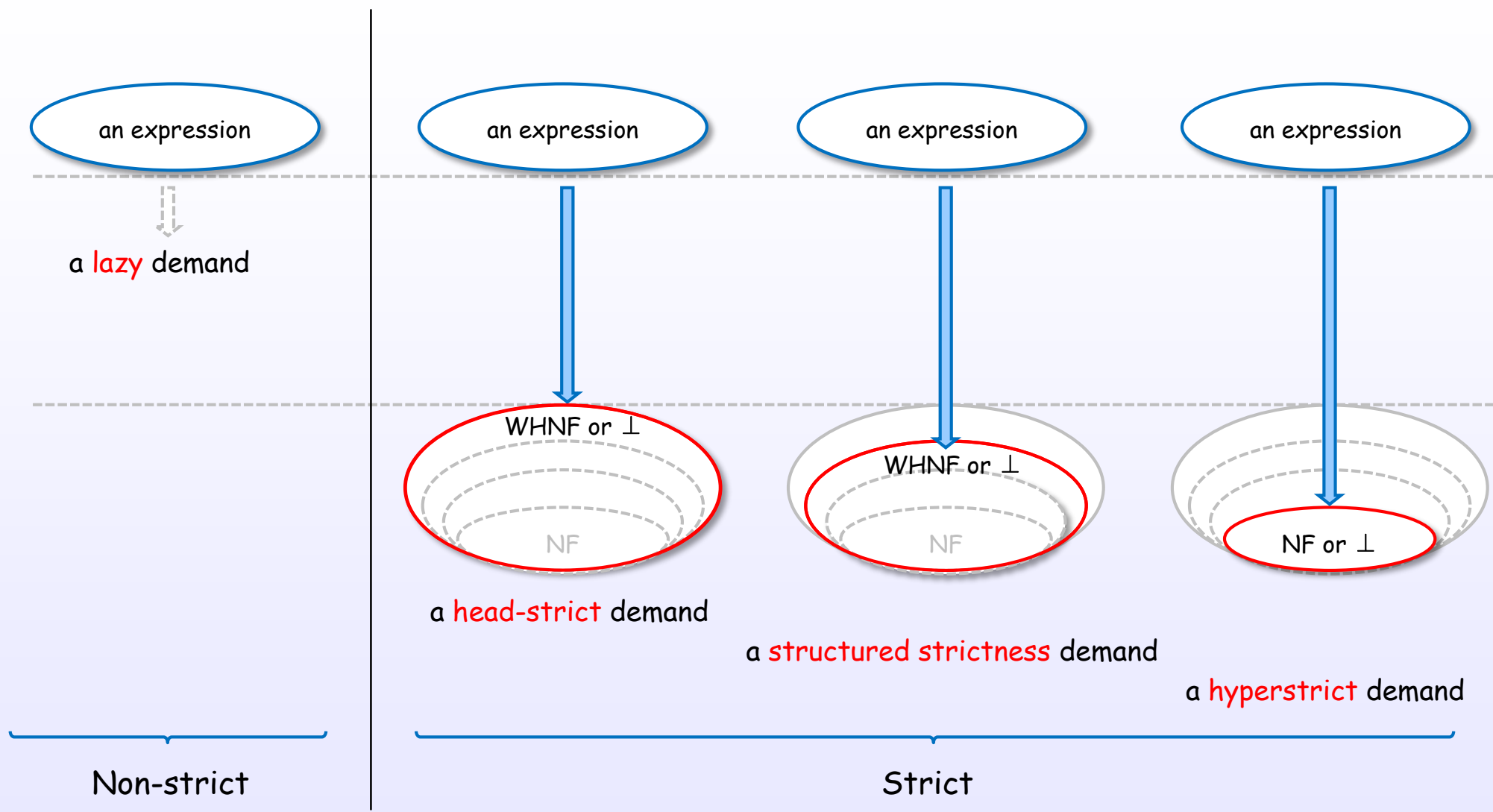


"Non-strict" means that
the expression **may or may not be evaluated**.

"Strict" means that
the expression is **definitely evaluated**.

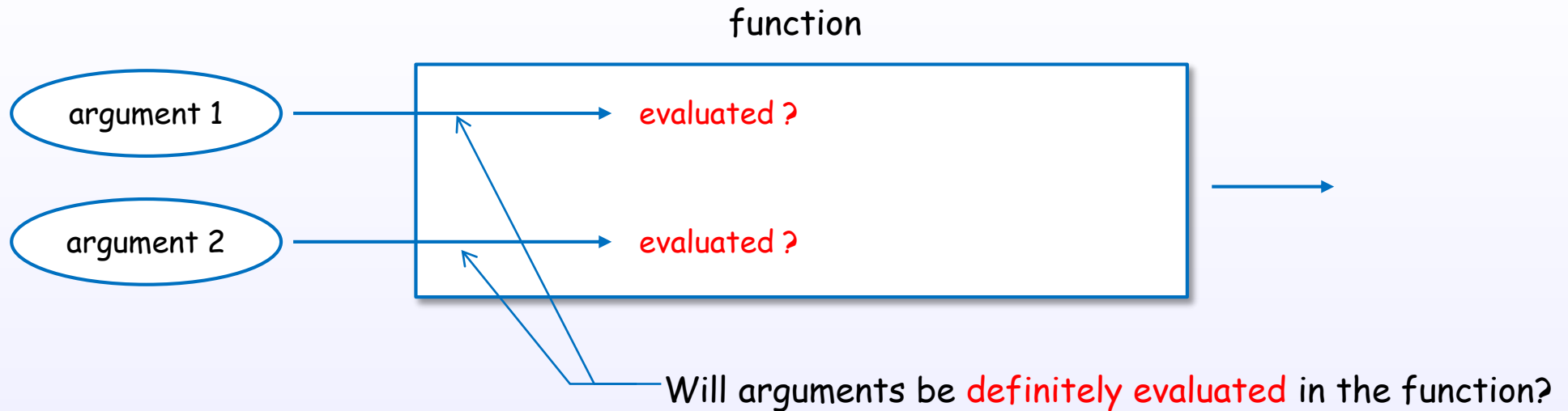
GHC implements non-strict semantics by lazy evaluation.

GHC has the lattice of strictness



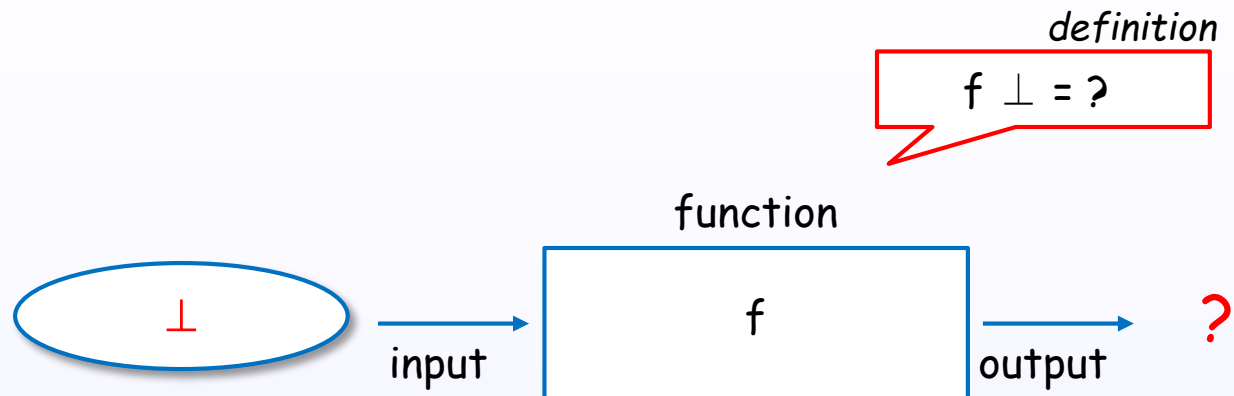
There are multiple levels in strict.

Strictness of a function



A function places "strictness demands" on each of its arguments.

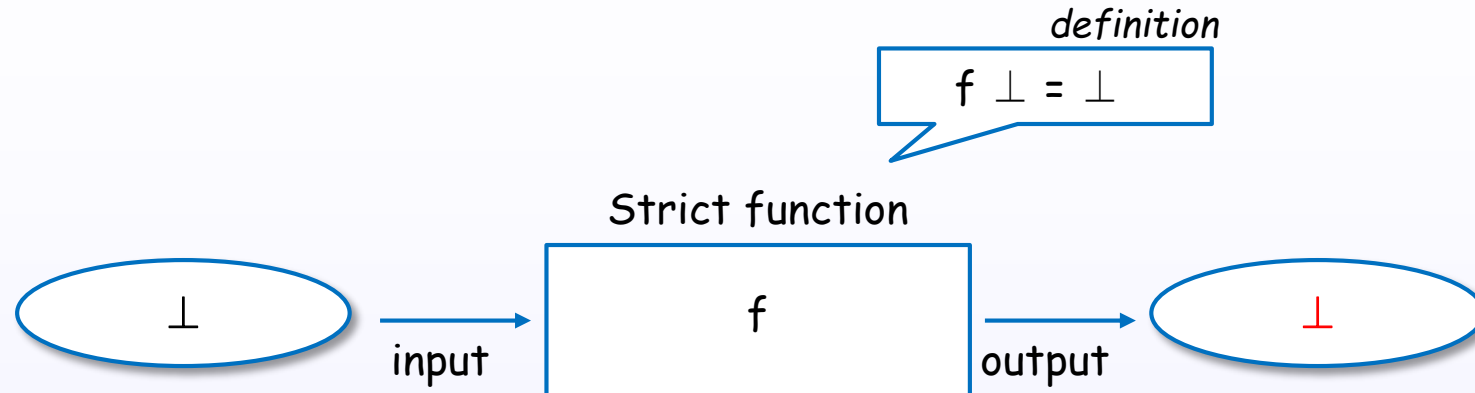
Strictness of a function is formally defined



Strictness of a function can be defined with the association between input and output.

"given a non-terminating arguments, the function will terminate?"

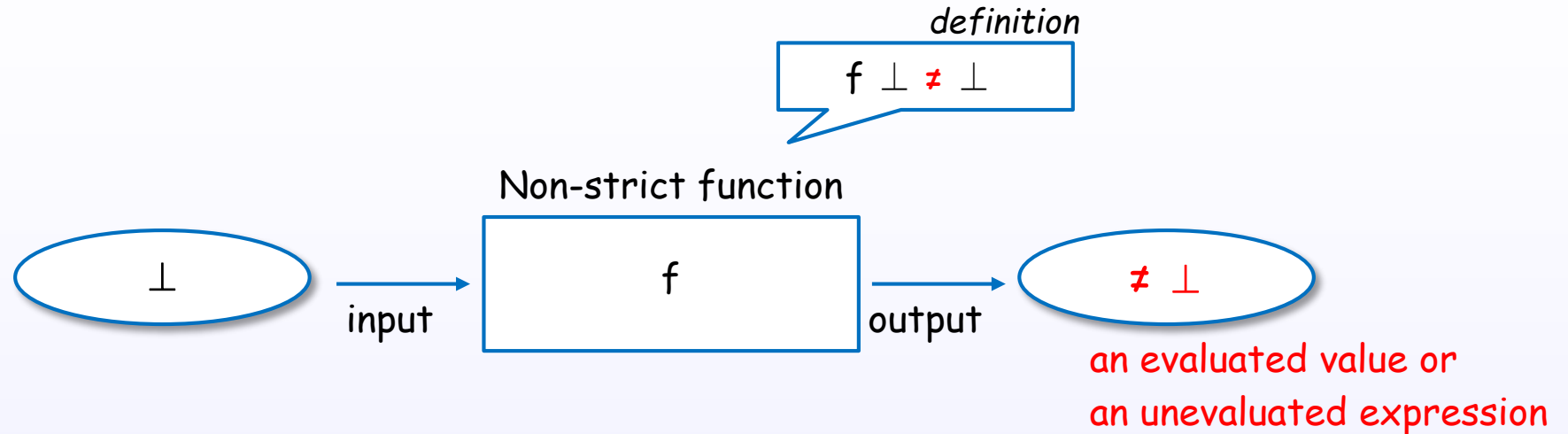
Definition of the strict function



Strict function's output is bottom when input is bottom.

given a non-terminating arguments, strict function will **not** terminate.

Definition of the non-strict function

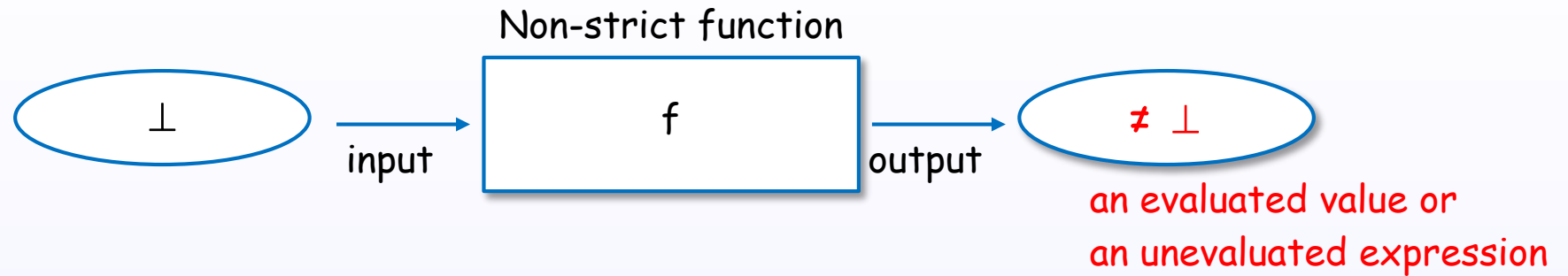


Non-strict function's output is **not** bottom when input is bottom.

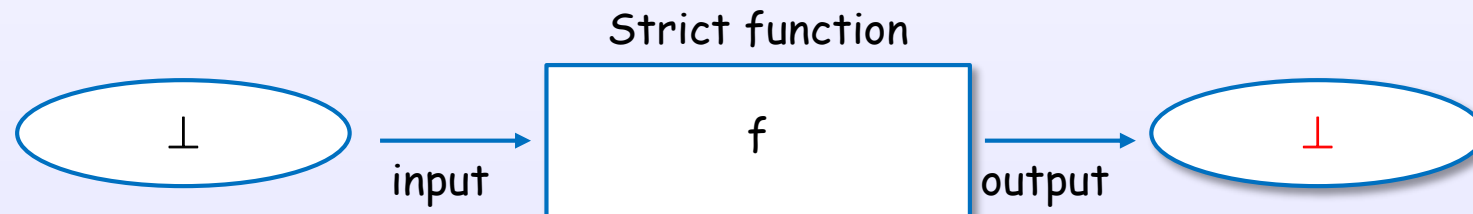
given a non-terminating arguments, non-strict function will terminate.

Strict and Non-strict functions

Non-strict

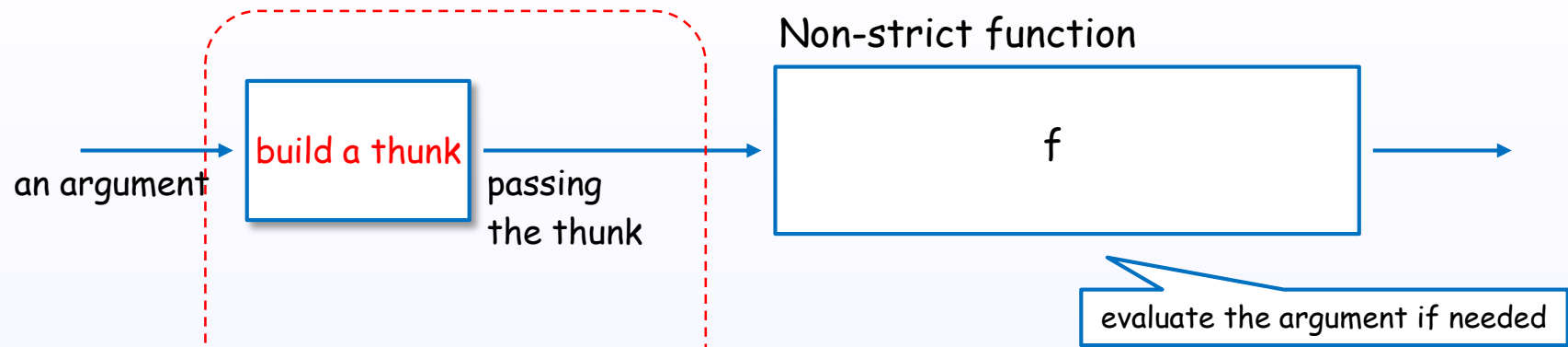


Strict

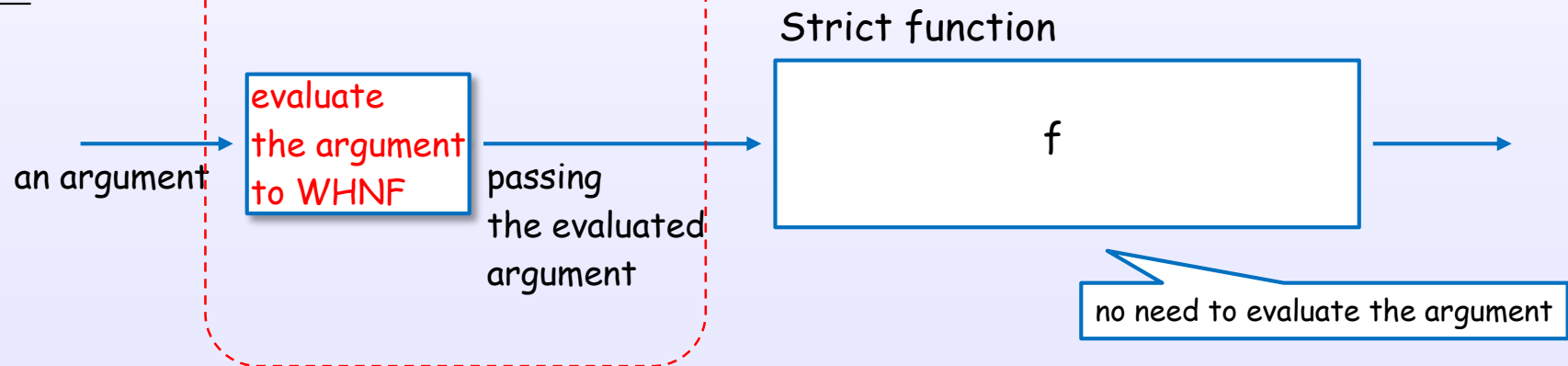


Function application and strictness

Non-strict



Strict



Postpone or Prepay

The front stage is also important.

Strict and normal form

Example of function application

	to WHNF	to NF
Non-strict	$f \ \$ \ arg$	
Strict	$f \ \$! \ arg$ (seq)	$f \ \$!! \ arg$ (deepseq)

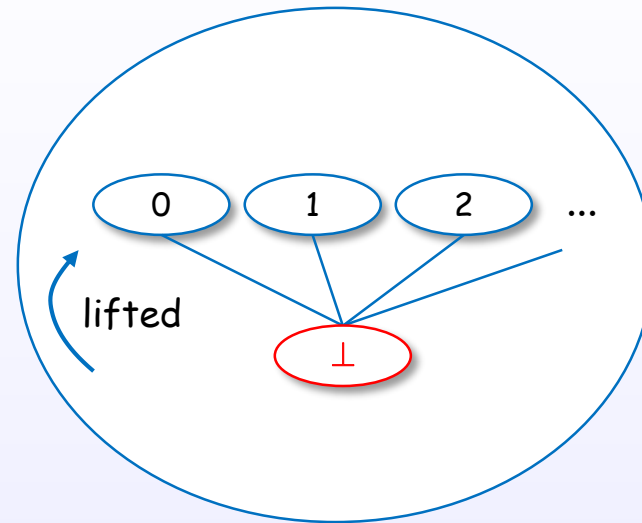
Strict \neq Normal form

6. Semantics

Lifted and boxed types

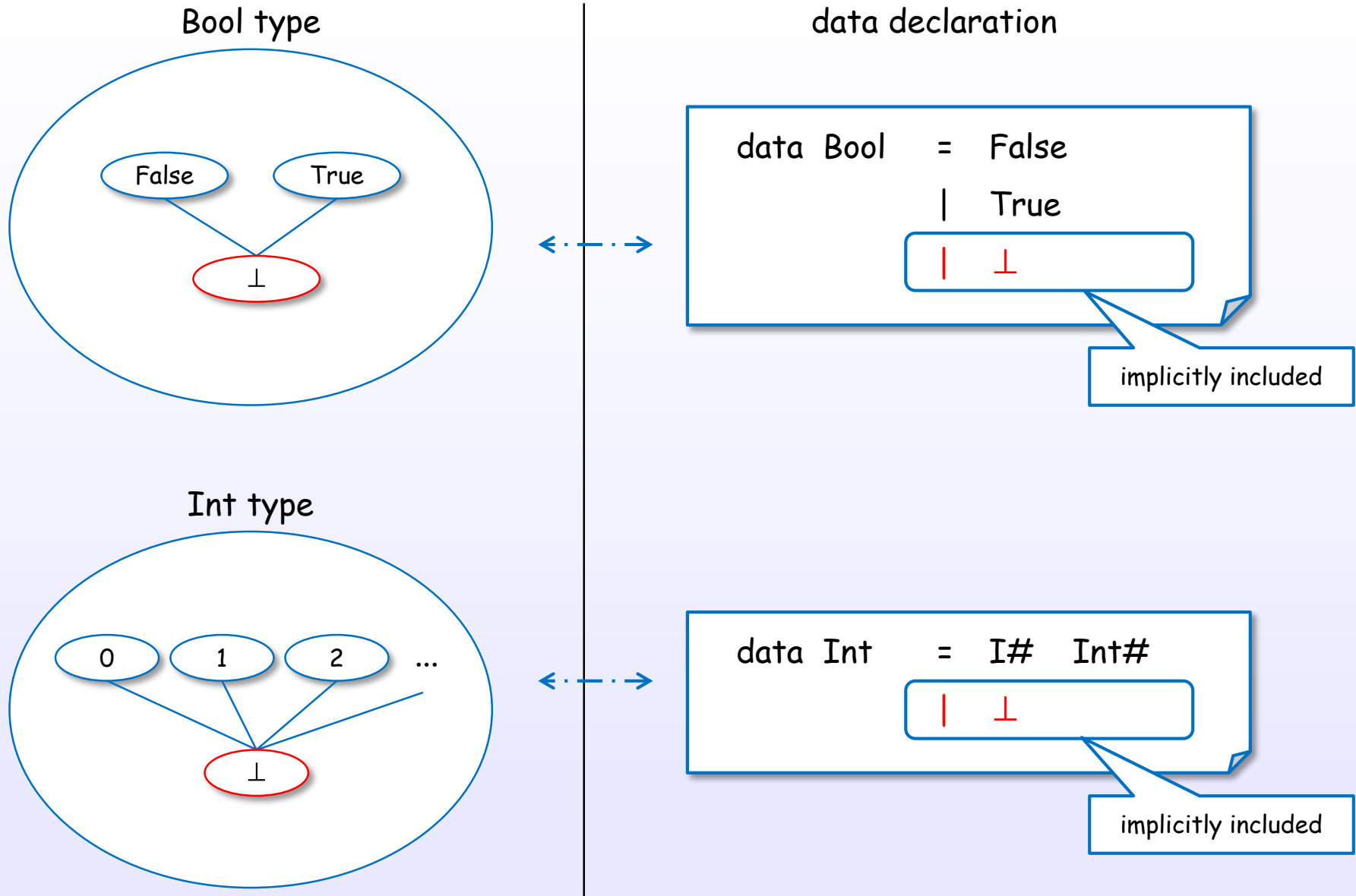
Lifted types

Lifted type



Lifted types **include bottom** as an element.

Lifted type's declaration implicitly include bottom



Lifted type are also implemented by uniform representation

data declaration

```
data Maybe a = Nothing
             | Just a
```

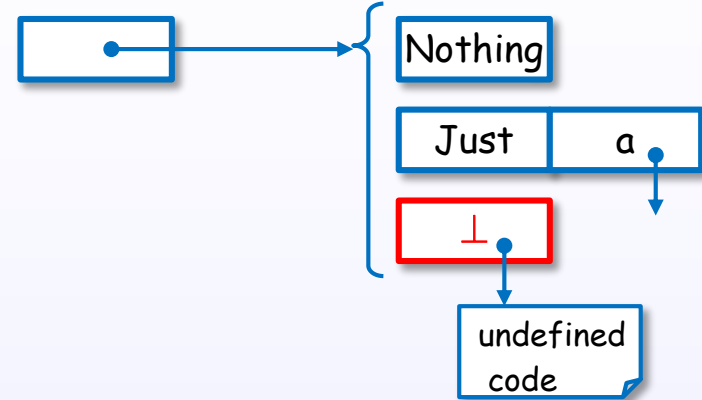
| ⊥

```
data Int     = I# Int#
```

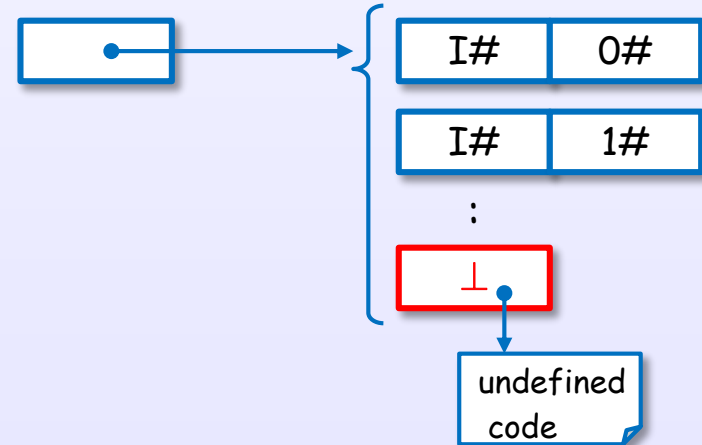
| ⊥

GHC's internal representation

$x :: \text{Maybe } a$

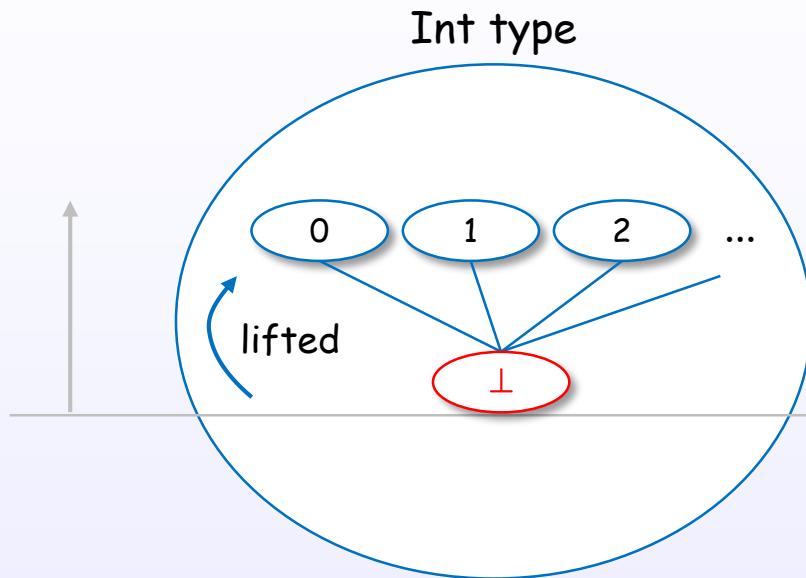


$x :: \text{Int}$



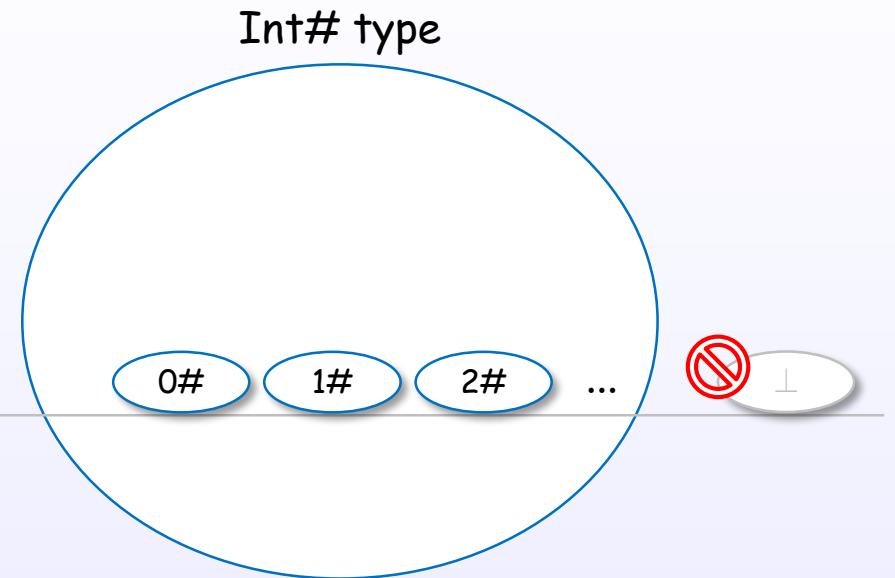
Lifted and unlifted types

Lifted types



Lifted types **include bottom**.
(Bool, Int, Char, Maybe, List, ...)

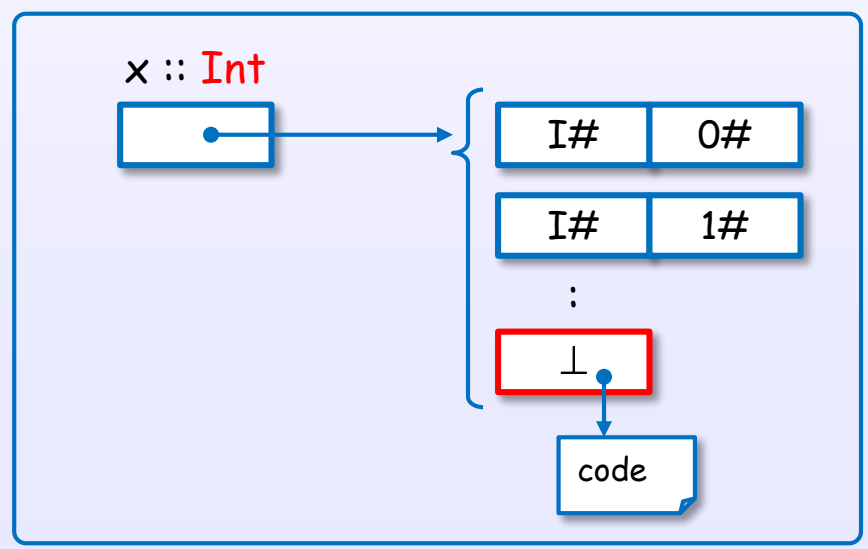
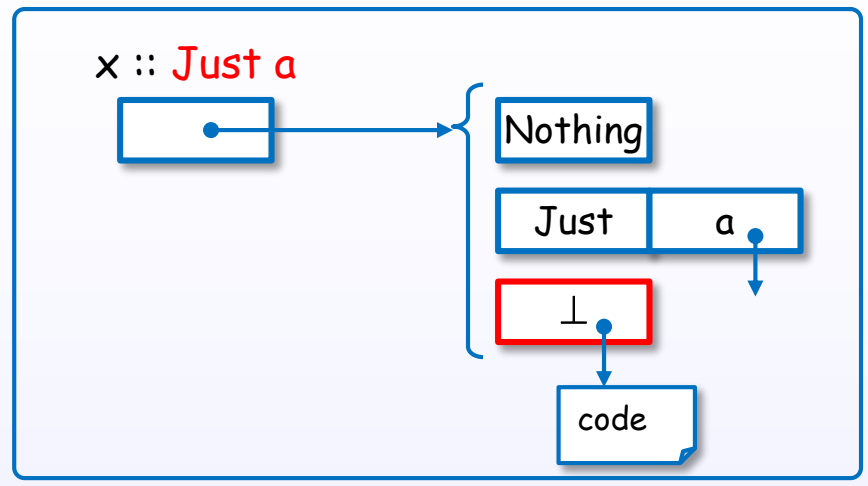
Unlifted types



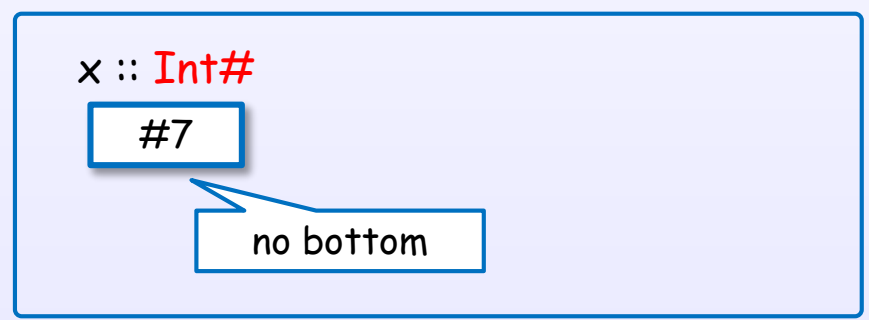
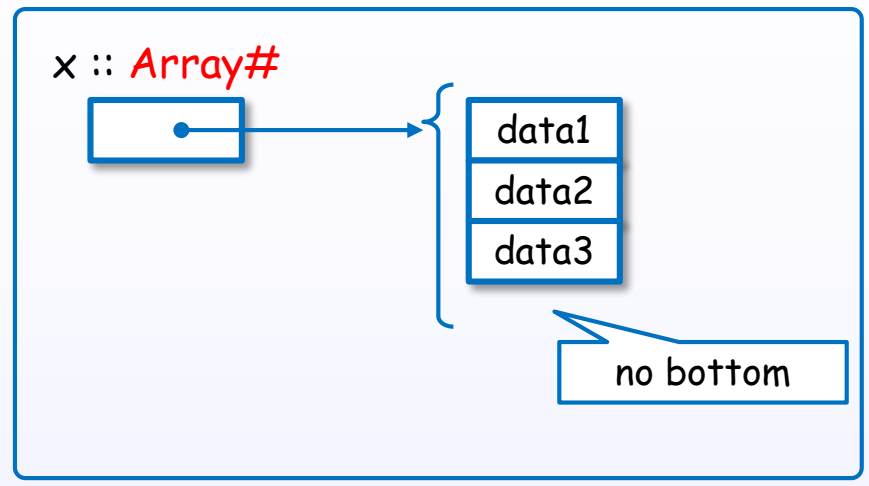
Unlifted types **do not include bottom**.
(Int#, Char#, Addr#, Array#, ByteArray#, ...)

Example of lifted and unlifted types

Lifted types



Unlifted types



Boxed and unboxed types

Boxed
types



Boxed types are **represented as a pointer**.

Unboxed
types

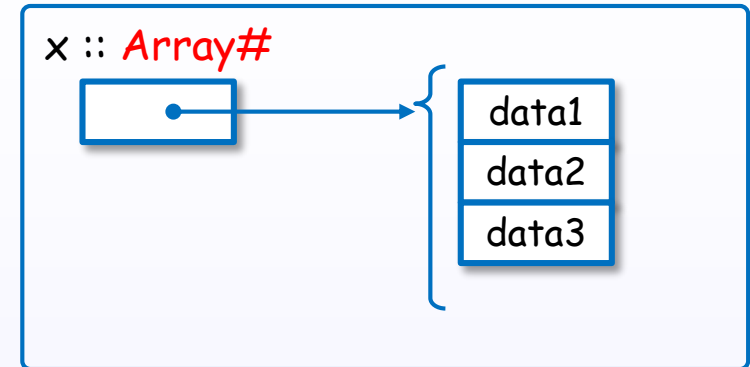
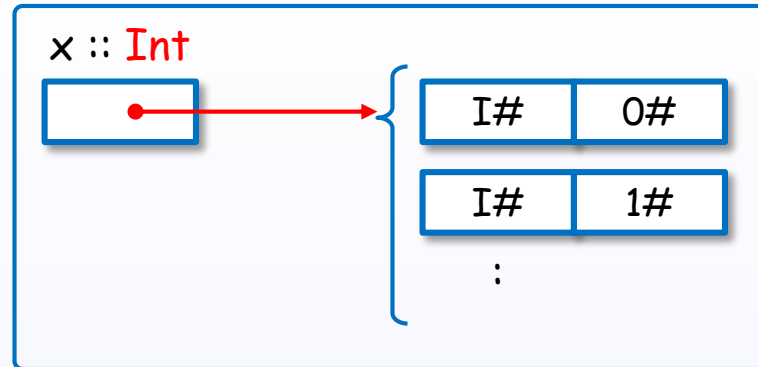


Unboxed types are **represented other than a pointer**.

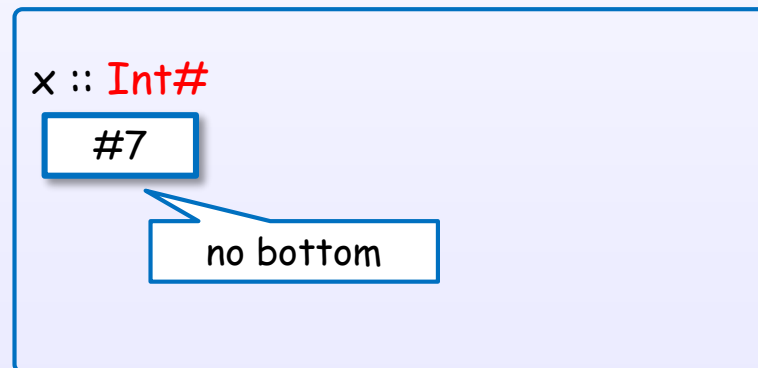
- no bottom (can't be lifted)
- no thunk (can't be postponed)
- no polymorphism (non-uniform size)
- + low cost memory size (no pointer)
- + high performance (no wrap/unwrap)

Example of boxed and unboxed types

Boxed
types



Unboxed
types



Lifted and boxed types

Lifted types

Boxed types

```
Int  
Char  
Float  
Maybe  
:
```

Unlifted types

```
Array#  
ByteArray#  
:
```

no bottom

Unboxed types



unboxed can't be lifted

```
Int#  
Char#  
Float#  
:
```

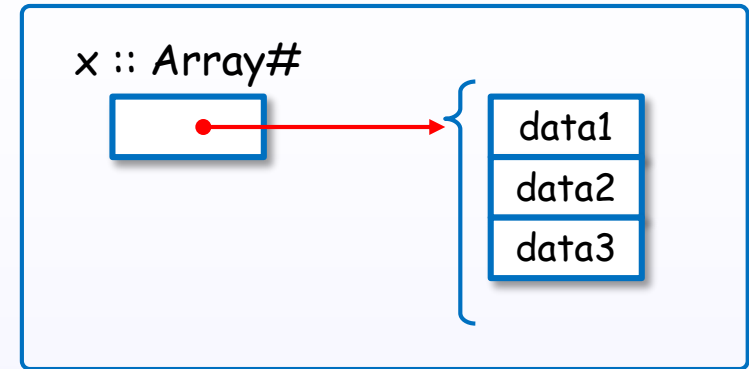
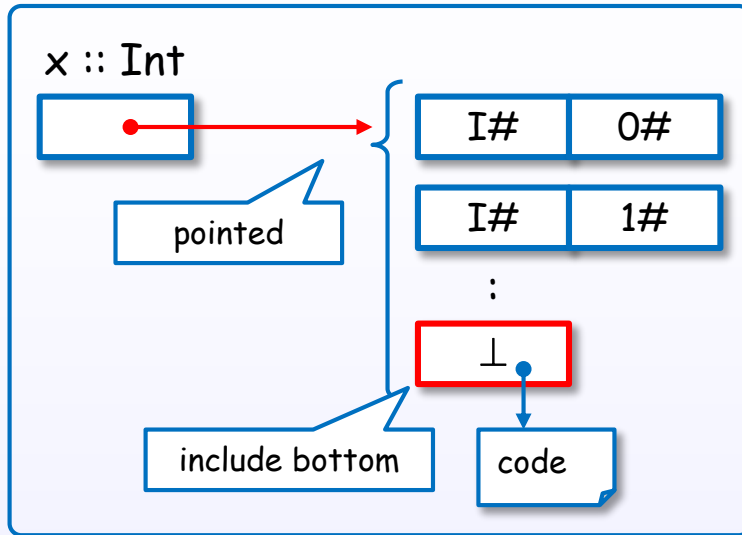
no bottom
no packed

Example of lifted and boxed types

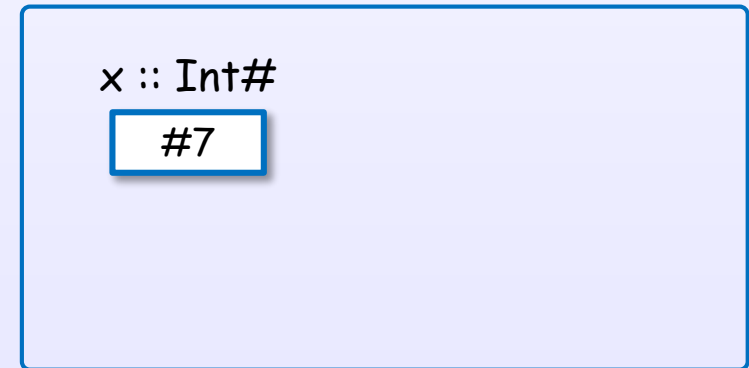
Lifted types

Unlifted types

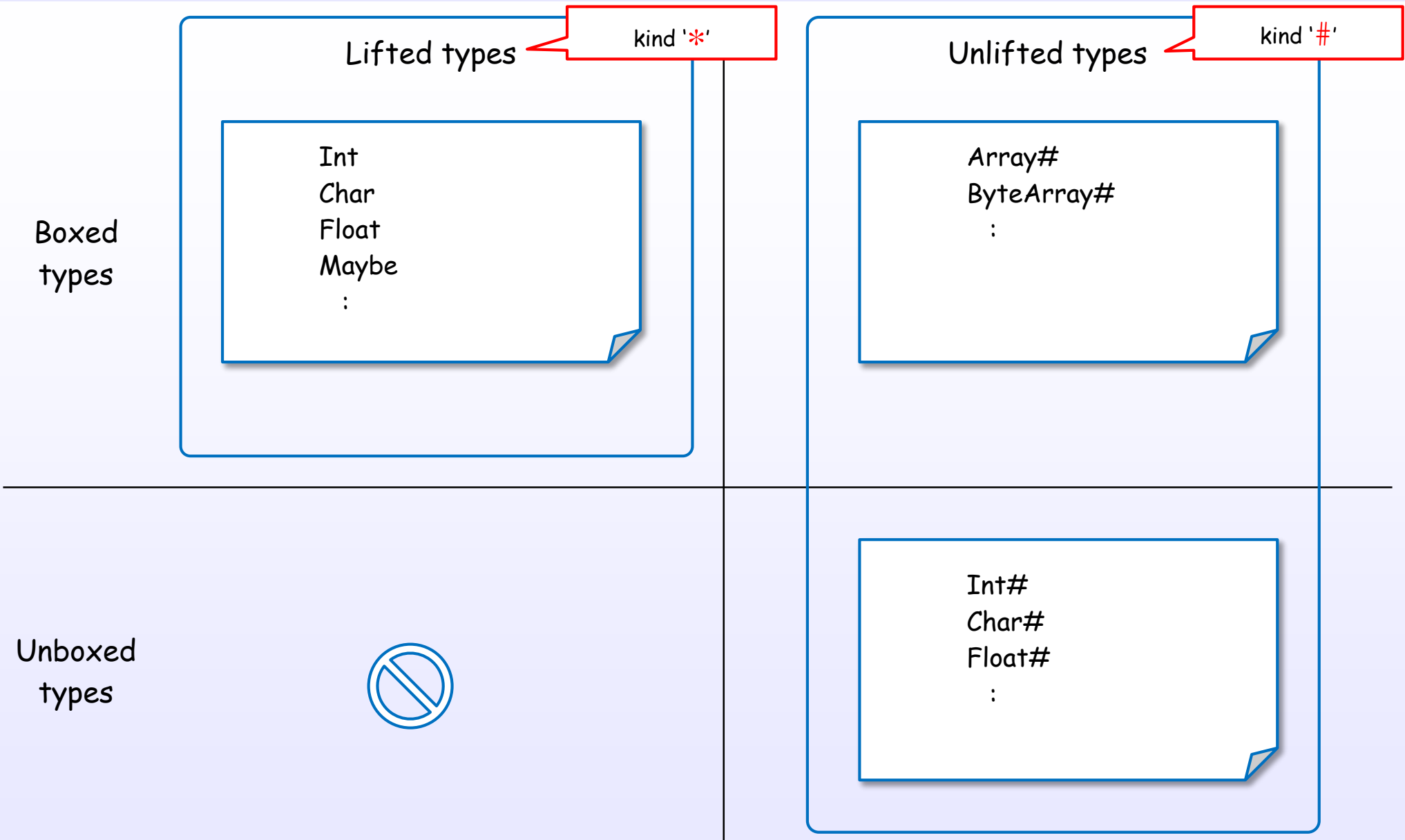
Boxed types



Unboxed types



Types and kinds

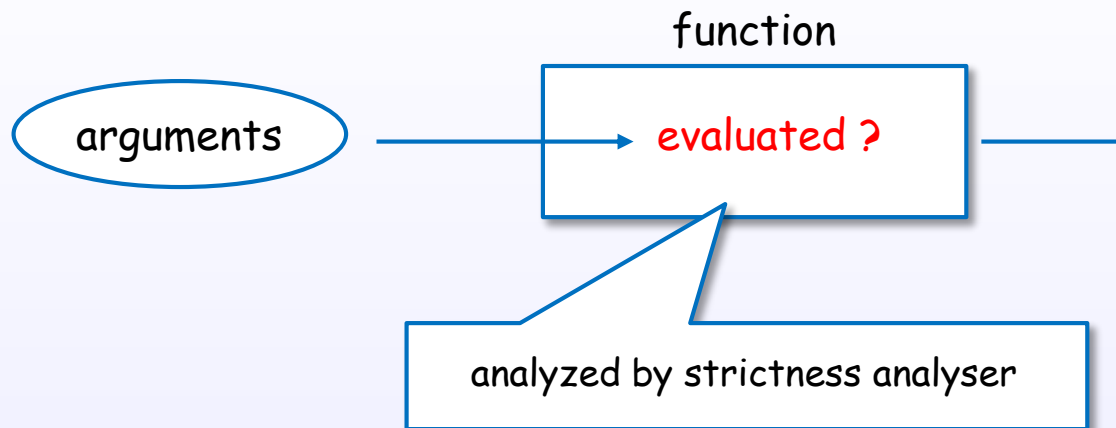


Note:
Identifier's '#' customarily means "primitive" rather than "unboxed" or "unlifted".
Kind's '#' means "unlifted".

6. Semantics

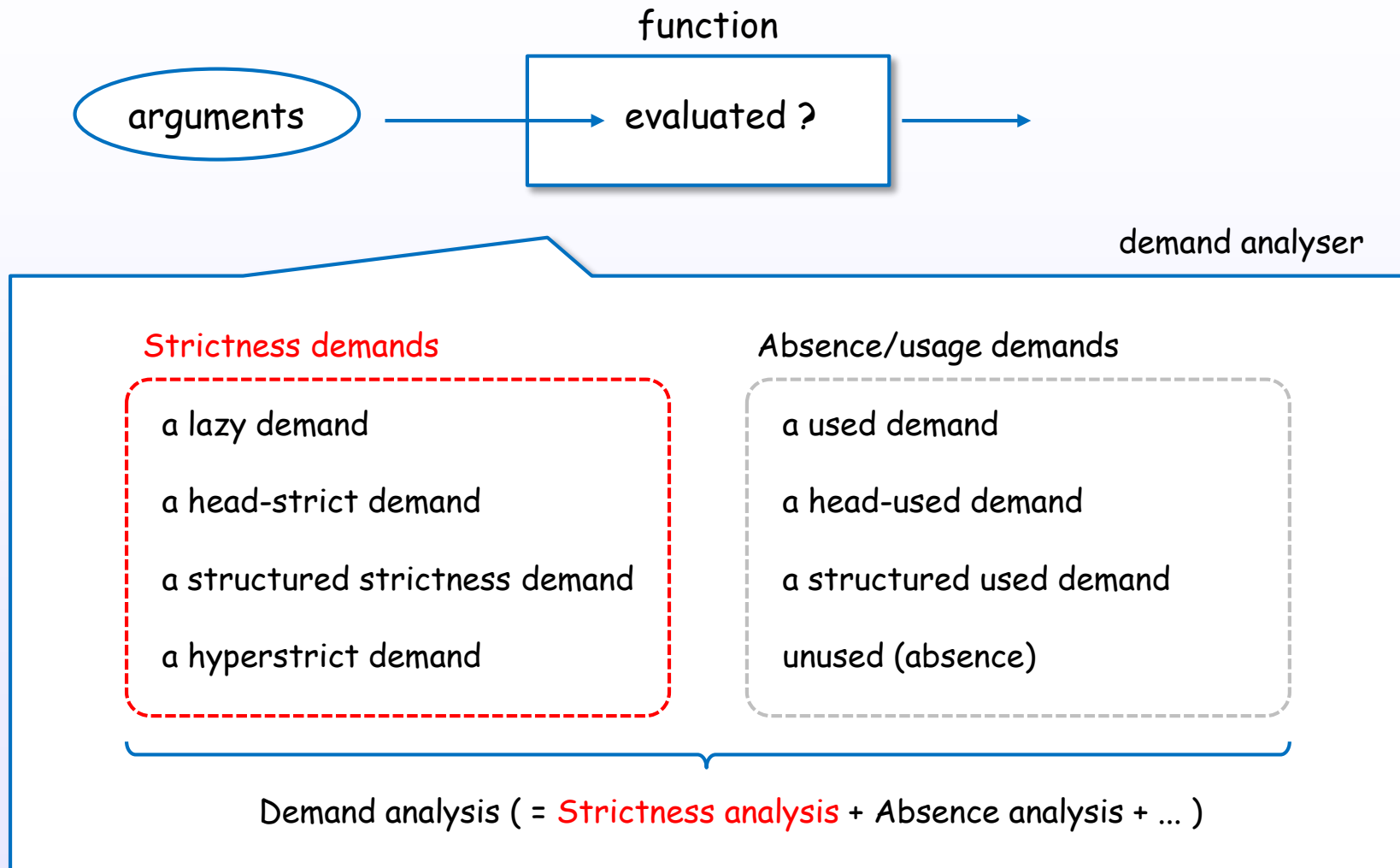
Strictness analysis

Strictness analysis



Strictness analysis analyzes whether a function is sure to evaluate its argument.

Strictness analysis in GHC



GHC's demand analyser implements strictness analysis.

Example of strictness analysis information in GHC

[Example.hs]

```

module Example where

f1 :: Bool -> Int -> Maybe Int
f1 c n = case c of
    True  -> Just n
    False -> Nothing
  
```

Strictness analysis dump
by "\$ ghc -O -ddump-strsigs Example.hs"

```

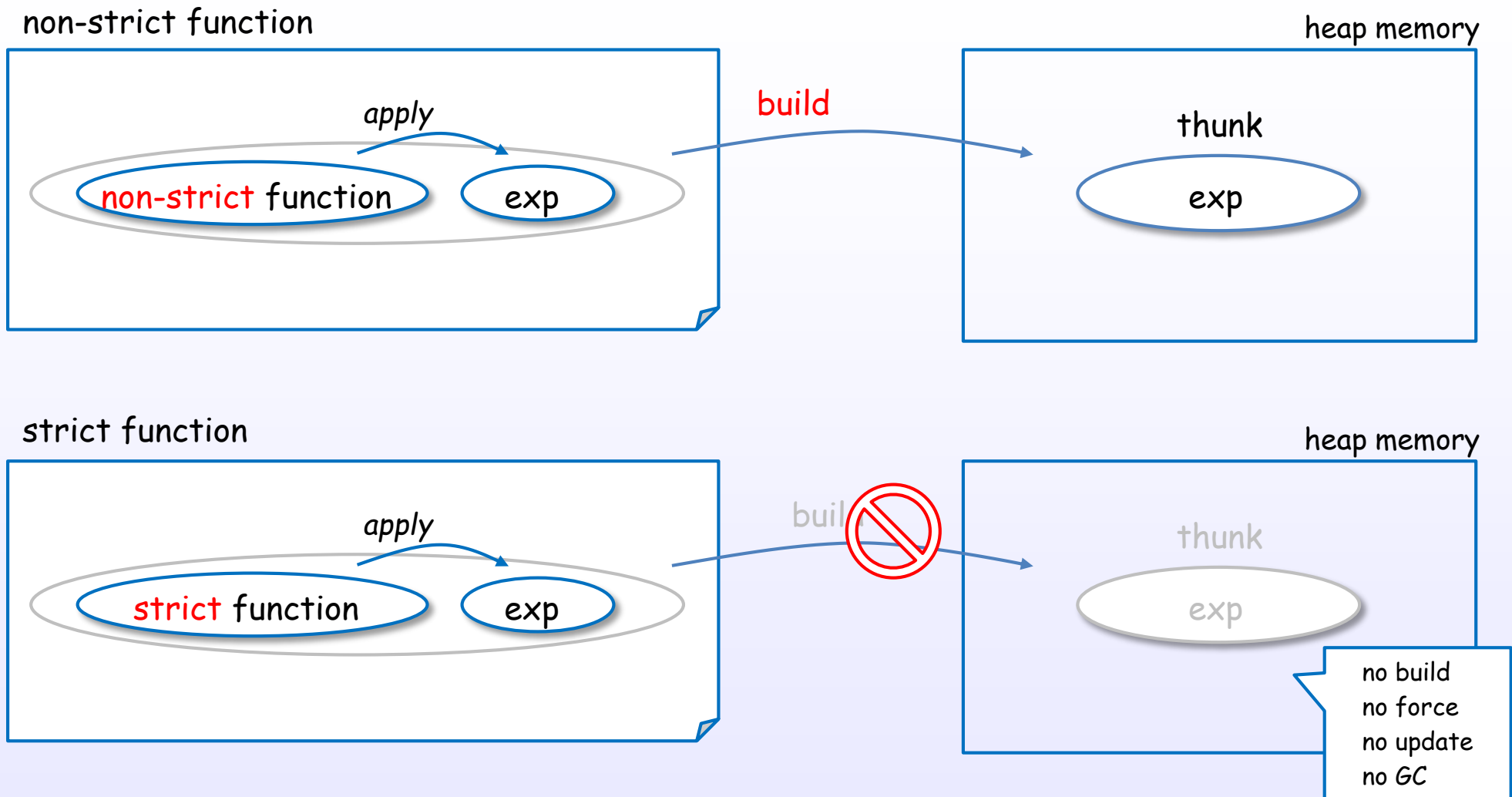
===== Strictness signatures =====
Example.f1: <S,1*U><L,U>
  
```

L -- second argument is "Lazy"

S -- first argument is "head-Strict"

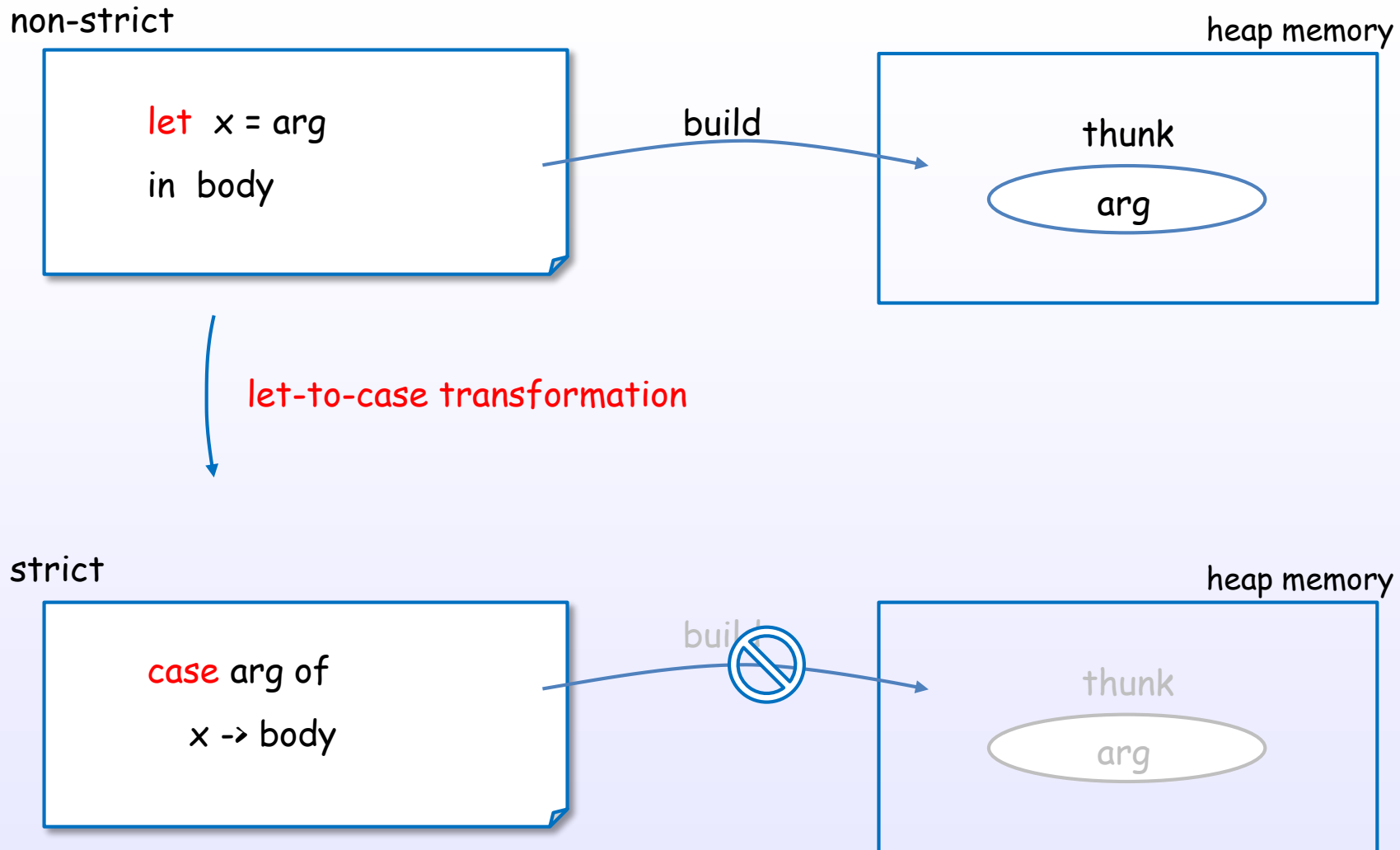
GHC shows strictness analysis information with "-ddump-strsigs" and "-ddump-stranal".

(1) Strictness analysis are used to avoid the thunk



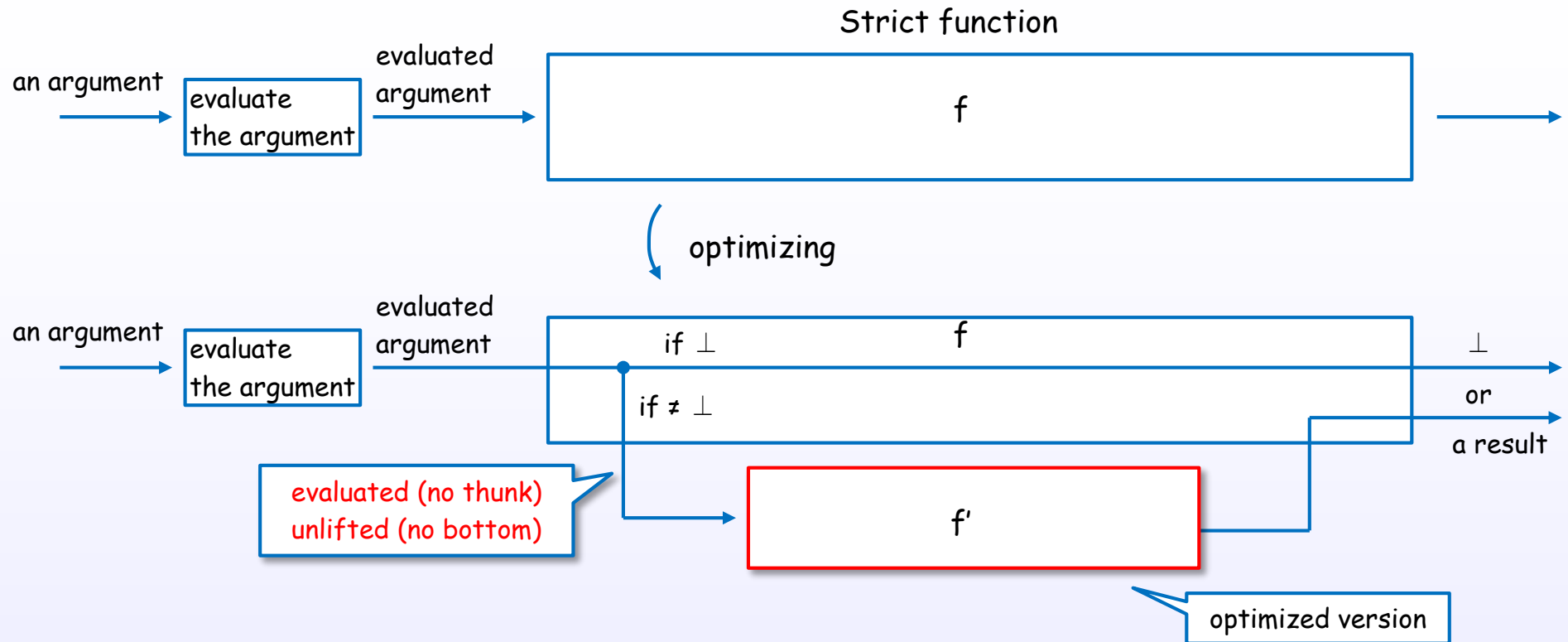
If GHC knows that a function is strict, arguments is evaluated before application.
 GHC finds strict functions by "strictness analysis (demand analysis)".

(1) Strictness analysis are used to avoid the thunk



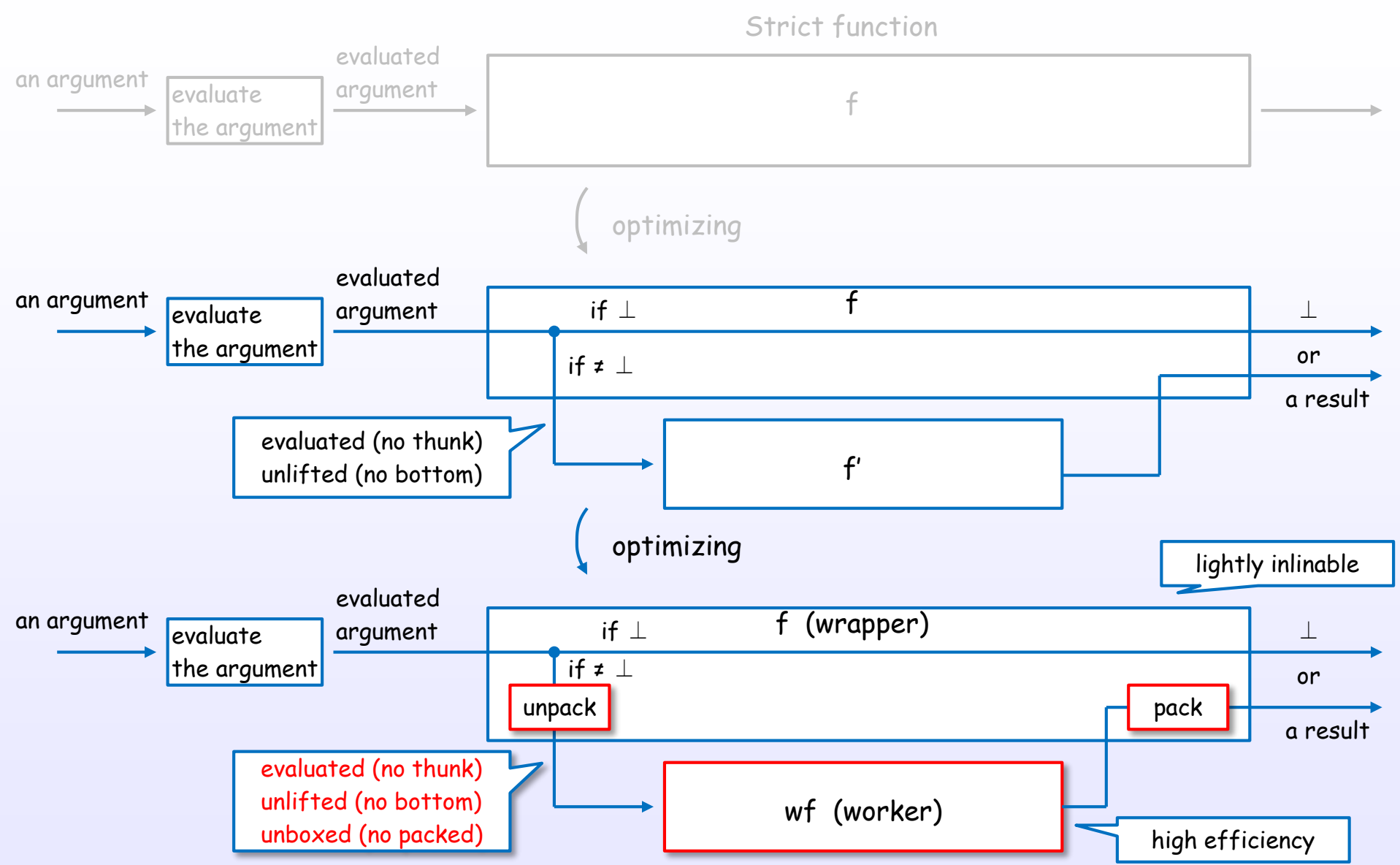
If GHC knows that a function is strict, GHC performs let-to-case transformation.

(2) Strictness analysis are also used to optimize



Strictness function can be optimized to assume no thunk, no bottom.

(2) Strictness analysis are also used to optimize



Strictness function can be optimized to assume no thunk, no bottom, no packed.

6. Semantics

Sequential order

"seq" doesn't guarantee the evaluation order

specification

$$\begin{aligned} \text{seq } a \ b &= \perp, & \text{if } a &= \perp \\ &= b, & \text{otherwise} \end{aligned}$$

strictness for each arguments

$$\begin{aligned} \text{seq } \perp \ b &= \perp & // \text{ a is strict} \\ \text{seq } a \ \perp &= \perp & // \text{ b is strict} \end{aligned}$$

"seq" function only guarantee that it is strict in both arguments.

This semantics property makes **no operational guarantee** about **order** of evaluation.

"seq" and "pseq"

specification

$$\begin{aligned} \text{seq } a \ b &= \perp, & \text{if } a &= \perp \\ &= b, & \text{otherwise} \end{aligned}$$

$$\begin{aligned} \text{seq } \perp \ b &= \perp & // \text{ a is strict} \\ \text{seq } a \ \perp &= \perp & // \text{ b is strict} \end{aligned}$$

specification

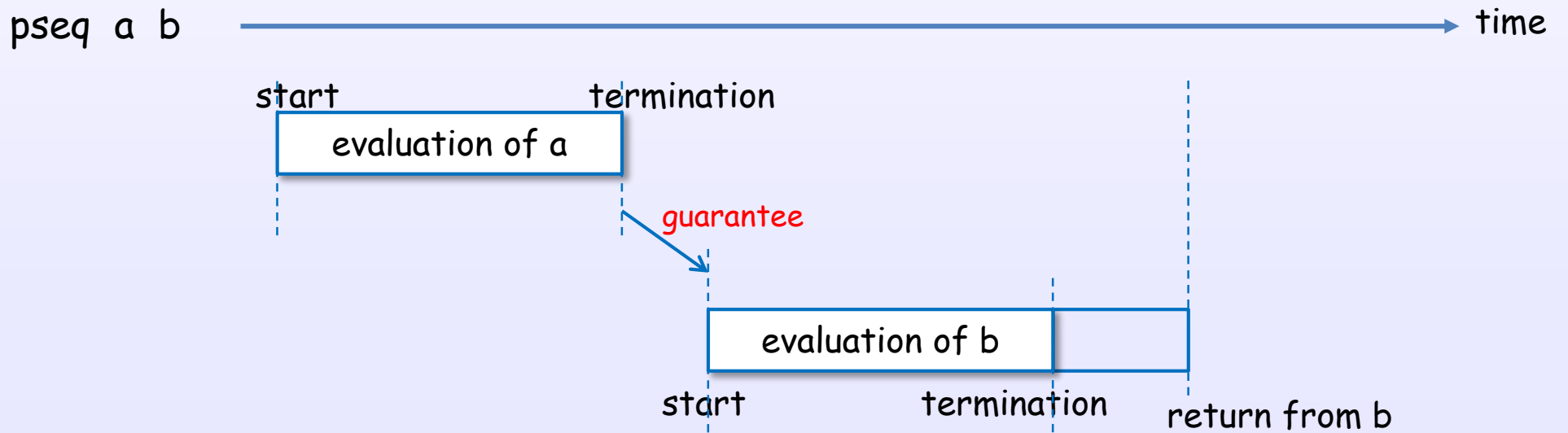
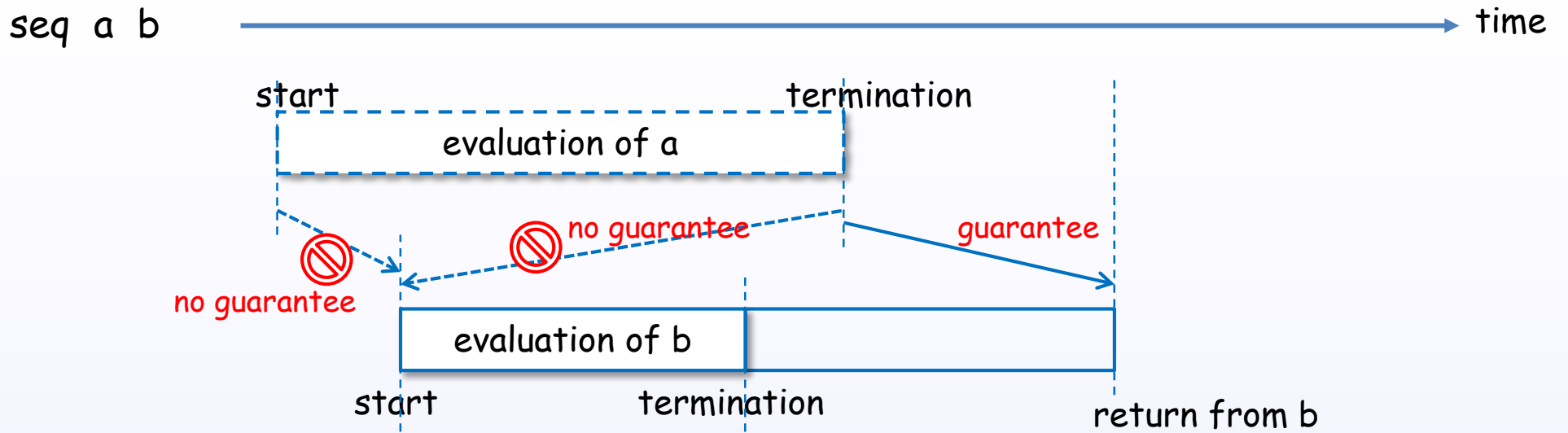
$$\begin{aligned} \text{pseq } a \ b &= \perp, & \text{if } a &= \perp \\ &= b, & \text{otherwise} \end{aligned}$$

$$\begin{aligned} \text{pseq } \perp \ b &= \perp & // \text{ a is strict} \\ \text{pseq } a \ \perp &= \perp & // \text{ b is strict} \end{aligned}$$

Both of denotational semantics are the same.

But "pseq" makes operational guarantee about order of evaluation.

Evaluation order of "seq" and "pseq"



Implementation of "seq" and "pseq"

specification

$$\begin{aligned} \text{seq } a \ b &= \perp, & \text{if } a &= \perp \\ &= b, & \text{otherwise} \end{aligned}$$

Haskell's built-in

specification

$$\begin{aligned} \text{pseq } a \ b &= \perp, & \text{if } a &= \perp \\ &= b, & \text{otherwise} \end{aligned}$$

$\text{pseq } x \ y = x \ ` \text{seq} \ ` \text{lazy } y$

GHC's "lazy" function restrains the strictness analysis.

"seq" is built-in function.

"pseq" is implemented by built-in functions ("seq" and "lazy").

7. Appendix

7. Appendix

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Lazy,... ^{zzz}

to be as lazy as possible...